

Comprehending stuff- and structure-types

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<http://www.iti.cs.tu-bs.de/~koslowj/RESEARCH>

01. Motivation: References

The initial motivation comes from two papers:

[BD] John C. Baez, James Dolan: *From Finite Sets to Feynman Diagrams* (April 2000), arXiv:math/0004133

[SB] Simon Byrne: *On Groupoids and Stuff*, Honors Thesis, MacQuarie University (November 2005),
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as well as from various issues of John Baez's semi-regular column

“This Week's Find in Mathematical Physics”

available at <http://math.ucr.edu/home/baez/weekXYZ.html>, where intriguing applications of *spans of groupoids* are mentioned.

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02. Background

- In order to “categorify” combinatorics, Joyal begins defining a **species of structures** F by assigning to each finite set n the set nF of F -structures that can live on n .
- Which types F of structures and which functions $n \xrightarrow{f} m$ between finite sets should be taken into consideration?
- To obtain well-behaved liftings $nF \xrightarrow{fF} mF$ for all types F of structures, restricting to **bijections** $n \xrightarrow{f} m$ seems appropriate. (Also, no need to consider contravariance!)

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03. The Baez-Dolan approach

For a species $E \xrightarrow{F} \mathit{set}$ Baez and Dolan construct a *gpd*-morphism into E that “contains all the information in the [species]” F :

- Its domain is the value of a certain functor $\mathit{set} \rightarrow \mathit{gpd}$ at 1;
- This functor is modeled on the *analytic functor* F^a associated with F , i.e., the *left Kan-extension* of F along $E \xrightarrow{J} \mathit{set}$:

$$\begin{array}{ccc} E & \xrightarrow{F} & \mathit{set} \\ & \searrow J & \downarrow \\ & & \mathit{set} \\ & & \uparrow F^a \\ & & \mathit{set} \end{array}$$

where $\mathit{set} \xrightarrow{I} \mathit{gpd}$ is the other obvious inclusion.

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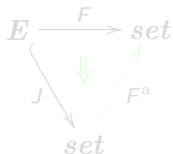
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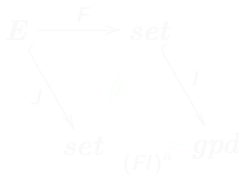
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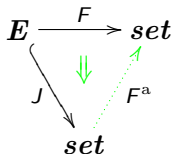


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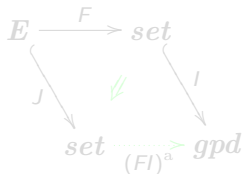
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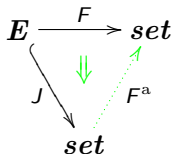


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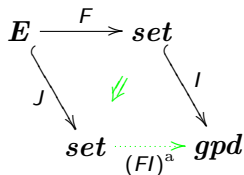
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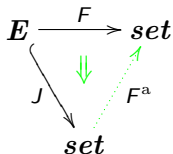


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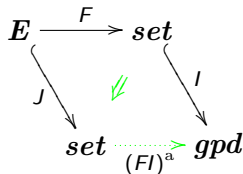
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04. The Baez-Dolan approach, continued

The **exponential generating function** for F

$$\mathbf{N} \xrightarrow{|F|} \mathbf{N}, \quad x \mapsto \sum_{n \in \mathbf{N}} (|nF| \cdot x^n) / n!$$

provides the template for both analytic functors F^a and $(FI)^a$ (now we think of $n!$ as the permutation group of n):

$$\mathit{set} \xrightarrow{F^a} \mathit{set}, \quad X \mapsto \int^{u \in \mathbf{E}} uF \times X^u \cong \sum_{n \in \mathbf{N}} (nF \times X^n) / n!$$

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The “weak quotient” $//$ is just a glueing construction!

$(FI)^a$ maps X to the **groupoid of X -colored F -structured sets**.

