

# Syntactic completeness of proper display calculi

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# Everybody needs somebody



$$\frac{\text{Everybody}}{s / (np \setminus s)} \otimes \frac{\text{needs}}{(((np \setminus s) / ((s / np) \setminus s))} \otimes \frac{\text{somebody}}{(s / np) \setminus s} \text{ is a s.} \vdash s$$

There are 7 different sequent derivations, but only 3 different natural deduction (or proof net) derivations (in normal form).

Moving to a focused sequent system we have again 3 derivations in normal form.

Different derivations correspond to different readings (where different derivations have different axiom linking):

- ▶ Everybody > somebody > needs
- ▶ Somebody > everybody > needs

# Everybody needs somebody



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- ▶ Everybody > somebody > needs
- ▶ Somebody > everybody > needs
- ▶ **Everybody > needs > somebody**

# Structural proof theory and automatic rule generation

Structural proof theory focuses on **analytic calculi**: those calculi supporting a *robust form of cut elimination*.

A derivation is **analytic** when all needed information is already contained in its premises and conclusions.

Sequent calculi: inference rules preserving cut elimination can be understood as *analytic rules*.

**Automatic rule generation**: characterization of classes of axioms corresponding to analytic rules + generation algorithm (unified correspondence & inverse unified correspondence).

# Relational calculi

Some references: the list is not exhaustive!

- ▶ Sara Negri and Jan Von Plato. 1998. *Cut elimination in the presence of axioms*

**Axioms-as-rules methodology:** transforming *universal axioms* in the language of first order classical (or intuitionistic) logics into analytic rules. The rules are used to expand **G3c**.

- ▶ Sara Negri. 2003. *Contraction-free sequent calculi for geometric theories, with an application to Barr's theorem*.

Generalization to **geometric implications**, i.e. first order formulas of the form  $\forall \bar{z}(A \rightarrow B)$  where  $A$  and  $B$  are *geometric formulas* (i.e. first-order formulas not containing  $\rightarrow$  or  $\forall$ ).

- ▶ Sara Negri. 2005. *Proof analysis in modal logic*.

Application to modal logic axioms: the rules are used to expand **G3K**.

Geometric formulas were first identified and made relevant for proof theory in the context of **natural deduction calculi** in:

- ▶ Alex K. Simpson. 1994. *The proof theory and semantics of intuitionistic modal logic*. (Ph.D. Dissertation)
- ▶ Luca Viganò. *Labelled non-classical logics*. (book)

# Hypersequent calculi

Some references: the list is not exhaustive!

- ▶ Agata Ciabattoni, Nikolaos Galatos, and Kazushige Terui. 2008. *From Axioms to Analytic Rules in Nonclassical Logics*.

**Substructural hierarchy:** A hierarchy of classes of substructural formulas is defined. Substructural axioms up to level  $\mathcal{N}_2$  of this hierarchy can be **algorithmically** translated into equivalent rules of a **Gentzen-style sequent calculus**, and axioms up to a subclass of level  $\mathcal{P}_3$  into equivalent rules of a **hypersequent calculus**.

- ▶ Agata Ciabattoni, Nikolaos Galatos, and Kazushige Terui. 2012. *Algebraic proof theory for substructural logics: cut-elimination and completions*.

Generalization to multi-conclusions hypersequents + heuristic to go beyond  $\mathcal{P}_3$  axioms.

# Display calculi

Some references: the list is not exhaustive!

- ▶ Marcus Kracht. 1996. *Power and Weakness of the Modal Display Calculus*.

**Primitive axioms** in the language of classical tense modal logic can be equivalently captured as analytic structural rules extending the minimal **display calculus for tense logic**.

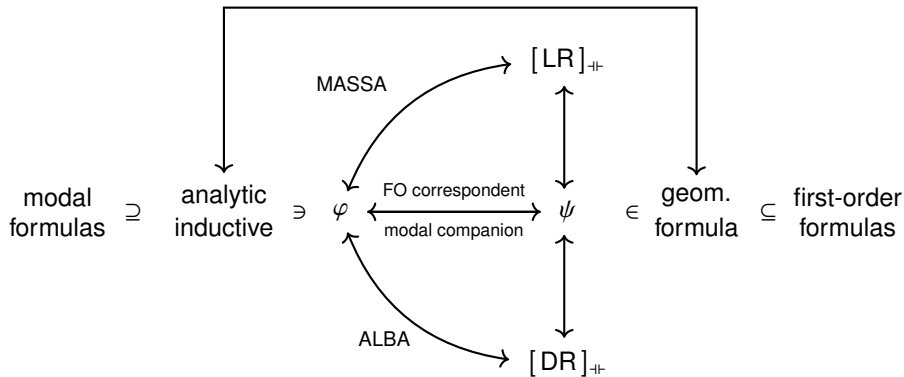
- ▶ Agata Ciabattoni and Revantha Ramanayake. 2016. *Power and Limits of Structural Display Rules*. [CR 16]

