

PAIRS OF SETS WITH SMALL SUMSET AND SMALL PERIODIC PRODUCT-SET

CRISTINA CALDEIRA

Dedicated to J.A. Dias da Silva

ABSTRACT: We characterize the pairs (A, B) of finite non-empty subsets of a field such that $|A + B| = \min\{p, |A| + |B| - 1\}$ and $|AB| = \max\{|A|, |B|\}$.

KEYWORDS: Sumset, product-set, cardinality.

AMS SUBJECT CLASSIFICATION (2010): 11P70.

1. Introduction

Let \mathbb{F} be a field and p its characteristic in nonzero characteristic, $p = +\infty$ otherwise. We denote $\mathbb{F} \setminus \{0\}$ by \mathbb{F}^* .

Let $A \neq \{0\}$ and $B \neq \{0\}$ be two non-empty finite subsets of \mathbb{F} . The sumset of A and B is the set $A + B = \{a + b : a \in A \text{ and } b \in B\}$ and the product-set is $AB = \{ab : a \in A \text{ and } b \in B\}$. When $\mathbb{F} = \mathbb{Z}_p$, Cauchy-Davenport Theorem [2, 3, 4] establishes a lower bound for the cardinality of $A + B$:

$$|A + B| \geq \min\{p, |A| + |B| - 1\}.$$

In [5] Dias da Silva and Hamidoune proved that this result holds for any field.

For the product-set the trivial lower bound $|AB| \geq \max\{|A|, |B|\}$ is best possible. Equality holds, for instance, when A and B are cosets associated to the same subgroup of (\mathbb{F}^*, \cdot) .

We characterize the pairs (A, B) of finite non-empty subsets of \mathbb{F} such that $|A + B| = \min\{p, |A| + |B| - 1\}$ and $|AB| = \max\{|A|, |B|\}$.

Received October 11, 2010.

This research was done within the activities of “Centro de Matemática da Universidade de Coimbra/FCT”.

2. Polynomials whose roots are arithmetic or geometric progressions

Let $u, d \in \mathbb{F}$ and $k \in \mathbb{N}$. We denote by $B^{(k)}(u, d)$ the $k \times k$ upper-triangular matrix with elements in \mathbb{F} , such that its (i, j) -entry is

$$b_{i,j}^{(k)} = \begin{cases} k+1-i & \text{if } i = j \\ (-d)^{j-i-1} \left[(u-d) \binom{j}{i} + d \binom{j+1}{i} \right] & \text{if } i < j \\ 0 & \text{if } i > j. \end{cases}$$

Notice that, for $k < p$, $B^{(k)}(u, d)$ is invertible.

We denote by $C^{(k)}(u, d)$ the vector in \mathbb{F}^k with i -entry given by

$$c_i^{(k)} = (-d)^{k-i} \left(u \binom{k+1}{i} + d \binom{k+1}{i-1} \right), \quad \text{for } i = 1, \dots, k.$$

Next we present a characterization for the coefficients of a monic polynomial whose roots are a given arithmetic progression.

Proposition 1. *Let $u, d \in \mathbb{F}$, $n \in \mathbb{N}$ be such that $d \neq 0$ and $n \leq p$. The roots of the polynomial $X^n - \sum_{i=0}^{n-1} A_i X^i \in \mathbb{F}[X]$ are $u, u+d, \dots, u+(n-1)d$ if and only if $A_0 = (-1)^n \prod_{i=0}^{n-1} (u+id)$ and $B^{(n-1)}(u, d)[A_1 \cdots A_{n-1}]^T = C^{(n-1)}(u, d)$.*

Proof: Suppose $X^n - \sum_{i=0}^{n-1} A_i X^i = \prod_{i=0}^{n-1} (X - u - id)$. Obviously, $A_0 = (-1)^n \prod_{i=0}^{n-1} (u+id)$ and

$$\begin{aligned} \prod_{i=0}^{n-1} (X - u - id) &= (X - u + d) \left(X^n - \sum_{i=0}^{n-1} A_i X^i \right) \\ &= X^{n+1} + \sum_{i=0}^n [(u-d)A_i - A_{i-1}] X^i, \end{aligned}$$

where $A_{-1} := 0$ and $A_n := -1$.

Consider $Y = X + d$. Then

$$\begin{aligned} \prod_{i=0}^n (Y - u - id) &= (Y - d)^{n+1} + \sum_{i=0}^n [(u - d)A_i - A_{i-1}] (Y - d)^i \\ \Leftrightarrow (Y - u - nd) \sum_{j=0}^n -A_j Y^j &= \\ &= (Y - d)^{n+1} + \sum_{i=0}^n [(u - d)A_i - A_{i-1}] (Y - d)^i. \end{aligned} \quad (1)$$

Comparing the coefficients of Y^j in both sides of (1) we obtain

$$\begin{aligned} (n - j)dA_j + \sum_{i=j+1}^{n-1} (-1)^{i-j+1} d^{i-j} \left[d \binom{i+1}{j} + (u - d) \binom{i}{j} \right] A_i &= \\ = (-1)^{n-j+1} d^{n-j} \left[d \binom{n+1}{j} + (u - d) \binom{n}{j} \right], & \quad j = 1, \dots, n - 1, \end{aligned}$$

that is,

$$\sum_{i=j}^{n-1} b_{ji}^{(n-1)} A_i = c_j^{(n-1)}, \quad j = 1, \dots, n - 1.$$

Reciprocally, let $q(X) = X^n - \sum_{i=0}^{n-1} A_i X^i$, where $A_0 = (-1)^n \prod_{i=0}^{n-1} (u + id)$ and $B^{(n-1)}(u, d)[A_1 \cdots A_{n-1}]^T = C^{(n-1)}(u, d)$.

Consider $t(X) = \prod_{i=0}^{n-1} (X - u - id) = X^n - \sum_{i=0}^{n-1} B_i X^i$. Of course $B_0 = A_0$ and, from what we have already proved, $[B_1 B_2 \cdots B_{n-1}]^T$ is a solution of the system $B^{(n-1)}(u, d)x = C^{(n-1)}(u, d)$. Since $p > n - 1$, matrix $B^{(n-1)}(u, d)$ is invertible and so $A_i = B_i$, for $i = 1, \dots, n - 1$. \blacksquare

In the next proposition we present an explicit characterization for the coefficients of a polynomial whose roots are a given geometric progression. As a corollary we obtain, for finite p , a result on certain subgroups of $\{1, \dots, p-1\}$ in the multiplicative group of the field \mathbb{F} . This corollary is used in section 4.