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05. The Baez-Dolan approach and Byrne's view

- Baez and Dolan then generalize the obvious forgetful functor $1(FI)^a \rightarrow \mathbf{E}$ to arbitrary *gpd*-morphisms into \mathbf{E} , subject to an unspecified finiteness condition; these are called **stuff types**.
- Byrne [SB] describes stuff (and structure) types as “working in the opposite direction” of species, by forgetting the structure.
- He then proceeds to construct a stuff type from a species by a glueing construction.
- Conversely, from a stuff type he constructs a “fibre functor” $\mathbf{E} \rightarrow \mathit{gpd}$, and then characterizes those stuff types that will indeed produce a species in this fashion as the **faithful** ones:

functor	$\mathcal{G} \rightarrow \mathbf{E}$:	stuff type
faithful functor	$\mathcal{G} \rightarrow \mathbf{E}$:	structure type
full and faithful functor	$\mathcal{G} \rightarrow \mathbf{E}$:	property type

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06. Labeled transition systems (LTSs): recap

The picture emerging so far bears striking resemblance to the different views of (**traditional**) LTSs over a label graph X ,

- as **processes**, i.e., (**faithful**) graph-morphisms into X , or
- as **systems**, i.e., graph-morphisms $X \rightarrow \text{spn}$ (**rel**).

Viewing labels in a set X as arrows of a single-node graph we get

$$\begin{array}{c} \underline{\underline{Q \xrightarrow{L=(l,Q)} X}} \quad (\text{graph morphism}) \\ \underline{\underline{X \xleftarrow{l} Q_1 \xrightarrow[r]{s} Q_0}} \\ \underline{\underline{X \xleftarrow{l} Q_1 \xrightarrow{(s,t)} Q_0 \times Q_0}} \\ \underline{\underline{X \xrightarrow{L_0} (Q_0, Q_0) \text{spn}}} \\ \underline{\underline{X \xrightarrow{L_0} \text{spn}}} \quad (\text{graph morphism}) \end{array}$$

This also works for general graphs X .

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- as **processes**, *i.e.*, (**faithful**) graph-morphisms into X , or
- as **systems**, *i.e.*, graph-morphisms $X \rightarrow \mathit{spn}$ (**rel**).

Viewing labels in a set X as arrows of a single-node graph we get

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07. Graph comprehension at the object level

Definition

grph and *Grph* denote the (bi)categories of **small**, respectively, **locally small** graphs and graph morphisms. These have non-full sub(bi)categories *cat* and *Cat*, respectively.

We call a *Grph*-morphism **fiber-small**, if each fibre is small ;-)

Theorem

Every graph X induces an essentially bijective correspondence between fiber-small processes $Q \rightarrow X$ and systems $X \rightarrow \text{spn}$.

If X is a category, this restricts to fiber-small functors $Q \rightarrow X$, respectively, lax functors $X \rightarrow \text{spn}$.

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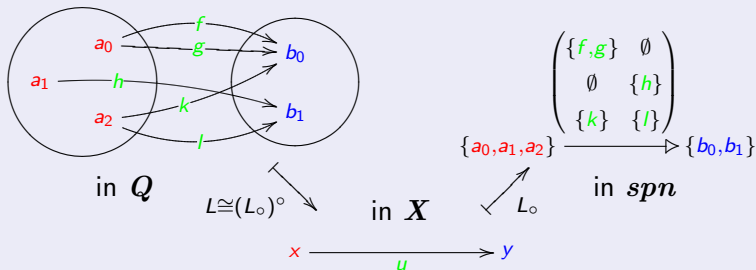
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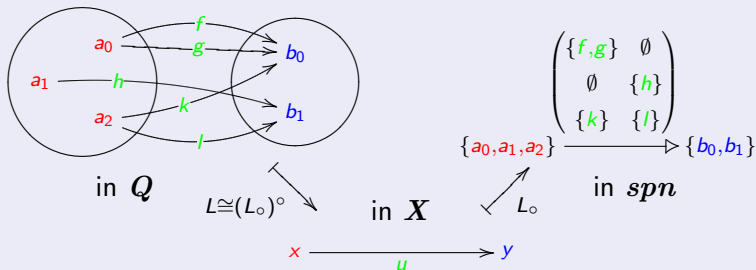
Sketch of proof