Analogous characterization is provided in a more general setting for a given but not fixed **display calculus**, by a procedure for transforming axioms into analytic structural rules (and showing the converse direction whenever the calculus satisfies additional conditions).

- ▶ Giuseppe Greco, Minghui Ma, Alessandra Palmigiano, Apostolos Tzimoulis, and Zhiguang Zhao. 2018. *Unified correspondence as a proof-theoretic tool*. [G. et al. 18]

Analogous characterization for arbitrary normal (D)LE-logics via connection with generalized Sahlqvist **correspondence theory**: **ALBA** (which computes the first-order correspondent of (analytic) inductive (D)LE-axioms) can be used to compute analytic rule(s) too.

# Analytic inductive $\leftrightarrow$ analytic rules $\leftrightarrow$ geometric formulas





## Our contributions

The **semantic** equivalence between each **analytic inductive axiom**  $\varphi \vdash \psi$  and its corresponding **analytic structural rule(s)**  $R_1, \dots, R_n$  is an immediate consequence of the soundness of the rules of ALBA on perfect normal (distributive) lattice expansions (see [G. et al. 18]).

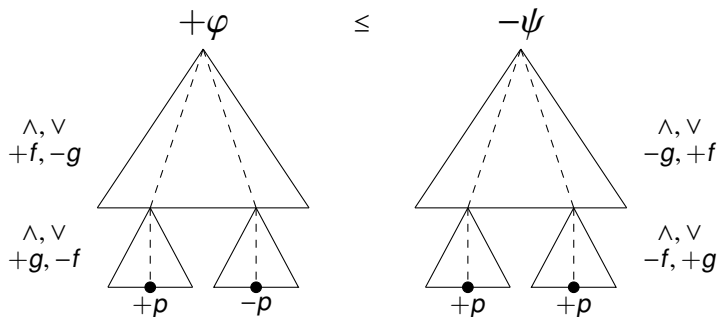
On the **syntactic** side, a description of the derivation, which relies on the proof-theoretic version of Ackermann's Lemma and therefore involves *cuts*, is presented in [CR 16].

An **effective procedure**  $P$  was still missing for building *cut-free* derivations of  $\varphi \vdash \psi$  in the basic proper display calculus  $D.(D)LE$  expanded with  $R_1, \dots, R_n$ .

$P$  establishes, via syntactic means, that:

- ▶ for any properly displayable (D)LE-logic  $L$ , the proper display calculus  $D.L$  derives all the theorems (or derivable sequents) of  $L$ : **syntactic completeness**.
- ▶  $P$  generate a *cut-free* derivation of a particular shape we call in **pre-normal form**.

# Analytic-inductive inequalities



Analytic inductive  $\Rightarrow$  Inductive  $\Rightarrow$  Canonical

# Examples

The definition of **analytic inductive inequalities** is uniform in each signature.

- ▶ **Analytic** inductive axioms

$$(A \rightarrow B) \vee (B \rightarrow A)$$

$$(\diamond A \rightarrow \square B) \rightarrow \square(A \rightarrow B)$$

- ▶ Sahlqvist but **non-analytic** axioms

$$A \rightarrow \diamond \square A$$

$$(\square A \rightarrow \diamond B) \rightarrow (A \rightarrow B)$$

# Basic normal LE-logics and associated display calculi

We define the proper display calculus  $D.LE$  for the basic normal  $\mathcal{L}_{LE}$ -logic in a fixed but arbitrary LE-signature  $\mathcal{L} = \mathcal{L}(\mathcal{F}, \mathcal{G})$ .

Let  $S_{\mathcal{F}} := \{\hat{f} \mid f \in \mathcal{F}^*\}$  and  $S_{\mathcal{G}} := \{\check{g} \mid g \in \mathcal{G}^*\}$  be the sets of structural connectives associated with  $\mathcal{F}^*$  and  $\mathcal{G}^*$  respectively (**fully residuated signature**).