Proposition 2. *Let $u, r \in \mathbb{F}^*$, $n \in \mathbb{N}$ be such that $r \neq 1$. Then*

$$\prod_{i=0}^{n-1} (X - ur^i) = X^n + \sum_{i=1}^{n-1} d_i^{(n)}(u, r) X^i + (-u)^n r^{\frac{n(n-1)}{2}},$$

where

$$d_i^{(n)}(u, r) = (-u)^{n-i} r^{\frac{(n-i)(n-i-1)}{2}} \prod_{j=1}^{\min\{i, n-i\}} \frac{1 - r^{n-j+1}}{1 - r^j}, \quad i = 1, \dots, n-1.$$

Proof: It is a matter of straight forward calculations to prove that, for $n \geq 2$, $d_1^{(n+1)}(u, r) = (-u)^n r^{\frac{n(n-1)}{2}} - ur^n d_1^{(n)}(u, r)$, $d_n^{(n+1)}(u, r) = d_{n-1}^{(n)}(u, r) - ur^n$ and

$$d_i^{(n+1)}(u, r) = d_{i-1}^{(n)}(u, r) - ur^n d_i^{(n)}(u, r), \quad i = 2, \dots, n-1.$$

The result follows by induction on n . ■

Corollary 1. *Suppose p is finite and $p > 2$. Let $H = \langle h \rangle \neq \{1\}$ be a subgroup of $\{1, 2, \dots, p-1\}$ in the multiplicative group of the field \mathbb{F} . Then*

$$H = \{1\} \dot{\cup} \{1 + |H| h^j : j = 1, \dots, |H| - 1\}$$

if and only if $H = \{1, p-1\}$ or $H = \{1, 2, \dots, p-1\}$.

Proof: It is trivial to prove that $\{1, p-1\}$ and $\{1, 2, \dots, p-1\}$ satisfy the desired condition. Suppose $H = \{1\} \dot{\cup} \{1 + th^j : j = 1, \dots, t-1\}$ where $t = |H| \geq 3$. Then the polynomials in $\mathbb{F}[X]$

$$f(X) = \prod_{j=1}^{t-1} (X - h^j) = \frac{X^t - 1}{X - 1} = \sum_{i=0}^{t-1} X^i \quad \text{and} \quad g(X) = \prod_{j=1}^{t-1} (X - 1 - th^j)$$

coincide.

First we consider the case $t = 3$. The coefficients of X^0 in $f(X)$ and $g(X)$ are, respectively, 1 and $(-1 - 3h)(-1 - 3h^2)$. From $h^3 = 1$ and $h \neq 1$ we get $h^2 + h + 1 = 0$. Then, from $1 = (-1 - 3h)(-1 - 3h^2)$ it follows that $6 \equiv 0 \pmod{p}$, which is absurd, since $t = 3$ and $t|p-1$.

Next we suppose $t > 3$. Since

$$\begin{aligned} g(X) &= \prod_{j=1}^{t-1} [(X - 1) - th^j] \\ &= (X - 1)^{t-1} + \sum_{i=1}^{t-2} d_i^{(t-1)}(th, h)(X - 1)^i + (-th)^{t-1} h^{\frac{(t-1)(t-2)}{2}}, \end{aligned}$$

the coefficient of X^{t-3} in $g(X)$ coincides with the coefficient of X^{t-3} in

$$(X - 1)^{t-1} + d_{t-2}^{(t-1)}(th, h)(X - 1)^{t-2} + d_{t-3}^{(t-1)}(th, h)(X - 1)^{t-3}.$$

Hence, the coefficient of X^{t-3} in $g(X)$ is

$$\begin{cases} 8h \frac{1-h^3}{1-h} (2h^2 + 1) + 3 & \text{if } t = 4 \\ th \frac{1-h^{t-1}}{1-h} \left(th^2 \frac{1-h^{t-2}}{1-h^2} + t - 2 \right) + \frac{(t-1)(t-2)}{2} & \text{if } t \geq 5 \end{cases}. \quad (2)$$

If $t = 4$ then $\text{ord } h = 4$ and, from $h^4 = 1 \Leftrightarrow (h^2 - 1)(h^2 + 1) = 0$, it follows that $h^2 = -1$. Then, making (2) equal to 1, we have $10 \equiv 0 \pmod{p}$. Hence $p = 5$ and $H = \{1, 2, 3, 4\}$.

If $t \geq 5$, since

$$h \frac{1 - h^{t-1}}{1 - h} = h + h^2 + \dots + h^{t-1} = -1$$

and

$$h^2 \frac{1 - h^{t-2}}{1 - h^2} = \frac{h^2}{1 + h} (1 + h + \dots + h^{t-3}) = -\frac{h^t + h^{t+1}}{1 + h} = -1,$$

we obtain $t(t+1) \equiv 0 \pmod{p}$. From $t \mid p-1$, it follows that $t = p-1$ and $H = \{1, \dots, p-1\}$. \blacksquare

3. Auxiliary results

In this section we begin by presenting, for the benefit of the reader, two known results on the cardinalities of $A + B$ and AB , respectively. The first one is just a generalization of Vosper's Theorem [10, 11] to the additive group of an arbitrary field. The second is a trivial corollary from Kneser's Theorem. As before, \mathbb{F} is a field and p its characteristic in nonzero characteristic, $p = +\infty$ otherwise.

Proposition 3. [1, Lemma 2.6] *Let A and B be finite nonempty subsets of \mathbb{F} .*

$$|A + B| = \min\{p, |A| + |B| - 1\}$$

if and only if one of the following alternatives holds:

- (1): $1 = |A| \leq |B| \leq p$ or $1 = |B| \leq |A| \leq p$;
- (2): A and B are arithmetic progressions with the same difference;
- (3): $|A| + |B| = p$ and there exist $d \in \mathbb{F}^*$, $a \in A$, $b \in B$ and $n \in \{1, 2, \dots, p-1\}$ such that $A \subsetneq a + \{0, d, \dots, (p-1)d\}$, $B \subsetneq b + \{0, d, \dots, (p-1)d\}$ and $a + b + nd - A = (b + \{0, d, \dots, (p-1)d\}) \setminus B$;
- (4): $|A| + |B| \geq p + 1$ and there exist $d \in \mathbb{F}^*$, $a \in A$, $b \in B$ such that $A \subseteq a + \{0, d, \dots, (p-1)d\}$ and $B \subseteq b + \{0, d, \dots, (p-1)d\}$.

