

# Hereditary Structural Completeness over $K4$ : Rybakov's Theorem Revisited

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# Background

**Definition** A rule  $\Gamma/\varphi$  is said to be **admissible** for a deductive system  $\vdash$  iff the set of tautologies of  $\vdash$  is closed under applications of  $\Gamma/\varphi$ .

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Whilst **every derivable rule** for a given deductive system is **admissible** the **converse** can fail.

This gap has motivated an in depth study of admissibility, including **Friedman** [1975], **Rybakov** [1984], **lehmhoff** [2001] and **Jeřábek** [2010].

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Investigations by Prucnal [1972] and Dzik & Wroński [1973] among others suggested that whilst a **full characterisation** of SC intermediate and modal logics was out of reach a **hereditarily structurally complete (HSC) characterisation** might be possible.

**Definition** If every finitary extension of  $\vdash$  is structurally complete then we say  $\vdash$  is **HSC**.

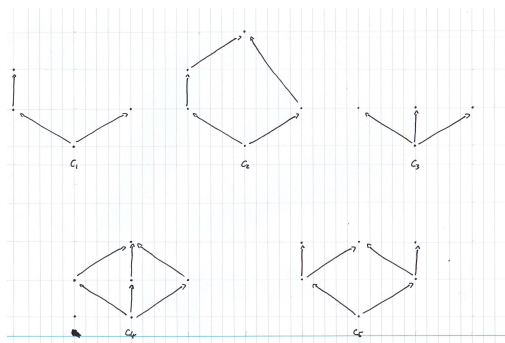
# Citkin's Theorem

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**Citkin's Theorem** [1978] In order for an intermediate logic  $\Lambda$  to be HSC it is **necessary** and **sufficient** that  $\Lambda$  is **not included** in any of the logics  $Log(C_i) : 1 \leq i \leq 5$ .





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Together these allow one to translate the logical problem into an algebraic one whose solution is aided with topological methods.

This strategy can also be applied to the modal case and Rybakov's result.

However, more than simply provide a new proof, this approach illuminates a mistake in Rybakov's characterisation. It is too restrictive and misses an infinite collection of HSC transitive modal logics.

We want to both correct and prove the characterisation.

## The Two Characterisations

**Rybakov's Theorem** In order for a modal logic  $\Lambda$  over K4 to be HSC it is necessary and sufficient that  $\Lambda$  is not included in any of the logics  $Log(F_i) : 1 \leq i \leq 13$ .

# The Two Characterisations

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**Revised Theorem** In order for a modal logic  $\Lambda$  over  $K4$  to be HSC it is **necessary** and **sufficient** that  $\Lambda$  is **not included** in any of the logics  $Log(F_i) : 1 \leq i \leq 17$  and  $Log(G_n)$  for some  $n \in \omega$ .

# Proof Strategy

Characterising the HSC logics over  $K4$  is **equivalent** to characterising **primitive** sub-varieties of  $K4$ -algebras.



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Characterising the HSC logics over K4 is **equivalent** to characterising **primitive** sub-varieties of K4-algebras.

**Definition** A variety  $\mathcal{A}$  is **primitive** iff every sub-quasivariety of  $\mathcal{A}$  is a variety.

**Theorem** A normal modal logic  $\Lambda$  over K4 is **HSC** iff its corresponding variety  $\mathcal{A}$  is **primitive**.

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**Definition** An algebra  $A$  is **weakly projective** in a variety  $\mathcal{A}$  iff  $\forall B \in \mathcal{A}$  iff  $A \in \mathbb{H}(B)$  then  $A \in \mathbb{IS}(B)$ .

An algebra  $A$  is **finitely subdirectly irreducible** (FSI) iff the identity relation is  $\wedge$ -irreducible in the congruence lattice of  $A$ .

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An algebra  $A$  is **finitely subdirectly irreducible** (FSI) iff the identity relation is  $\wedge$ -irreducible in the congruence lattice of  $A$ .

**Lemma** Let  $\mathcal{A}$  be a variety of K4-algebras.

- (i) If  $\mathcal{A}$  is **primitive** then the **finite, non-trivial FSI** members of  $\mathcal{A}$  are **weakly projective** in  $\mathcal{A}$ .
- (ii) Suppose all sub-varieties of  $\mathcal{A}$  have the **FMP**. If the **finite, non-trivial FSI** members of  $\mathcal{A}$  are **weakly projective** in  $\mathcal{A}$  then  $\mathcal{A}$  is **primitive**.

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**Definition** A **transitive space** is a triple  $\mathcal{X} := (X, \tau, R)$  where  $(X, R)$  is a Kripke frame,  $(X, \tau)$  is a **Stone space** and such that

- (i)  $R[x]$  is closed for all  $x \in X$ ;
- (ii)  $R^{-1}[U]$  is clopen for all clopen  $U \subseteq X$ ;
- (iii)  $R$  is a transitive relation.

**Theorem** The category of K4-algebras and category of transitive spaces are **dually equivalent**.

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**Theorem** The category of K4-algebras and category of transitive spaces are **dually equivalent**.