Each such structural connective comes with an **arity** and an **order-type** which coincides with those of its associated operational connective in  $\mathcal{F}^*$  and  $\mathcal{G}^*$ .

## Theorem

The logic  $L_{LE}^*$  is a **conservative extension** of  $L_{LE}$ , i.e. every  $\mathcal{L}_{LE}$ -sequent  $\varphi \vdash \psi$  is derivable in  $L_{LE}$  if and only if  $\varphi \vdash \psi$  is derivable in  $L_{LE}^*$  (we use **canonical extensions**).

# Display calculi for basic normal LE-logics

The calculus *D.LE* manipulates sequents  $\Pi \vdash \Sigma$  where the structures  $\Pi$  (for precedent) and  $\Sigma$  (for succedent) are defined by the following simultaneous recursion:

$$\begin{aligned} \text{Str}_{\mathcal{F}} \ni \Pi &::= \varphi \mid \hat{\top} \mid \hat{f}(\overline{\Pi}^{(\varepsilon_f)}) \\ \text{Str}_{\mathcal{G}} \ni \Sigma &::= \varphi \mid \perp \mid \check{g}(\overline{\Sigma}^{(\varepsilon_g)}) \end{aligned}$$

For any connective  $h$  of arity  $n \geq 1$ , the notational convention

- ▶  $\hat{h}$  conveys also the information that  $h$  is a **left-adjoint/residual**
- ▶  $\check{h}$  conveys the information that  $h$  is a **right-adjoint/residual**

We use  $\Upsilon_1, \dots, \Upsilon_n$  as structure metavariables in  $\text{Str}_{\mathcal{F}} \cup \text{Str}_{\mathcal{G}}$ .

The introduction rules of the calculus below will guarantee that:

- ▶  $\Upsilon \in \text{Str}_{\mathcal{F}}$  whenever it occurs in **precedent position**
- ▶ and  $\Upsilon \in \text{Str}_{\mathcal{G}}$  whenever it occurs in **succedent position**

# Lattice reduct

- ▶ Identity and cut rules:

$$\text{Id } \frac{}{p \vdash p} \quad \frac{\Pi \vdash \varphi \quad \varphi \vdash \Sigma}{\Pi \vdash \Sigma} \text{Cut}$$

- ▶ Structural rules for lattice connectives:

$$\top_W \frac{\hat{\top} \vdash \Sigma}{\Pi \vdash \Sigma} \quad \frac{\Pi \vdash \check{\perp}}{\Pi \vdash \Sigma} \perp_W$$

- ▶ Logical introduction rules for lattice connectives:

$$\begin{array}{ccc} \top_L \frac{\hat{\top} \vdash \Sigma}{\top \vdash \Sigma} & \frac{}{\hat{\top} \vdash \top} \top_R & \perp_L \frac{}{\perp \vdash \check{\perp}} \quad \frac{\Pi \vdash \check{\perp}}{\Pi \vdash \perp} \perp_R \\ \\ \wedge_{L2} \frac{\psi \vdash \Sigma}{\varphi \wedge \psi \vdash \Sigma} & \wedge_{L1} \frac{\varphi \vdash \Sigma}{\varphi \wedge \psi \vdash \Sigma} & \frac{\Pi \vdash \varphi \quad \Pi \vdash \psi}{\Pi \vdash \varphi \wedge \psi} \wedge_R \\ \\ \vee_L \frac{\varphi \vdash \Sigma \quad \psi \vdash \Sigma}{\varphi \vee \psi \vdash \Sigma} & \frac{\Pi \vdash \varphi}{\Pi \vdash \varphi \vee \psi} \vee_{R1} & \frac{\Pi \vdash \psi}{\Pi \vdash \varphi \vee \psi} \vee_{R2} \end{array}$$