Remarks

- (1) If (3) holds then $A + B = (a + b + \{0, d, \dots, (p-1)d\}) \setminus \{a + b + nd\}$;
- (2) If (4) holds then $A + B = a + b + \{0, d, \dots, (p-1)d\}$;
- (3) If (4) holds then, for all $a \in A, b \in B, A \subseteq a + \{0, d, \dots, (p-1)d\}$ and $B \subseteq b + \{0, d, \dots, (p-1)d\}$;
- (4) If (3) holds then, for all $a \in A, b \in B$, there exists $n \in \{1, \dots, p-1\}$ (depending on a and b) such that $A \subsetneq a + \{0, d, \dots, (p-1)d\}, B \subsetneq b + \{0, d, \dots, (p-1)d\}$ and $a + b + nd - A = (b + \{0, d, \dots, (p-1)d\}) \setminus B$.

Let G be an abelian group with multiplicative notation and let A be a non-empty subset of G . The *stabilizer* of A in G is the subgroup of G , $H(A) = \{g \in G : gA = A\}$.

Since A is the union of $H(A)$ -cosets, if A is finite then $H(A)$ is a finite subgroup of G . A non-empty set A is said periodic if $H(A) \neq \{1\}$.

Theorem 1. (Kneser's Theorem) [6, 7, 9] *Let A and B be two finite non-empty subsets of an abelian group (G, \cdot) . Let H denote the stabilizer of AB in G . Then $|AB| \geq |A| + |B|$ or $|AB| = |AH| + |BH| - |H|$.*

From Kneser's Theorem it is easy to obtain the next corollary.

Corollary 2. *Let A and B be two finite non-empty subsets of an abelian group (G, \cdot) such that $|B| \geq |A| \geq 2$. Then $|AB| = |B|$ if and only if $|H(B)| \geq 2$ and $A \subseteq aH(B)$, for all $a \in A$.*

Notice that, from the previous corollary and from $H(B) \subseteq H(AB)$, it follows that, if A and B are finite non-empty subsets of a group such that $|A| \geq 2, |B| \geq 2$ and $|AB| = \max\{|A|, |B|\}$ then AB is periodic.

In order to use Proposition 3 and Corollary 2 simultaneously, we need to obtain information, for finite p , on arithmetic progressions that contain a geometric progression of length at least 3. This will be done in the next lemma.

Lemma 1. *Suppose $p > 2$ is finite and let $c, d \in \mathbb{F}^*, r \in \mathbb{F}^* \setminus \{-1, 1\}$ be such that $cr, cr^2 \in c + d\{0, 1, \dots, p-1\}$. Then $r \in \{1, 2, \dots, p-1\}$ and $c^{p-1} = d^{p-1}$.*

Proof: Let $k_1, k_2 \in \{1, \dots, p-1\}$ be such that $cr^j = c + dk_j, j = 1, 2$. Since $k_j^{p-1} = 1$ it follows that

$$(r^j - 1)^{p-1} = (dc^{-1})^{p-1}, \quad j = 1, 2. \quad (3)$$

Then

$$(r^2 - 1)^{p-1} = (r - 1)^{p-1} \Leftrightarrow (r + 1)^p = r + 1 \Leftrightarrow r^{p-1} = 1 \Rightarrow r \in \{1, 2, \dots, p-1\}.$$

Also, from $r \in \{1, 2, \dots, p-1\}$ and (3) it follows that $c^{p-1} = d^{p-1}$. \blacksquare

In the next lemma we obtain information on the stabilizer in (\mathbb{F}^*, \cdot) of periodic subsets of type $C \cap \mathbb{F}^*$ when p is finite and C is a subset of an arithmetic progression.

Lemma 2. *Suppose $p > 2$ is finite and let C be a subset of \mathbb{F} such that $|C| \geq 2$ and C is a subset of an arithmetic progression with difference $d \in \mathbb{F}^*$. If $|H(C \cap \mathbb{F}^*)| \geq 2$ then $H(C \cap \mathbb{F}^*) \subseteq \{1, 2, \dots, p-1\}$ and $C \subseteq d\{0, 1, \dots, p-1\}$.*

Proof: Let $C^* = C \cap \mathbb{F}^*$, $H = H(C^*)$ and $t = |H| \geq 2$. Every finite subgroup of the multiplicative group of a field is cyclic [8, Theorem 1.9, pg 177] so, there exists $r \in \mathbb{F}^*$, with $\text{ord } r = t$, such that $H = \langle r \rangle$.

Let $c \in C^*$. Then $cH = c\{1, r, \dots, r^{t-1}\} \subseteq C \subseteq c + \{0, d, \dots, (p-1)d\}$.

Suppose $t \geq 3$. From Lemma 1 it follows that $r \in \{1, 2, \dots, p-1\}$. Hence $H \subseteq \{1, 2, \dots, p-1\}$. Also, from Lemma 1 we have $c^{p-1} = d^{p-1}$. This is true for all $c \in C^* = C \cap \mathbb{F}^*$, therefore

$$C \cap \mathbb{F}^* \subseteq \{x \in \mathbb{F}^* : x^{p-1} = d^{p-1}\} = d\{1, 2, \dots, p-1\}.$$

If $t = 2$ then $H = \{1, p-1\}$, $r = p-1$ and, using the same arguments as in the proof of Lemma 1, we have,

$$(r-1)^{p-1} = (dc^{-1})^{p-1} \Leftrightarrow 1 = (dc^{-1})^{p-1}.$$

Therefore $c^{p-1} = d^{p-1}$, for all $c \in C \cap \mathbb{F}^*$. \blacksquare

If C is an arithmetic progression then the result in Lemma 2 may be improved.

