

# Projective unification through duality

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Possible solutions:  $x := \neg p$ ,  $x := \top$ , ...

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$x := p \wedge \langle \text{Tomorrow} \rangle \neg p$  is a solution.

But also

$$x := p \wedge q \wedge \langle \text{Tomorrow} \rangle \neg p$$

$$x := p \wedge q \wedge r \wedge \langle \text{Tomorrow} \rangle \neg p$$

...

How to describe the set of solutions?

- ① Unification and projectivity
- ② A characterization via duality
- ③ Application: projectivity results
- ④ Application: non-projectivity results

# The problem of unification

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A formula  $\varphi \in \mathcal{L}_P$  is **unifiable** in a normal modal logic  $\mathbf{L}$  if there exists a substitution  $\sigma : \mathcal{L}_P \rightarrow \mathcal{L}_Q$  such that  $\vdash_{\mathbf{L}} \sigma(\varphi)$ .  
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## Definition

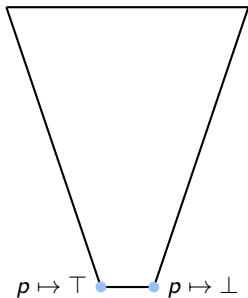
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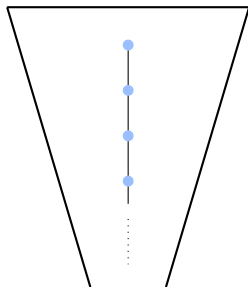
We write  $\sigma \preceq_{\mathbf{L}} \tau$  whenever  $\tau \equiv_{\mathbf{L}} \mu \circ \sigma$  for some substitution  $\mu$ .  
 $\sigma \preceq_{\mathbf{L}} \tau$  reads “ $\sigma$  is at least as general as  $\tau$ ”.

## Structural concerns

How nice unification is in  $\mathbf{L}$  depends on the properties of  $\preceq_{\mathbf{L}}$ .



Unifiers of  $p \rightarrow \Box p$  in  $\mathbf{K} + \Diamond \top$



Unifiers of  $p \rightarrow \Box p$  in  $\mathbf{K}$   
(Jeřábek 2015)

## Projective unification

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### Example

The substitution  $\sigma$  defined by  $\sigma(p) := p \wedge \Box \neg p$  is a projective unifier of  $p \rightarrow \Box \neg p$  in  $\mathbf{K}$ .

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### Definition

A logic  $\mathbf{L}$  is **projective** if every unifiable formula possesses a projective unifier.

The logics **K45**, **S4.3** and **S5** are projective. There are not many examples. . .



- ① Unification and projectivity
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## Duality

We denote by  $\mathbf{A}_P$  the Lindenbaum algebra of  $\mathbf{L}$  over the variables in  $P$ . A substitution  $\sigma : \mathcal{L}_P \rightarrow \mathcal{L}_Q$  can be identified to a homomorphism  $\sigma : \mathbf{A}_P \rightarrow \mathbf{A}_Q$ .

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$$\begin{array}{ccc} \mathbf{A}_P & \xrightarrow{\sigma} & \mathbf{A}_Q \\ \vdots & & \vdots \\ \mathfrak{F}_P & \xleftarrow{\sigma^*} & \mathfrak{F}_Q \end{array}$$

Here  $\mathfrak{F}_P$  is the canonical Kripke frame over  $P$ .

## Dual unifiers

Let  $\widehat{\varphi}$  denote the extension of a formula  $\varphi \in \mathcal{L}_P$  within  $\mathfrak{F}_P$ . We then define the **tight extension** of  $\varphi$  as

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A **dual unifier** of  $\varphi \in \mathcal{L}_P$  is a map  $f : \mathfrak{F}_Q \rightarrow \mathfrak{F}_P$  such that:

- 1  $f$  is a bounded morphism;
- 2 for all  $\psi \in \mathcal{L}_P$  there exists  $\theta \in \mathcal{L}_Q$  such that  $f^{-1}[\widehat{\psi}] = \widehat{\theta}$  (*continuity*);
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### Theorem

$\sigma$  is a unifier of  $\varphi$  iff  $\sigma^*$  is a dual unifier of  $\varphi$ .