Algebra	Topology
FSI	Rooted
Sub-algebra	Quotient Space
Quotient Algebra	Closed Upset
Direct Product	Disjoint Union

# Explaining the Mistake

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*Proof Sketch:* The variety  $\mathcal{A}$  is locally finite, so it is sufficient to show its finite non-trivial FSI members are weakly projective members are primitive.

We argue via duality that all its members have a particular shape and conclude the main result from there.

# The Easy Direction

**Recall** If a variety of K4-algebras  $\mathcal{A}$  is **primitive** then the finite, non-trivial FSI members of  $\mathcal{A}$  are **weakly projective** in  $\mathcal{A}$ .

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**Lemma** Primitive varieties of K4-algebras omit  $F_i^* : 1 \leq i \leq 17$  and  $G_n^*$  for some  $n > 0$ .

*Proof Sketch:* For each  $1 \leq i \leq 17$  we show that if  $\mathcal{A}$  contains  $F_i^{**}$  then it contains a finite, non-trivial FSI member not weakly projective in  $\mathcal{A}$ .

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For the  $G_n^*$  claim, we show that if  $\mathcal{A}$  includes  $G_n^*$  for all  $n \in \omega$  then it contains  $G_\omega^*$  which makes  $G_1^*$  finite, non-trivial FSI but not weakly projective.

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We first establish a detailed description of the finitely generated, non-trivial SI members of the varieties.

This requires establishing a group of results demonstrating certain frame substructures never appear in our spaces.

# The Difficult Direction

**Theorem** Let  $\mathcal{A}$  be a variety omitting  $F_i^* : 1 \leq i \leq 17$  and  $G_n^*$  for some  $n > 0$ . Let  $A \in \mathcal{V}$  be finitely generated, non-trivial and SI. Then the frame underlying  $A_*$  is a sequential composition of frames  $\bigoplus_{\alpha \leq \beta} Q_\alpha$  for some  $\beta \in \text{Ord}$  and such that:

$$Q_\alpha \text{ is } \begin{cases} \text{a single cluster} & \text{if } \alpha = \beta \text{ or } \alpha \text{ is a limit ordinal} \\ \text{a single cluster, a two cluster anti-chain or } H & \text{if } \alpha = 0 \\ \text{a single cluster or a two cluster anti-chain} & \text{otherwise} \end{cases}$$

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Moreover: Any maximal clusters are single reflexive points

If  $Q_\alpha$  is a two cluster anti-chain then clusters in  $Q_{\alpha+1}$  are improper.

If  $A_*$  contains an irreflexive point then  $\beta = \lambda + n$  for some limit ordinal  $\lambda$ ,  $n \neq 0$  and  $\exists 0 < m \leq n : \forall \alpha < \lambda + m$   $Q_\alpha$  contains no irreflexive points,  $\forall k \geq m$   $Q_{\lambda+k}$  is a single irreflexive point and if  $m < n$  then  $Q_{\lambda+m-1}$  is a single cluster.

# The Difficult Direction

**Theorem** All our varieties have the **FMP**.

*Proof Sketch:* We follow a variation on the **drop point technique** of K. Fine. Given an algebra  $A$  and formula  $\varphi$  it invalidates, we use the previous structural result to construct a finite sub-algebra of  $A$  that also invalidates  $\varphi$ .

# The Difficult Direction

**Theorem** Let  $\mathcal{A}$  be one of our varieties. Every **finite, non-trivial FSI** member of  $\mathcal{A}$  is **weakly projective** in  $\mathcal{A}$ .

*Proof Sketch:* Letting  $A \in \mathbb{H}(B)$  we want to show that  $A \in \mathbb{IS}(B)$ . By the duality this amounts to assuming  $A_*$  is a closed upset of  $B_*$  and we want to show  $A_*$  is also a  $p$ -morphic image of  $B_*$ .

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We do this by recursively collapsing  $B_*$  into  $A_*$  in a process enabled by the structural result.

# Summary

Combing all our results we have a complete characterisation of primitive K4-algebras.

**Theorem** A variety of K4-algebras  $\mathcal{A}$  is primitive iff  $\mathcal{A}$  omits  $(F_i)^* : 1 \leq i \leq 17$  and  $(G_n)^*$  for some  $n > 0$ .



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Consequently we also have a complete characterisation of HSC logics over K4.

**Revised Theorem** In order for a modal logic  $\Lambda$  over K4 to be HSC it is necessary and sufficient that  $\Lambda$  is not included in any of the logics  $Log(F_i) : 1 \leq i \leq 17$  and  $Log(G_n)$  for some  $n \in \omega$ .

# Further Study

Extend the strategy to situations with a comparable set-up.

Candidates include:

1. Modal logics over  $wK4$ ;
2. All modal logics;
3. Intuitionistic modal logic;
4. Multi-modal logic.

# Thanks

Thank you all for listening.

Additional thanks goes to Nick and Tommaso, the supervisors of my master's thesis from which this talk is based.