Lemma 3. *Suppose $p > 2$ and let $C \subseteq \mathbb{F}$ be a finite arithmetic progression, with difference $d \in \mathbb{F}^*$. If $|H(C \cap \mathbb{F}^*)| \geq 2$ then one the following alternatives holds:*

- (1): p is finite and $C = d\{0, 1, 2, \dots, p-1\}$;
- (2): p is finite and $C = d\{1, 2, \dots, p-1\}$;
- (3): $C = d\{-k, -k+1, \dots, -1, 0, 1, \dots, k\}$, for some $k \in \mathbb{N}$ such that $2k+1 < p$;
- (4): $C = d\{-k+2^{-1}+i : i = 0, 1, \dots, 2k-1\}$, for some $k \in \mathbb{N}$ such that $2k+1 < p$.

Proof: Let $H = H(C \cap \mathbb{F}^*)$ and $t = |H| \geq 2$. Then $H = \{x \in \mathbb{F}^* : x^t = 1\}$. $C \cap \mathbb{F}^*$ is an union of H -cosets, so there exist $k \in \mathbb{N}$, $c_1, \dots, c_k \in C \cap \mathbb{F}^*$ such that

$$C \cap \mathbb{F}^* = \bigcup_{i=1}^k \overset{\bullet}{c_i} H = \bigcup_{i=1}^k \overset{\bullet}{\{x \in \mathbb{F}^* : x^t = c_i^t\}}.$$

Let u be the first term of the arithmetic progression C . If p is finite then $C \subseteq u + \{0, d, \dots, (p-1)d\}$ and, from Lemma 2, $H \subseteq \{1, 2, \dots, p-1\}$. So, if $p = |C|$ and $0 \in C$ then (1) holds. We consider the remaining four cases:

Case I: : $p > |C|$, $0 \in C$ and $t = 2$.

In this case, $H = \{-1, 1\}$ and $C = \{0\} \cup \bigcup_{i=1}^k \overset{\bullet}{\{c_i, -c_i\}}$. Then C is the set of the roots of the polynomial

$$X \prod_{i=1}^k (X^2 - c_i^2) = X^{2k+1} - \sum_{i=1}^{2k} A_i X^i,$$

where $A_i = 0$ for i even. Then $A_{2k} = 0$ and, from Proposition 1, we have

$B^{(2k)}(u, d)[A_1 A_2 \cdots A_{2k-1} 0]^T = C^{(2k)}(u, d)$. Considering the last one of these $2k$ equalities we obtain, since $2k + 1 = |C| < p$,

$$u \binom{2k+1}{2k} + d \binom{2k+1}{2k-1} = 0 \Leftrightarrow u = -dk.$$

Hence (3) holds.

Case II: : $0 \notin C$ and $t = 2$.

C is the set of roots of the polynomial

$$\prod_{i=1}^k (X^2 - c_i^2) = X^{2k} - \sum_{i=1}^{2k-1} A_i X^i,$$

where $A_i = 0$ for i odd. As in the previous case, from Proposition 1, we have, since $2k = |C| < p$,

$$u \binom{2k}{2k-1} + d \binom{2k}{2k-2} = 0 \Leftrightarrow u = (-k + 2^{-1})d.$$

Hence (4) or (2) hold, according $2k + 1 < p$ or $2k + 1 = p$.

Case III: : $p > |C|$, $0 \in C$ and $t \geq 3$.

The set C is the set of all roots of the polynomial

$$X \prod_{i=1}^k (X^t - c_i^t) = X^{kt+1} - \sum_{i=1}^{kt} A_i X^i,$$

where $A_i = 0$ for $i \not\equiv 1 \pmod{t}$. Since $kt \not\equiv 1 \pmod{t}$ and $kt - 1 \not\equiv 1 \pmod{t}$ $A_{kt} = A_{kt-1} = 0$. Then, from Proposition 1, we have

$$\begin{cases} u \binom{kt+1}{kt-1} + d \binom{kt+1}{kt-2} = 0 \\ u \binom{kt+1}{kt} + d \binom{kt+1}{kt-1} = 0 \end{cases}.$$

From these two equalities it follows, because $p > |C| = kt + 1 > 3$, that p is finite, $kt + 2 = p$ and $u = d$. Then $C = d\{1, 2, \dots, p-1\}$ but this is absurd, since we are assuming that $0 \in C$.

Case IV: : $0 \notin C$ and $t \geq 3$.

As in case III, from Proposition 1 we have

$$\begin{cases} u \binom{kt}{kt-2} + d \binom{kt}{kt-3} = 0 \\ u \binom{kt}{kt-1} + d \binom{kt}{kt-2} = 0 \end{cases},$$

and, since $p > kt \geq 3$, it follows that p is finite, $p = kt + 1$ and $u = d$. Then $C = d\{1, 2, \dots, p-1\}$ and (2) holds. \blacksquare

Remarks

- (1) If (1) or (2) hold then $H(C \cap \mathbb{F}^*) = \{1, 2, \dots, p-1\}$;
- (2) If (3) holds then $0 \in C$ and $H(C \setminus \{0\}) = \{-1, 1\}$;
- (3) If (4) holds then $0 \notin C$ and $H(C) = \{-1, 1\}$.

4. Main Result

In order to obtain the main result we will prove three results each of which characterizing the pairs (A, B) satisfying: one of cases (2)-(4) from Proposition 3, $|B| \geq |A| \geq 2$ and $|AB| = |B|$.

Proposition 4. *Let A and B be two finite subsets of \mathbb{F} such that $|B| \geq |A| \geq 2$. Then $|AB| = |B|$ and A and B are arithmetic progressions with the same difference if and only if one of the following alternatives holds:*

- (1): $A = d\{0, 1\}$ and B is an arithmetic progression with difference d that contains 0, for some $d \in \mathbb{F}^*$;

- (2): $A = \{-d, 0, d\}$ and $B = d\{-k, \dots, -1, 0, 1, \dots, k\}$, for some $d \in \mathbb{F}^*$ and $k \in \mathbb{N}$ such that $p > 2k + 1$;
- (3): $A = \{-\frac{d}{2}, \frac{d}{2}\}$ and $B = d\{-k, \dots, -1, 0, 1, \dots, k\}$, for some $d \in \mathbb{F}^*$ and $k \in \mathbb{N}$ such that $p > 2k + 1$;
- (4): $A = \{-\frac{d}{2}, \frac{d}{2}\}$ and $B = d\{-k + 2^{-1} + i : i = 0, 1, \dots, 2k - 1\}$, for some $d \in \mathbb{F}^*$ and $k \in \mathbb{N}$ such that $p > 2k + 1$;
- (5): p is finite and $A = d(s + \{0, 1, \dots, \ell - 1\})$, $B = d\{1, \dots, p - 1\}$, for some $d \in \mathbb{F}^*$ and $s, \ell \in \mathbb{N}$ such that $\ell \geq 2$, $s < p - 1$ and $s + \ell \leq p$;
- (6): p is finite and $A = d(s + \{0, 1, \dots, \ell - 1\})$, $B = d\{0, 1, \dots, p - 1\}$, for some $d \in \mathbb{F}^*$ and $s, \ell \in \mathbb{N}$ such that $2 \leq \ell \leq p$ and $s \leq p - 1$.