# Projective dual unifiers

A **projective dual unifier** of  $\varphi$  is a dual unifier  $f : \mathfrak{F}_P \rightarrow \mathfrak{F}_P$  of  $\varphi$  such that

$$f(x) = x \text{ for all } x \in \widehat{\varphi}^\infty.$$

## Theorem

*$\sigma$  is a projective unifier of  $\varphi$  iff  $\sigma^*$  is a projective dual unifier of  $\varphi$ .*

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## Application to $\mathbf{K4}_n\mathbf{B}_k$

All extensions of

$\mathbf{K4}_n\mathbf{B}_k := \mathbf{K} + (\Box^{\leq n} p \rightarrow \Box^{n+1} p) + (p \rightarrow \Box^{\leq k} \Diamond^{\leq k} p)$  are known to be projective (Kostrzycka, 2022).

We propose a proof based on duality.

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### Proof sketch.

We fix  $\varphi \in \mathcal{L}_P$ .

Since  $\vdash_{\mathbf{L}} p \rightarrow \Box^{\leq k} \Diamond^{\leq k} p$  the frame  $\mathfrak{F}_P = (X, R)$  is *k-symmetric*:

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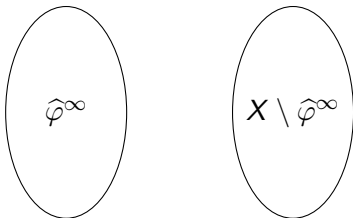
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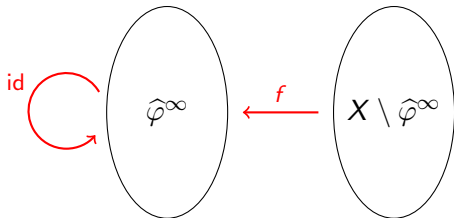
Hence  $\widehat{\varphi}^\infty := \bigcap_{n \in \mathbb{N}} \widehat{\Box^n \varphi}$  is both **upward closed** and **downward closed** (with respect to  $R$ ).

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Suppose that  $\varphi$  has a dual unifier  $f : \mathfrak{F}_P \rightarrow \mathfrak{F}_P$  in  $\mathbf{L}$ . 

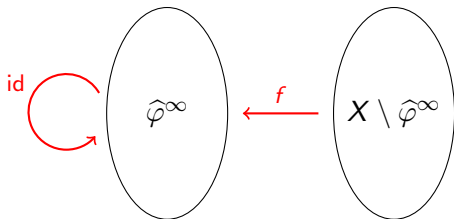
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Suppose that  $\varphi$  has a dual unifier  $f : \mathfrak{F}_P \rightarrow \mathfrak{F}_P$  in  $\mathbf{L}$ . ⚠

Define  $g(x) := \begin{cases} x & \text{if } x \in \hat{\varphi}^\infty \\ f(x) & \text{otherwise} \end{cases}$ .

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$\text{Im}(g) \subseteq \hat{\varphi}^\infty$  ✓

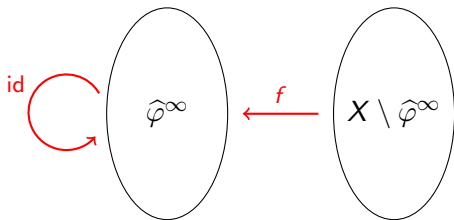
$g$  bounded morphism ✓

$g(x) = x$  for all  $x \in \hat{\varphi}^\infty$  ✓

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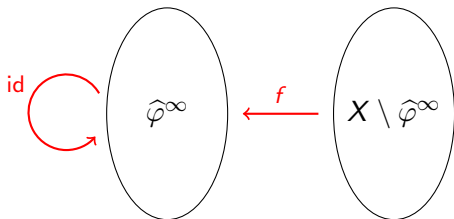
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Continuity:  $\vdash_{\mathbf{L}} \Box^{\leq n} p \rightarrow \Box^{n+1} p$  yields  $\hat{\varphi}^\infty := \bigcap_{n \in \mathbb{N}} \widehat{\Box^n \varphi} = \widehat{\Box^{\leq n} \varphi}$ ,

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whence

$$\begin{aligned} g^{-1}[\hat{\psi}] &= (\hat{\psi} \cap \hat{\varphi}^\infty) \cup (f^{-1}[\hat{\psi}] \cap X \setminus \hat{\varphi}^\infty) \\ &= (\hat{\psi} \cap \widehat{\Box^{\leq n} \varphi}) \cup (f^{-1}[\hat{\psi}] \cap \widehat{\neg \Box^{\leq n} \varphi}). \end{aligned}$$



## Application to **K4D1**

Kost (2018) showed that the projective extensions of **K4** are exactly the extensions of

$$\mathbf{K4D1} := \mathbf{K4} + \Box(\Box p \rightarrow q) \vee \Box(\Box q \rightarrow p).$$

We partially recover this result.