- L_0 is obtained from L by taking inverse images.
- In the other direction one employs disjoint unions.
- If X is a category, laxness of L_0 equips Q with units and a composition that are preserved by L , and vica versa. \square

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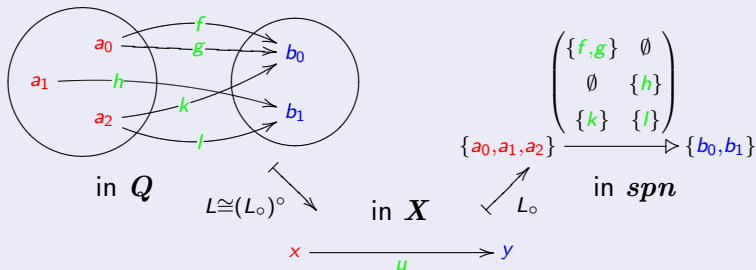
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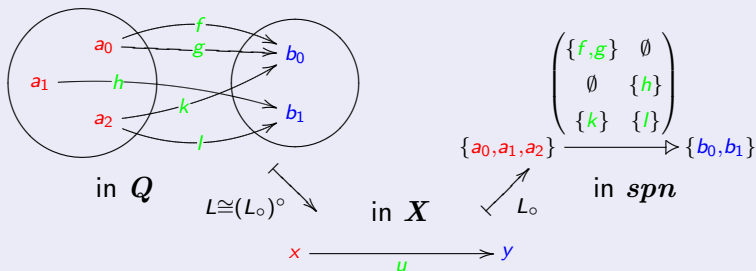
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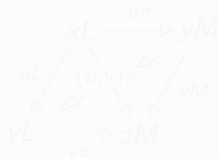
Oplax **map**-transforms

$K \xrightarrow{\varphi} L$: in spn



Modules (mixed assoc.)

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Lax transforms

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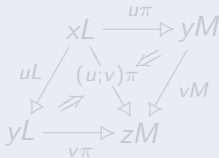
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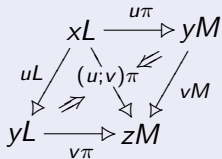
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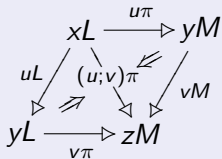
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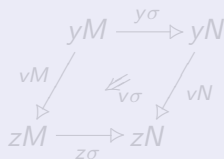
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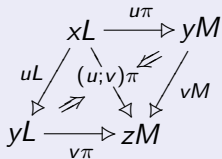
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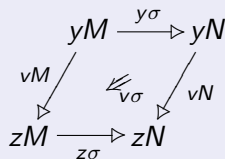
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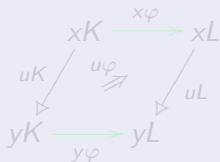


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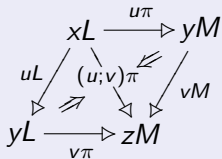
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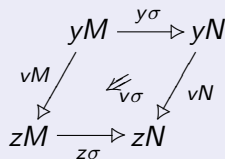
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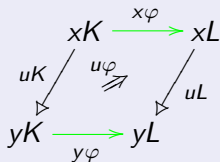


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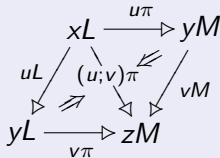
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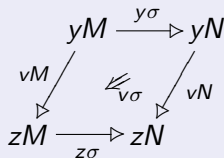
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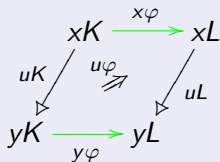


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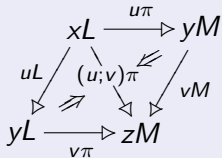
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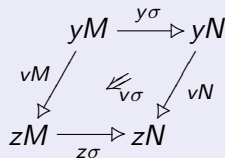
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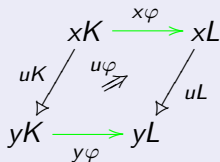