Proof: First we consider two arithmetic progressions, A and B , with the same difference $d \in \mathbb{F}^*$, such that $|B| \geq |A| \geq 2$ and $|AB| = |B|$.

If $p = 2$ then $|AB| = |A| = |B| = 2$ and it is easy to prove that (1) holds.

Suppose $p > 2$. Let $A^* = A \cap \mathbb{F}^*$, $B^* = B \cap \mathbb{F}^*$, $H = H(B^*)$ and $t = |H|$. Notice that, from $|AB| = |B|$ it follows that if $0 \in A$ then also $0 \in B$. Hence $0 \in AB \Leftrightarrow 0 \in B$ and $|A^*B^*| = |B^*|$. Then, also, $|B^*| \geq |A^*|$. If $|A^*| = 1$ then (1) holds. Suppose $|A^*| \geq 2$. Then, from Corollary 2 it follows that $t \geq 2$ and $A^* \subseteq aH$, for all $a \in A^*$. From Lemma 3, applied to B , we have four possible cases.

- p is finite and $B = d\{0, 1, \dots, p - 1\}$:
Suppose $A = \{a', a' + d, \dots, a' + (\ell - 1)d\}$, where $\ell = |A| \in \{2, \dots, p\}$. From $|AB| = |B|$ it follows that $AB = a'B$. Then $(a' + d)d \in a'B$. Hence, for some $i \in \{2, \dots, p\}$, we have

$$a' + d = a'i \Leftrightarrow a'(i - 1) = d.$$

Let $s \in \{1, \dots, p - 1\}$ be the inverse, modulus p , of $i - 1$. Then $a' = sd$ and $A = d(s + \{0, 1, \dots, \ell - 1\})$. Hence (6) holds.

- p is finite and $B = d\{1, \dots, p - 1\}$:
Similarly to the previous case it can be proved that (5) holds. Notice that, in this case, $0 \notin B$. Hence $0 \notin A$ and $s + \ell \leq p$.
- $B = d\{-k, -k + 1, \dots, -1, 0, 1, \dots, k\}$, for some $k \in \mathbb{N}$ such that $p > 2k + 1$:
In this case $H = \{-1, 1\}$. Hence, (2) or (3) hold according $0 \in A$ or $0 \notin A$.
- $B = d\{-k + 2^{-1} + i : i = 0, 1, \dots, 2k - 1\}$, for some $k \in \mathbb{N}$ such that $p > 2k + 1$:

In this case $0 \notin B$ and $H = \{-1, 1\}$. Then $0 \notin A$ and $|A| = 2$. Then (4) holds.

Let A and B satisfy one of the conditions (1)-(6). It is obvious that they are arithmetic progressions with difference d . It remains to prove that $|AB| = |B|$. For the six possible cases we have:

(1): $AB = dB$.

(2): $AB = \{0\} \dot{\bigcup} \{id^2 : i = 1, 2, \dots, k\} \dot{\bigcup} \{-id^2 : i = 1, 2, \dots, k\}$. Therefore $|AB| = 2k + 1 = |B|$.

(3): $AB = \{0\} \dot{\bigcup} \{i2^{-1}d^2 : i = 1, 2, \dots, k\} \dot{\bigcup} \{-i2^{-1}d^2 : i = 1, 2, \dots, k\}$.

Then $|AB| = 2k + 1 = |B|$.

(4): $AB = \{d^2 2^{-1}(-k + i + 2^{-1}) : i = 0, 1, \dots, 2k - 1\}$. Then $|AB| = 2k = |B|$.

(5): From Corollary 2 it is sufficient to prove that $|H(B)| \geq 2$ and $A \subseteq aH(B)$, for all $a \in A$. It is obvious that $H(B) = \{1, 2, \dots, p-1\}$. Let $a \in A$. Then $a = jd$ for some $j \in \{s, s+1, s+\ell-1\}$ and $aH(B) = jd\{1, 2, \dots, p-1\}$. Since the congruence $jx \equiv i \pmod{p}$ has exactly one solution in $\{1, \dots, p-1\}$, for $i = 1, \dots, p-1$, then, from $A = d\{i : i = s, s+1, \dots, s+\ell-1\} \subseteq d\{1, \dots, p-1\}$, it follows that $A \subseteq aH(B)$.

(6): Since $B^* = d\{1, 2, \dots, p-1\}$ then $H(B^*) = \{1, 2, \dots, p-1\}$ and, as in case (5), we have $A^* \subseteq aH(B^*)$, for all $a \in A^*$. Then $|AB| = |A^*B^*| + 1 = |B^*| + 1 = |B|$. \blacksquare

Proposition 5. *Suppose p is finite and let A and B be two finite subsets of \mathbb{F} such that $|B| \geq |A| \geq 2$. Then, the pair (A, B) satisfies*

(i): $|A| + |B| \geq p + 1$;

(ii): $|AB| = |B|$;

(iii): *There exists $d \in \mathbb{F}^*$ such that $A \subseteq a + d\{0, 1, \dots, p-1\}$, $B \subseteq b + d\{0, 1, \dots, p-1\}$, for all $a \in A$, $b \in B$;*

if and only if one of the following cases holds:

(1): $|A| = 2$, $|B| \in \{p-1, p\}$, $0 \in A \cap B$ and $A, B \subseteq d\{0, 1, \dots, p-1\}$, for some $d \in \mathbb{F}^*$;

(2): $A \subseteq B = d\{0, 1, 2, \dots, p-1\}$, for some $d \in \mathbb{F}^*$;

- (3): $A \subseteq B = d\{1, 2, \dots, p-1\}$, for some $d \in \mathbb{F}^*$;
(4): There exist $\{1\} \neq H \subsetneq \{1, 2, \dots, p-1\}$ subgroup of (\mathbb{F}^*, \cdot) , $d \in \mathbb{F}^*$, $c_1, c_2, \dots, c_{k+1} \in \{1, 2, \dots, p-1\}$, where $k = \frac{p-1}{|H|} - 1$, and $j \in \{1, 2, \dots, k+1\}$ such that

$$\{1, 2, \dots, p-1\} = \bigcup_{i=1}^{k+1} c_i H, \quad B = \{0\} \dot{\cup} \bigcup_{i=1}^k dc_i H$$

and $A = \{0\} \dot{\cup} dc_j H$.