## Display postulates for $f \in \mathcal{F}$ and $g \in \mathcal{G}$

- ▶ for any  $1 \leq i, j \leq n_f$  and  $1 \leq h, k \leq n_g$ ,

If  $\varepsilon_f(i) = 1$  and  $\varepsilon_g(h) = 1$ ,

$$\hat{f}_{\rightarrow} \check{f}_i^{\#} \frac{\hat{f}(\Upsilon_1, \dots, \Pi_i, \dots, \Upsilon_{n_f}) \vdash \Sigma}{\Pi_i \vdash \check{f}_i^{\#}(\Upsilon_1, \dots, \Sigma, \dots, \Upsilon_{n_f})} \frac{\Pi \vdash \check{g}(\Upsilon_1, \dots, \Sigma_h, \dots, \Upsilon_{n_g})}{\hat{g}_h^b(\Upsilon_1, \dots, \Pi, \dots, \Upsilon_{n_g}) \vdash \Sigma_h} \hat{g}_h^b \rightarrow \check{g}$$

If  $\varepsilon_f(j) = \partial$  and  $\varepsilon_g(k) = \partial$ ,

$$(\hat{f}, \hat{f}_j^{\#}) \frac{\hat{f}(\Upsilon_1, \dots, \Sigma_j, \dots, \Upsilon_{n_f}) \vdash \Sigma}{\hat{f}_j^{\#}(\Upsilon_1, \dots, \Sigma, \dots, \Upsilon_{n_f}) \vdash \Sigma_j} \frac{\Pi \vdash \check{g}(\Upsilon_1, \dots, \Pi_k, \dots, \Upsilon_{n_g})}{\Pi_k \vdash \check{g}_k^b(\Upsilon_1, \dots, \Pi, \dots, \Upsilon_{n_g})} (\check{g}, \check{g}_k^b)$$

## Logical rules for $f \in \mathcal{F}$ and $g \in \mathcal{G}$

We omit the rules for a generic connective  $h \in (\mathcal{F} \cap \mathcal{G})$  of arity  $n = 1$ .

$$\begin{array}{c}
 \frac{\left( \Upsilon_i \vdash \varphi_i \quad \varphi_j \vdash \Upsilon_j \mid 1 \leq i, j \leq n_f, \varepsilon_f(i) = 1 \text{ and } \varepsilon_f(j) = \partial \right)}{\hat{f}(\Upsilon_1, \dots, \Upsilon_{n_f}) \vdash f(\varphi_1, \dots, \varphi_{n_f})} f_R \\
 \\
 g_L \frac{\left( \varphi_i \vdash \Upsilon_i \quad \Upsilon_j \vdash \varphi_j \mid 1 \leq i, j \leq n_g, \varepsilon_g(i) = 1 \text{ and } \varepsilon_g(j) = \partial \right)}{g(\varphi_1, \dots, \varphi_{n_g}) \vdash \check{g}(\Upsilon_1, \dots, \Upsilon_{n_g})} \\
 \\
 f_L \frac{\hat{f}(\varphi_1, \dots, \varphi_{n_f}) \vdash \Sigma}{f(\varphi_1, \dots, \varphi_{n_f}) \vdash \Sigma} \quad \frac{\Pi \vdash \check{g}(\varphi_1, \dots, \varphi_{n_g})}{\Pi \vdash g(\varphi_1, \dots, \varphi_{n_g})} g_R
 \end{array}$$

### Proposition

The calculus  $D.LE$  (hence also  $\underline{D.LE}$ ) is **sound** w.r.t. the class of complete  $\mathcal{L}$ -algebras.

### Proposition

The calculus  $D.LE$  is a proper display calculus, and hence **cut elimination** holds for it as a consequence of a Belnap-style cut elimination meta-theorem.



## Distributive lattice reduct

The language of  $D.DLE$  for the basic  $\mathcal{L}_{DLE}$ -logic is obtained by augmenting the language of  $D.LE$  with:

Structural symbols	$\hat{\top}$	$\check{\perp}$	$\hat{\wedge}$	$\check{\vee}$	$\hat{>}$	$\check{\rightarrow}$	$\hat{<}$	$\check{\leftarrow}$
Operational symbols	$\top$	$\perp$	$\wedge$	$\vee$	$(>)$	$(\rightarrow)$	$(<)$	$(\leftarrow)$

Since  $\wedge$  and  $\vee$  distribute over each other, besides being  $\Delta$ -adjoints, they can also be treated as elements of  $\mathcal{F}$  and  $\mathcal{G}$  respectively: the **display postulates** and **logical rules** follow the same pattern and we omit them.

The **structural rules** encoding the characterizing properties of the lattice connectives are as expected and we omit them.