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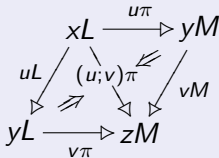
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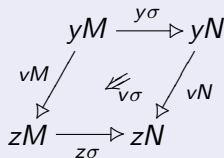
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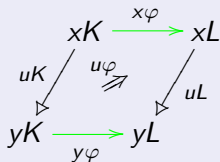


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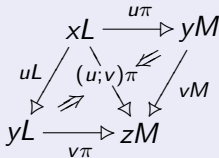
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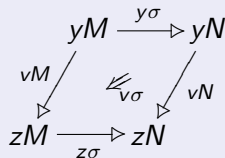
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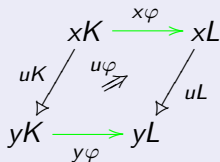


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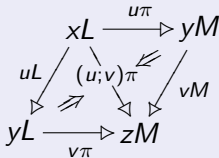
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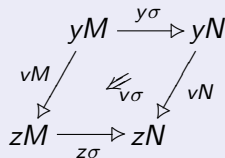
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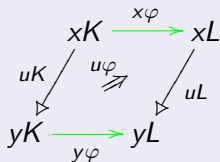


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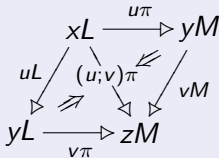
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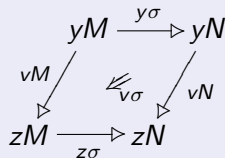
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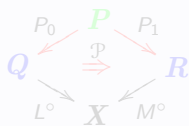


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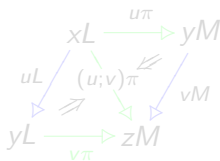
π° in diagram form



Interpretation

Combine Q and R into a new category over \mathbf{X} with P -objects serving as *new arrows* linking Q - with R -objects, and P -arrows serving as *new commutative squares* linking Q - with R -arrows.

Old and *new* arrows are composed according to the module 2-cells:

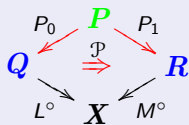


In particular, P encompasses *all* new arrows.

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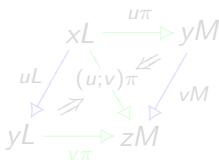
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Combine Q and R into a new category over \mathbf{X} with P -objects serving as *new arrows* linking Q - with R -objects, and P -arrows serving as *new commutative squares* linking Q - with R -arrows.

Old and new arrows are composed according to the module 2-cells:

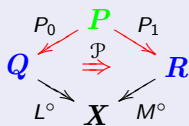


In particular, P encompasses *all* new arrows.

09. 1-cells for processes over \mathbf{X} : the module case

The process 1-cell corresponding to a module $L \xRightarrow{\pi} M$ is a span of fibre-small functors over \mathbf{X} with a natural transformation:

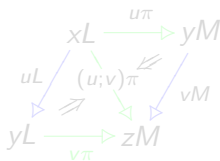
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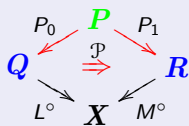


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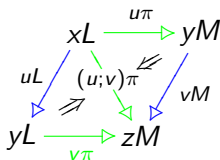
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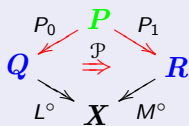


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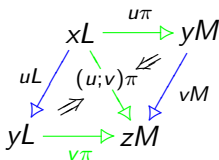
π° in diagram form



Interpretation

Combine \mathbf{Q} and \mathbf{R} into a new category over \mathbf{X} with \mathbf{P} -objects serving as *new arrows* linking \mathbf{Q} - with \mathbf{R} -objects, and \mathbf{P} -arrows serving as *new commutative squares* linking \mathbf{Q} - with \mathbf{R} -arrows.

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In particular, \mathbf{P} encompasses *all* new arrows.