Proof: Let A and B be subsets of \mathbb{F} such that (i)-(iii) hold. If $p = 2$ then (1) holds. Suppose $p > 2$. Let A^* , B^* , H and t be as in the proof of the previous proposition. Then $0 \in AB \Leftrightarrow 0 \in B$ and $|A^*B^*| = |B^*|$. Then, also, $|B^*| \geq |A^*|$.

If $|A^*| = 1$ then (1) holds. Suppose $|A^*| \geq 2$. Then, from Corollary 2 it follows that $t \geq 2$ and $A^* \subseteq aH$, for all $a \in A^*$. From Lemma 2, applied to B , we have $H \subseteq \{1, \dots, p-1\}$ and $B^* \subseteq d\{1, \dots, p-1\}$. Hence $p \equiv 1 \pmod{t}$. Since $H = H(B^*)$, B^* is the union of H -cosets. Let k be the number of such H -cosets, that is, $k = \frac{|B^*|}{t}$. We consider four cases:

(a): $0 \notin B$

Since $kt = |B| \leq p$ and $p \equiv 1 \pmod{t}$, we have $kt < p$. Then $kt < p \leq |A| + |B| - 1 \leq (k+1)t - 1$. From $p \equiv 1 \pmod{t}$ it follows that $p = kt + 1$ and $|B| = p - 1$. Therefore, $B = d\{1, \dots, p-1\}$, $H = H(B) = \{1, \dots, p-1\}$ and $k = 1$. Also, since $A \subseteq a + d\{1, \dots, p-1\}$ and $A \subseteq a\{1, \dots, p-1\}$ for all $a \in A$, we have $A \subseteq d\{1, \dots, p-1\}$ and (3) holds.

(b): $p = |B|$ and $0 \in B$

Then $B = d\{0, 1, \dots, p-1\}$, $H = H(B^*) = \{1, \dots, p-1\}$ and $k = 1$. Also, since $A \subseteq a + d\{0, 1, \dots, p-1\}$ and $A^* \subseteq a\{1, \dots, p-1\}$ for all $a \in A^*$, we have $A \subseteq d\{0, 1, \dots, p-1\}$ and (2) holds.

(c): $p > |B|$, $0 \in B$ and $0 \notin A$

In this case we have $kt + 1 = |B| < p \leq |A| + |B| - 1 \leq (k+1)t$ and this contradicts $p \equiv 1 \pmod{t}$.

(d): $p > |B|$ and $0 \in A \cap B$

Then $kt + 1 = |B| < p \leq |A| + |B| - 1 \leq (k+1)t + 1$. From $p \equiv 1 \pmod{t}$ it follows that $p = (k+1)t + 1$. Then $k = \frac{p-1}{t} - 1$, $|B| = p - t$ and $A = \{0\} \dot{\cup} aH$, for all $a \in A^*$.

Let $c_1, c_2, \dots, c_{k+1} \in \{1, 2, \dots, p-1\}$ be such that $\{1, 2, \dots, p-1\} = \bigcup_{i=1}^{k+1} c_i H$. Since $B^* \subseteq d\{1, 2, \dots, p-1\}$ and B^* is an union of k H -

cosets we may assume that $B = \{0\} \dot{\cup} \bigcup_{i=1}^k dc_i H$. From (iii) it follows

that $A \subseteq d\{0, 1, \dots, p-1\} = \{0\} \dot{\cup} \bigcup_{i=1}^{k+1} dc_i H$. Hence, because A^* is an H -coset, $A = \{0\} \dot{\cup} dc_j H$, for some $j \in \{1, \dots, k+1\}$ and (4) holds.

Let A and B satisfy one of the conditions (1)-(4). It is obvious that the pair (A, B) satisfies (i) and (iii). We have also $|AB| = |B|$ since, for the four possible cases, the set AB is

- (1): aB , where $\{a\} = A^*$;
- (2): $d^2\{0, 1, 2, \dots, p-1\} = dB$;
- (3): $d^2\{1, 2, \dots, p-1\} = dB$;
- (4): $dc_j B$. ■

Proposition 6. *Suppose p is finite and let A and B be two finite subsets of \mathbb{F} such that $|B| \geq |A| \geq 2$. Then, the pair (A, B) satisfies*

- (i): $|A| + |B| = p$;
- (ii): $|AB| = |B|$;
- (iii): *There exists $d \in \mathbb{F}^*$ such that, for all $a \in A$, $b \in B$, $A \subsetneq a + d\{0, 1, \dots, p-1\}$, $B \subsetneq b + d\{0, 1, \dots, p-1\}$ and $(b + d\{0, 1, \dots, p-1\}) \setminus B = a + b + nd - A$, for some $n \in \{1, 2, \dots, p-1\}$, depending on a and b ;*

if and only if one of the following cases holds:

- (1): $A = d\{0, \ell\}$ and $B = d\{0, 1, \dots, p-1\} \setminus d\{n, n-\ell\}$, for some $d \in \mathbb{F}^*$, $\ell, n \in \{1, 2, \dots, p-1\}$, with $n \neq \ell$;
- (2): *There exist $\{1\} \neq H \subsetneq \{1, 2, \dots, p-1\}$ subgroup of (\mathbb{F}^*, \cdot) , $d \in \mathbb{F}^*$, $c_1, c_2, \dots, c_{k+1} \in \{1, 2, \dots, p-1\}$, where $k = \frac{p-1}{|H|} - 1$, such that*

$$\{1, 2, \dots, p-1\} = \bigcup_{i=1}^{k+1} c_i H, \quad B = \{0\} \dot{\cup} \bigcup_{i=1}^k dc_i H$$

and $A = -dc_{k+1}H$.