# Lattice

- (i) **Skeleton**( $\pi$ ) is the proof-subtree of  $\pi$  containing the root of  $\pi$  and applications of **invertible rules** for the introduction of all connectives occurring in the Skeleton of  $\varphi \vdash \psi$  (possibly modulo applications of display rules);
- (ii) **PIA**( $\pi$ ) is a collection of proof-subtrees of  $\pi$  containing the initial axioms of  $\pi$  and all the applications of **non-invertible rules** for the introduction of connectives occurring in the maximal PIA-subtrees in the signed generation trees of  $\varphi \vdash \psi$  (possibly modulo applications of display rules) and such that
- (iii) the root of each proof-subtree in PIA( $\pi$ ) coincides with a premise of the application of  $R(Ax)$  in  $\pi$ , where the atomic structural variables are suitably instantiated with maximal PIA-subformulas of  $\varphi \vdash \psi$ .

## Distributive lattice

- (i) **Skeleton**( $\pi$ ) is the proof-subtree of  $\pi$  containing, possibly modulo applications of display rules, the root of  $\pi$  and applications of
  - (a) **invertible rules** for the introduction of all connectives occurring as SLR nodes ( $+f, -g$  with  $n \geq 1$ ) in the Skeleton of  $\varphi \vdash \psi$ ;
  - (b) **non-invertible rules** and **Contraction** for the introduction of all connectives occurring as  $\Delta$ -adjoint nodes ( $+\vee, -\wedge$ ) in the Skeleton of  $\varphi \vdash \psi$ ;
- (ii) **PIA**( $\pi$ ) is a collection of proof-subtrees of  $\pi$  containing, possibly modulo applications of display rules, the initial axioms of  $\pi$  and applications of
  - (a) **non-invertible rules** for the introduction of all connectives occurring as unary SRA nodes ( $+g, -f$ ) or as SRR nodes ( $+\vee, -\wedge$  and  $+g, -f$  with  $n \geq 2$ ) in the maximal PIA-subtrees in the signed generation trees of  $\varphi \vdash \psi$ ;
  - (b) **invertible rules** and **Weakening** for the introduction of all lattice connectives occurring as SRA nodes ( $+\wedge, -\vee$ ) in the maximal PIA-subtrees in the signed generation trees of  $\varphi \vdash \psi$ ;
- (iii) the root of each proof-subtree in PIA( $\pi$ ) coincides with a premise of the application of  $R(Ax)$  in  $\pi$ , where the atomic structural variables are suitably instantiated with operational maximal PIA-subtrees of  $\varphi \vdash \psi$ .

# Syntactic completeness

## Theorem

*Any analytic inductive LE-axiom (resp. DLE-axiom)  $\varphi \vdash \psi$  can be effectively derived in the corresponding basic cut-free calculus D.LE (resp. D.DLE) enriched with the structural analytic rules  $R_1, \dots, R_n$  corresponding to  $\varphi \vdash \psi$ .*

*Moreover, the cut-free derivation is in pre-normal form.*

## ...to prove the theorem we need a few lemmas

- ▶ Two key-lemmas provide the tools for obtaining the sub-derivations in **PIA**( $\pi$ ). An inspection on the proofs of these results reveals that indeed only **non-invertible logical rules** and **display rules** are applied.
- ▶ Two key-lemmas provide the tools involving the introduction of the **lattice connectives**. An inspection on the proofs reveals that only introduction rules of **one type** are applied in each component.

We represent  $(\Omega, \varepsilon)$ -analytic inductive inequalities/sequents as follows:

$$(\varphi \leq \psi)[\bar{\alpha}/!\bar{x}, \bar{\beta}/!\bar{y}, \bar{\gamma}/!\bar{z}, \bar{\delta}/!\bar{w}] \quad (\varphi \vdash \psi)[\bar{\alpha}/!\bar{x}, \bar{\beta}/!\bar{y}, \bar{\gamma}/!\bar{z}, \bar{\delta}/!\bar{w}],$$

where:

- ▶  $(\varphi \leq \psi)[!\bar{x}, !\bar{y}, !\bar{z}, !\bar{w}]$  is the skeleton of the given inequality,  $\bar{\alpha}$  (resp.  $\bar{\beta}$ ) denotes the vector of positive (resp. negative) maximal PIA subformulas
- ▶  $\bar{\gamma}$  (resp.  $\bar{\delta}$ ) denotes the vector of positive (resp. negative) maximal  $\varepsilon^\partial$ -uniform PIA subformulas