10. 1-cells for processes over \mathbf{X} : the transform case

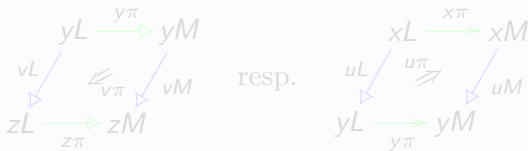
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For $L \xRightarrow{\pi} \dashv M$ or $L \xRightarrow{\pi} \rightarrow M$ only those **new arrows** show up in P that live over identities in \mathbf{X} ; **old** and **new** arrows now compose freely and are then identified according to the 2-cells of π :

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Identificaton of new composites



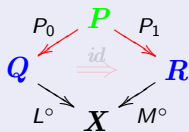
- For $L \xRightarrow{\pi} \dashv M$ this makes P_1 $(1 \xrightarrow{0} 2)$ -orthogonal^{*}, which turns π° into a **simulation** of M° by L° over \mathbf{X} .
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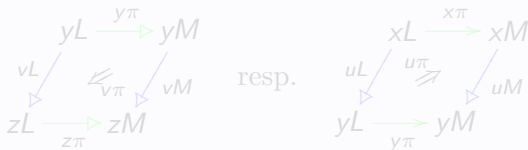
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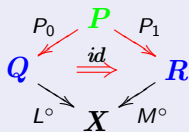
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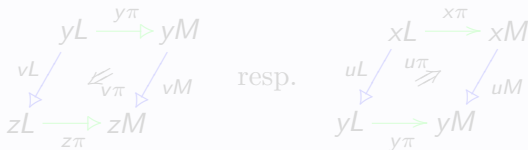
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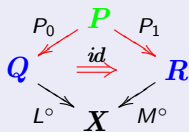
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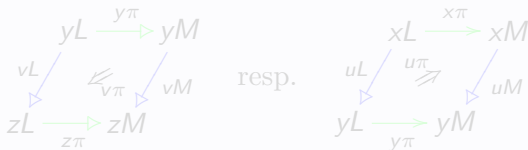
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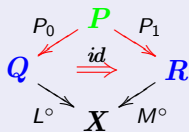
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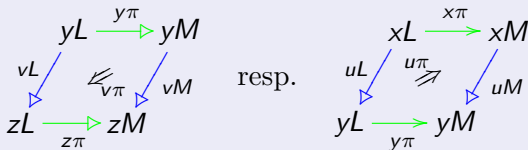
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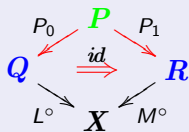
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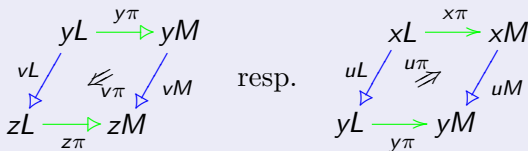
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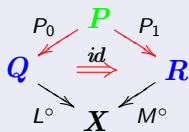
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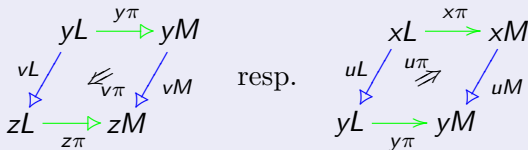
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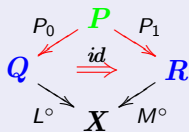
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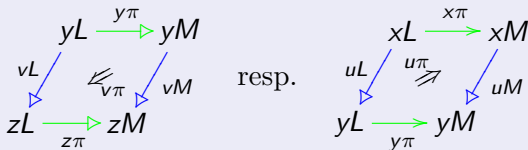
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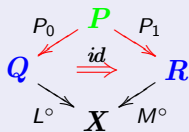
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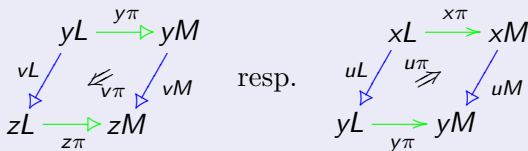
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11. The main equivalences