Proof: Let A and B be subsets of \mathbb{F} such that (i)-(iii) hold. Let A^* , B^* , H and t be as in the proofs of the previous propositions. Then $0 \in AB \Leftrightarrow 0 \in B$, $|A^*B^*| = |B^*|$ and $|B^*| \geq |A^*|$.

If $|A^*| = 1$ then (1) holds.

Suppose $|A^*| \geq 2$. As in the proof of Proposition 5, $t \geq 2$, $H \subseteq \{1, \dots, p-1\}$, $p \equiv 1 \pmod{t}$, $A^* \subseteq aH$, for all $a \in A^*$ and $B^* \subseteq d\{1, \dots, p-1\}$ is the union of H -cosets. We denote by k be the number of such H -cosets, that is, $k = \frac{|B^*|}{t}$.

We consider three cases:

(a): $0 \notin B$

Then $kt = |B| < p = |A| + |B| \leq (k+1)t$. From $p \equiv 1 \pmod{t}$ it follows that $p = kt + 1$. Then $|A| + |B| = kt + 1$ and $|A| = 1$, which is absurd.

(b): $0 \in B$ and $0 \notin A$

In this case we have $kt + 1 = |B| < p = |A| + |B| \leq (k+1)t + 1$. Then $p = (k+1)t + 1$ and $|A| = t$. Hence $A = aH$, for all $a \in A$.

Let $c_1, c_2, \dots, c_{k+1} \in \{1, 2, \dots, p-1\}$ be such that $\{1, 2, \dots, p-1\} = \bigcup_{i=1}^{k+1} c_i H$. Since $B^* \subseteq d\{1, 2, \dots, p-1\} = \bigcup_{i=1}^{k+1} dc_i H$ and B^* is an union

of k H -cosets we may assume that $B = \{0\} \dot{\cup} \bigcup_{i=1}^k dc_i H$.

Let $a \in A$. Since $0 \in B$, from (iii) it follows that, for some $n \in \{1, 2, \dots, p-1\}$,

$$\begin{aligned} a + nd - A &= d\{0, 1, \dots, p-1\} \setminus B = d\{1, \dots, p-1\} \setminus B^* \\ &= dc_{k+1}H. \end{aligned} \tag{4}$$

Since $nd \in a + nd - A$, and $a + nd - A$ is an H -coset, we have $ndH = a + nd - A$.

Suppose $H = \langle h \rangle = \{1, h, \dots, h^{t-1}\}$. From $a + nd - aH = a + nd - A = ndH$ we have

$$\sum_{j=1}^{t-1} (a + nd - ah^j) = \sum_{j=1}^{t-1} ndh^j \Leftrightarrow a + nd = 0.$$

Then, from (4), it follows that $A = -dc_{k+1}H$ and (2) holds.

(c): $0 \in B$ and $0 \in A$

Then $kt+1 = |B| < p = |A| + |B| \leq (k+1)t+2$. Then $p = (k+1)t+1$ and $|A| = t$. Hence $A = \{0\} \dot{\cup} a_1H \setminus \{a_1\}$, for some $a_1 \in \mathbb{F}^*$. Since $0 \in A \cap B$, from (iii) it follows that, for some $n \in \{1, 2, \dots, p-1\}$,

$$nd - A = d\{0, 1, \dots, p-1\} \setminus B = d\{1, \dots, p-1\} \setminus B^*.$$

Then $nd - A$ is an H -coset. Since $nd \in nd - A$, we have $nd - A = ndH$.

Suppose $H = \langle h \rangle = \{1, h, \dots, h^{t-1}\}$. From $ndH = nd - A = nd - \{0\} \dot{\cup} (a_1H \setminus \{a_1\})$ it follows that

$$\sum_{j=1}^{t-1} (nd - a_1h^j) = \sum_{j=1}^{t-1} ndh^j \Leftrightarrow a_1 = -tnd.$$

But, from $nd - A = ndH = \{x \in \mathbb{F}^* : x^t = (nd)^t\}$, we also have that, for $j = 1, \dots, t-1$,

$$(nd - a_1h^j)^t = (nd)^t \Leftrightarrow (1 + th^j)^t = 1.$$

Then, $H = \{1 + th^j : j = 1, \dots, t-1\} \dot{\cup} \{1\}$ and, from Corollary 1, $H = \{1, p-1\}$ or $H = \{1, \dots, p-1\}$. None of these two cases is possible since $|A| = |H|$, $|A| + |B| = p$ and $p > |B| \geq |A| \geq 3$.

Let A and B satisfy condition (1). Then $|A| + |B| = p$ and $|AB| = |B|$ since $AB = \{0\} \dot{\cup} \ell d(B \setminus \{0\})$.

Let $a = rd$ and $b = sd$ be any two elements of A and B , respectively, where $r \in \{0, \ell\}$, $s \in \{0, 1, \dots, p-1\} \setminus \{\ell, n-\ell\}$. It is obvious that $A \subsetneq a + d\{0, 1, \dots, p-1\}$ and $B \subsetneq b + d\{0, 1, \dots, p-1\}$. Let $n' \in \{0, 1, \dots, p-1\}$ be such that $r + s + n' \equiv n \pmod{p}$. From $nd \notin A + B$ it follows that $n' \neq 0$. Also,

$$\begin{aligned} a + b + n'd - A &= (r + s + n')d - A = nd - A = d\{0, 1, \dots, p-1\} \setminus B \\ &= (b + d\{0, 1, \dots, p-1\}) \setminus B. \end{aligned}$$

Now suppose the pair (A, B) satisfies condition (2). Then $|A| + |B| = p$ and $|AB| = |B|$, since $AB = \{0\} \dot{\cup} \bigcup_{i=1}^k -d^2 c_i c_{k+1} H$.

Since $H \subsetneq \{1, 2, \dots, p-1\}$ and $c_1, c_2, \dots, c_{k+1} \in \{1, 2, \dots, p-1\}$, then $B \subsetneq d\{0, 1, \dots, p-1\}$ and $A \subsetneq d\{1, \dots, p-1\}$. Then, trivially, $B \subsetneq b + d\{0, 1, \dots, p-1\}$ and $A \subsetneq a + d\{1, \dots, p-1\}$, for all $b \in B$, $a \in A$.