## Computing the analytic-inductive rule

ALBA-run computing the structural rule for  $\diamond\Box(p \wedge q) \vdash \Box\diamond p \vee \Box\diamond q$ :

$$\diamond\Box(p \wedge q) \vdash \Box\diamond p \vee \Box\diamond q$$

iff  $\forall p \forall q \forall x \forall y \forall z [x \vdash \Box(p \wedge q) \ \& \ \diamond p \vdash y \ \& \ \diamond q \vdash z \Rightarrow \diamond x \vdash \Box y \vee \Box z]$

iff  $\forall p \forall q \forall x \forall y \forall z [x \vdash \Box(p \wedge q) \ \& \ p \vdash \blacksquare y \ \& \ q \vdash \blacksquare z \Rightarrow \diamond x \vdash \Box y \vee \Box z]$

iff  $\forall x \forall y \forall z [x \vdash \Box(\blacksquare y \wedge \blacksquare z) \Rightarrow \diamond x \vdash \Box y \vee \Box z]$

iff  $\forall x \forall y \forall z [x \vdash \Box\blacksquare y \ \& \ x \vdash \Box\blacksquare z \Rightarrow \diamond x \vdash \Box y \vee \Box z]$

# Producing the derivation in pre-normal form

$$\begin{array}{c}
 \frac{\frac{\frac{\frac{p \vdash p}{\hat{\diamond} p \vdash \diamond p} \diamond_R}{p \vdash \check{\blacktriangleright} \diamond p} \hat{\diamond} \dashv \check{\blacktriangleright}}{p \hat{\wedge} q \vdash \check{\blacktriangleright} \diamond p} W_L}{p \wedge q \vdash \check{\blacktriangleright} \diamond p} \wedge_L}{\square(p \wedge q) \vdash \check{\blacktriangleright} \check{\blacktriangleright} \diamond p} \square_L \\
 \\
 \frac{\frac{\frac{\frac{q \vdash q}{\hat{\diamond} q \vdash \diamond q} \diamond_R}{q \vdash \check{\blacktriangleright} \diamond q} \hat{\diamond} \dashv \check{\blacktriangleright}}{q \hat{\wedge} p \vdash \check{\blacktriangleright} \diamond q} W_L}{p \hat{\wedge} q \vdash \check{\blacktriangleright} \diamond q} E_L}{p \wedge q \vdash \check{\blacktriangleright} \diamond q} \wedge_L}{\square(p \wedge q) \vdash \check{\blacktriangleright} \check{\blacktriangleright} \diamond q} \square_L \\
 \\
 \left. \begin{array}{c}
 \frac{\frac{\frac{\frac{\frac{\hat{\diamond} \square(p \wedge q) \vdash \check{\blacktriangleright} \diamond p \check{\vee} \check{\blacktriangleright} \diamond q}{\hat{\diamond} \square(p \wedge q) \hat{\prec} \check{\blacktriangleright} \diamond q \vdash \check{\blacktriangleright} \diamond p} \square_R}{\hat{\diamond} \square(p \wedge q) \hat{\prec} \check{\blacktriangleright} \diamond q \vdash \square \diamond p} \hat{\prec} \dashv \check{\blacktriangleright}}{\hat{\diamond} \square(p \wedge q) \vdash \square \diamond p \check{\vee} \check{\blacktriangleright} \diamond q} \hat{\prec} \dashv \check{\blacktriangleright}}{\square \diamond p \hat{\succ} \hat{\diamond} \square(p \wedge q) \vdash \check{\blacktriangleright} \diamond q} \square_R}{\diamond p \hat{\succ} \hat{\diamond} \square(p \wedge q) \vdash \square \diamond q} \hat{\succ} \dashv \check{\blacktriangleright}}{\hat{\diamond} \square(p \wedge q) \vdash \square \diamond p \check{\vee} \square \diamond q} \vee_R}{\hat{\diamond} \square(p \wedge q) \vdash \square \diamond p \vee \square \diamond q} \vee_R}{\diamond \square(p \wedge q) \vdash \square \diamond p \vee \square \diamond q} \diamond_L
 \end{array} \right\} \text{(Lemma)}
 \end{array}$$



# Conclusions

- ✓ Proper display calculi enjoys syntactic completeness and derivations in pre normal form can be effectively produced.
- ▶ Provide formal translations between derivations in different formalisms.