With modulations as 2-cells between modules and modifications as 2-cells between transforms, we obtain equivalences

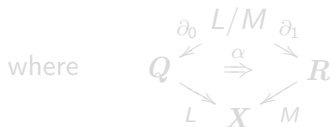
$$\llbracket \mathbf{X}, \mathit{spn} \rrbracket_{\mathcal{C}} \cong \mathit{Cat}^{\mathcal{C}} // \mathbf{X} \quad \text{with } \mathcal{C} \in \{\text{md}, \text{lx}, \text{mp}, \dots\}$$

where for fibre-small functors $Q \xrightarrow{L} \mathbf{X} \xleftarrow{M} R$ the hom-categories are given by

$$\langle L, M \rangle \mathit{Cat}^{\text{md}} // \mathbf{X} = \mathit{Cat} / (L/M)$$

$$\langle L, M \rangle \mathit{Cat}^{\text{lx}} // \mathbf{X} = \{ P \xrightarrow{P} L/M : P\alpha = \text{id} \wedge (1 \xrightarrow{0} 2) \perp P\partial_1 \}$$

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The diagram shows a square with nodes Q (top-left), R (top-right), L (bottom-left), and X (bottom-right). Arrows are: $Q \xrightarrow{\partial_0} L$, $Q \xrightarrow{\partial_1} R$, $L \xrightarrow{L} X$, $R \xrightarrow{M} X$, and a central arrow $Q \xrightarrow{\alpha} R$.

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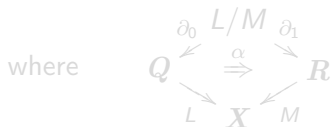
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where

$$\begin{array}{ccccc} & & L/M & & \\ & \swarrow \partial_0 & & \searrow \partial_1 & \\ \mathbf{Q} & & \xRightarrow{\alpha} & & \mathbf{R} \\ & \searrow L & & \swarrow M & \\ & & \mathbf{X} & & \end{array}$$

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11. Comprehension

The embeddings of set into cat^{co} into prf , and the characterization of prf as the bicategory of monads on spn yield

$$\begin{array}{ccccccc}
 [X, set] & \xrightarrow{\quad \top \quad} & [X, cat^{co}] & \xrightarrow{\quad \top \quad} & [X, prf]_{mp}^n & \cong & [X, spn]_{mp} & \cong & Cat^{mp//X} \\
 & \xleftarrow{\quad} & \downarrow \cong & \xleftarrow{\quad} & \downarrow & & \downarrow & & \downarrow \\
 & & [X, cat^{co}]_{mp}^n & \xrightarrow{\quad \top \quad} & [X, prf]_{mp}^n & \cong & [X, spn]_{mp} & \cong & Cat^{mp//X} \\
 & & \downarrow & \xleftarrow{\quad} & \downarrow & & \downarrow & & \downarrow \\
 & & [X, cat^{co}]_{md}^n & \xrightarrow{\quad \top \quad} & [X, prf]_{md}^n & \cong & [X, spn]_{md} & \cong & Cat^{md//X} \\
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 (\llbracket X, cat^{co} \rrbracket_{mp}^n)^{coop} & & (\llbracket X, prf \rrbracket_{mp}^n)^{coop} & \cong & (\llbracket X, spn \rrbracket_{mp})^{coop} & \cong & (Cat^{mp//X})^{coop}
 \end{array}$$

11. Specialization in various directions

- **Posettal collapse**: restrict to faithful processes over \mathcal{X} and to systems into *rel*; substitute *ord* for *cat* and *idl* for *prf*.
- **Size constraints**: restrict to λ -small graphs/categories for some inaccessible cardinal λ .
- **Symmetrization**: restrict to symmetric graphs and spans, replace categories by groupoids, this allows modelling **reversible** computations, as, e.g., in a **quantum computer**):

$$[E, \text{set}] \begin{array}{c} \xrightarrow{\tau} \\ \xleftarrow{\tau} \end{array} \text{Gpd}^{\text{inv}}/\mathbb{R}E$$

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