Let $a = rd$ and $b = sd$ be any two elements of A and B , respectively, where $r \in \{1, \dots, p-1\}$, $s \in \{0, 1, \dots, p-1\}$. Let $n' \in \{0, 1, \dots, p-1\}$ be such that $r + s + n' \equiv 0 \pmod{p}$. From $B \cap -A = \emptyset$ it follows that $n' \neq 0$. Also, $a + b + n'd - A = -A = d\{0, 1, \dots, p-1\} \setminus B = (b + d\{0, 1, \dots, p-1\}) \setminus B$. ■

Remarks - Let A and B be two finite subsets of \mathbb{F} such that $|B| \geq |A| \geq 2$.

- (1) If (A, B) satisfies condition (1) of Proposition 5 then (A, B) satisfies condition (1) of Proposition 4;
- (2) If (A, B) satisfies condition (5) of Proposition 4 then (A, B) satisfies condition (3) of Proposition 5;
- (3) If (A, B) satisfies condition (6) of Proposition 4 then (A, B) satisfies condition (2) of Proposition 5.

From Propositions 3, 4, 5 and 6 we obtain next result.

Theorem 2. *Let A and B be two finite subsets of \mathbb{F} such that $|B| \geq |A| \geq 1$. Then $|AB| = |B|$ and $|A + B| = \min\{p, |A| + |B| - 1\}$ if and only if one of the following alternatives holds:*

- $1 = |A| \leq |B| \leq p$;
- $A = d\{0, 1\}$ and B is an arithmetic progression with difference d that contains 0, for some $d \in \mathbb{F}^*$;
- $A = \{-d, 0, d\}$ and $B = d\{-k, \dots, -1, 0, 1, \dots, k\}$, for some $d \in \mathbb{F}^*$ and $k \in \mathbb{N}$ such that $p > 2k + 1$;
- $A = \{-\frac{d}{2}, \frac{d}{2}\}$ and $B = d\{-k, \dots, -1, 0, 1, \dots, k\}$, for some $d \in \mathbb{F}^*$ and $k \in \mathbb{N}$ such that $p > 2k + 1$;
- $A = \{-\frac{d}{2}, \frac{d}{2}\}$ and $B = d\{-k + 2^{-1} + i : i = 0, 1, \dots, 2k - 1\}$, for some $d \in \mathbb{F}^*$ and $k \in \mathbb{N}$ such that $p > 2k + 1$;
- p is finite, $A = d\{0, \ell\}$ and $B = d\{0, 1, \dots, p-1\} \setminus d\{n, n-\ell\}$, for some $d \in \mathbb{F}^*$ and $\ell, n \in \{1, 2, \dots, p-1\}$, with $n \neq \ell$;
- p is finite and $A \subseteq B = d\{0, 1, 2, \dots, p-1\}$, for some $d \in \mathbb{F}^*$;
- p is finite and $A \subseteq B = d\{1, 2, \dots, p-1\}$, for some $d \in \mathbb{F}^*$;
- p is finite and there exist $\{1\} \neq H \subsetneq \{1, 2, \dots, p-1\}$ subgroup of (\mathbb{F}^*, \cdot) , $d \in \mathbb{F}^*$, $c_1, c_2, \dots, c_{k+1} \in \{1, 2, \dots, p-1\}$, where $k = \frac{p-1}{|H|} - 1$, and $j \in \{1, 2, \dots, k+1\}$ such that

$$\{1, 2, \dots, p-1\} = \bigcup_{i=1}^{k+1} c_i H, \quad B = \{0\} \cup \bigcup_{i=1}^k dc_i H$$

and $A = \{0\} \dot{\cup} dc_j H$;

- p is finite and there exist $\{1\} \neq H \subsetneq \{1, 2, \dots, p-1\}$ subgroup of (\mathbb{F}^*, \cdot) , $d \in \mathbb{F}^*$, $c_1, c_2, \dots, c_{k+1} \in \{1, 2, \dots, p-1\}$, where $k = \frac{p-1}{|H|} - 1$, such that

$$\{1, 2, \dots, p-1\} = \bigcup_{i=1}^{k+1} \overset{\bullet}{c_i} H, \quad B = \{0\} \dot{\cup} \bigcup_{i=1}^k \overset{\bullet}{dc_i} H$$

and $A = -dc_{k+1} H$.

References

- [1] C. Caldeira, Critical pairs of matrices for the degree of the minimal polynomial of the Kronecker sum, *Linear and Multilinear Algebra* 42(1997), 73-88.
- [2] A. Cauchy, Recherches sur les nombres, *J. École Polytech.* 9(1813),99-116.
- [3] H. Davenport, On the addition of residue classes, *J. London Math. Soc.* 10(1935), 30-32.
- [4] H. Davenport, A historical note, *J. London Math. Soc.* 22(1947), 100-101.
- [5] J. A. Dias da Silva and Y. O. Hamidoune, A note on the minimal polynomial of the Kronecker sum of two linear operators, *Linear Algebra and its Applications* 141(1990), 283-287.
- [6] M. Kneser, Abschätzung der asymptotischen Dichte von Summenmengen, *Math. Z.* 58(1953), 459-484.
- [7] M. Kneser, Ein Satz über abelschen Gruppen mit Anwendungen auf die Geometrie der Zahlen *Math. Z.* 61(1955), 429-434.
- [8] S. Lang, *Algebra*, Addison-Wesley Publishing Company, Inc., 1993.
- [9] M. B. Nathanson, *Additive Number Theory-Inverse Problems and the Geometry of Sumsets*, Graduate Texts in Mathematics 165, Springer-Verlag, New York, 1996.
- [10] A. G. Vosper, The critical pairs of subsets of a group of prime order, *J. London Math. Soc.* 31(1956), 200-205.
- [11] A. G. Vosper, Addendum to “The critical pairs of subsets of a group of prime order”, *J. London Math. Soc.* 31(1956), 280-282.

CRISTINA CALDEIRA

CMUC, DEPARTMENT OF MATHEMATICS, UNIVERSITY OF COIMBRA, 3001-454 COIMBRA, PORTUGAL

E-mail address: caldeira@mat.uc.pt