### Definition

A logic **L** is *locally tabular* if  $\mathbf{A}_P$  is finite for all finite  $P$ .

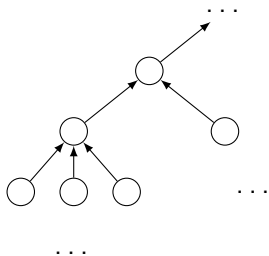
### Theorem

*If  $\mathbf{K4D1} \subseteq \mathbf{L}$  and  $\mathbf{L}$  is locally tabular then  $\mathbf{L}$  is projective.*

## Application to **K4D1**

**Proof sketch.** Since **K4D1**  $\subseteq$  **L**, the frame  $\mathfrak{F}_P = (X, R)$  is transitive and *linear*:

$$xRy \text{ and } xRz \implies yRz \text{ or } zRy$$

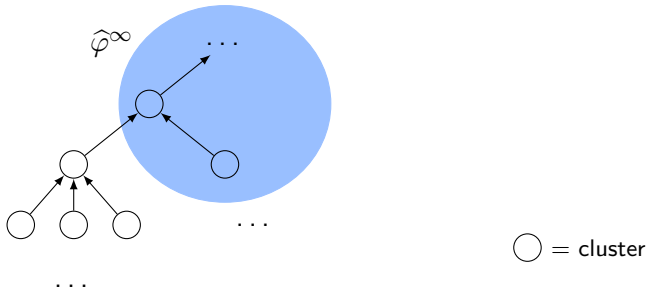


○ = cluster

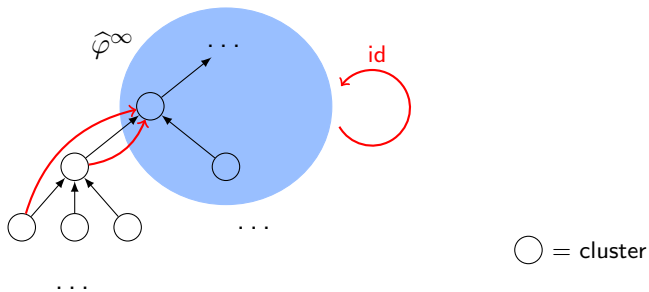
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## Application to K4D1



Suppose that  $\varphi$  has a dual unifier  $f : \mathfrak{F}_P \rightarrow \mathfrak{F}_P$  in  $\mathbf{L}$ .  
We define

$$g(x) := \begin{cases} x & \text{if } x \in \hat{\varphi}^\infty \\ \text{some } R\text{-minimal } y \in \hat{\varphi}^\infty \text{ s.t. } xRy & \text{otherwise, if such } y \text{ exists} \\ f(x) & \text{otherwise} \end{cases}$$

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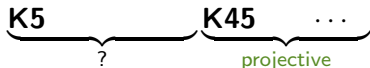
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### Theorem

*If  $\mathbf{K5} \subseteq \mathbf{L}$  but  $\mathbf{K45} \not\subseteq \mathbf{L}$  then  $\diamond\diamond p \rightarrow \diamond p$  is unifiable but not projective in  $\mathbf{L}$ .*



## The projective extensions of $\mathbf{K4}_n\mathbf{D1}_n$

We write

$$\mathbf{K4}_n := \mathbf{K} + \Box^{\leq n} p \rightarrow \Box^{n+1} p,$$

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*If  $\mathbf{K4}_n \subseteq \mathbf{L}$  and  $\mathbf{K4}_n\mathbf{D1}_n \not\subseteq \mathbf{L}$  then  $\Box(\Box^{\leq n} p \rightarrow q) \vee \Box(\Box^{\leq n} q \rightarrow p)$  is unifiable but not projective in  $\mathbf{L}$ .*

$$\underbrace{\mathbf{K4}_n}_{\text{not projective}} \quad \mathbf{K4}_n\mathbf{D1}_n \quad \dots$$

## Future work

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Then  $\sigma$  is an unifier of  $\varphi$  **relatively** to  $\theta$ :

$$\theta \vdash_{\mathbf{L}} \sigma(\varphi).$$

**Thanks for listening!**