Pré-Publicações do Departamento de Matemática Universidade de Coimbra Preprint Number 22–06

DIFFERENCE–RESTRICTION ALGEBRAS OF PARTIAL FUNCTIONS: AXIOMATISATIONS AND REPRESENTATIONS

CÉLIA BORLIDO AND BRETT MCLEAN

ABSTRACT: We investigate the representation and complete representation classes for algebras of partial functions with the signature of relative complement and domain restriction. We provide and prove the correctness of a finite equational axiomatisation for the class of algebras representable by partial functions. As a corollary, the same equations axiomatise the algebras representable by injective partial functions. For complete representations, we show that a representation is meet complete if and only if it is join complete. Then we show that the class of completely representable algebras is precisely the class of atomic and representable algebras. As a corollary, the same properties axiomatise the class of algebras completely representable by injective partial functions. The universal-existential-universal axiomatisation this yields for these complete representation classes is the simplest possible, in the sense that no existential-universal-existential axiomatisation exists.

KEYWORDS: Partial function, representation, equational axiomatisation, complete representation, atomic.

1. Introduction

In Jónsson and Tarski's seminal [19], the authors produced the very general definition of a *Boolean algebra with operators* by building upon the foundation provided by the class of Boolean algebras. This factorisation of concerns into, firstly, the Boolean order structure, and later, any additional operations is still conspicuous when one examines the subsequently obtained duality between Boolean algebras with operators and descriptive general frames that has proved to be so important in modal logic [5, Chapter 5: Algebras and General Frames]. And a similar remark can be made for the discrete duality that exists between, on the one hand, complete and atomic Boolean algebras with completely additive operators, and on the other hand, Kripke frames.

Received February 8, 2022.

Célia Borlido was partially supported by the Centre for Mathematics of the University of Coimbra - UIDB/00324/2020, funded by the Portuguese Government through FCT/MCTES.

Brett McLean was partially supported by the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation program (grant agreement No. 670624) and partially supported by the Research Foundation – Flanders (FWO) under the SNSF–FWO Lead Agency Grant 200021L 196176 (SNSF)/G0E2121N (FWO)..

Whilst the Boolean framework is applicable to many famous classes of structures modelling relations—relation algebras and cylindric algebras are the foremost examples—it is not applicable to algebras modelling partial functions. This is for the simple reason that collections of partial functions cannot be relied upon to be closed under unions, only under unions of 'compatible' functions. Though the theory of Boolean algebras with operators has been greatly generalised, weakening the ordered component all the way down to posets [10, 11, 7], it is not, in fact, a surfeit of order structure that is the culprit here. Indeed a moment's reflection reveals that the inclusion order is not in general enough to reveal whether two functions agree on any shared domain they may have.

There is growing interest in dualities for algebras modelling partial functions. More specifically, there are by now a number of duality theorems, proven by researchers working on inverse semigroups, having on one side: algebras modelling partial *injective* functions and on the other: certain categories/groupoids or generalisations thereof [22, 23, 21, 24, 25].* Recently, a similar result has been obtained for a specific signature of not-necessarilyinjective partial functions [31], and there are also the dualities of [3] and [20] relating to signatures not containing composition. Such classes of algebras arise naturally as inverse semigroups [33], pseudogroups [25], and skew lattices [28], and within computer science appear in the theory of finite state transducers [9], computable functions [17], deterministic propositional dynamic logics [16], and separation logic [15]. The dualities have been applied to classical areas of algebra including group theory [22, 23, 24], (linear) representation theory [26], and the theory of C^{*}-algebras [22, 23, 24].

A natural question is: Can such duality results be organised into a general framework in the spirit of Boolean algebras with operators? In this paper we investigate algebras of partial functions in a signature that could be a candidate to be the equivalent of the Boolean signature in this framework. The signature is: relative complement and domain restriction. We have chosen the signature so as to provide us with two things:

- a well-behaved order structure,
- compatibility information.

^{*}There are also categorical equivalences, for example [12], but they are not our target in this work.

To be more specific, relative complement provides relativised Boolean structure (see Corollary 2.6), and domain restriction can characterise compatibility via the equation $a \triangleright b = b \triangleright a$.

We show that the abstract class of isomorphs of such algebras of partial functions is axiomatised by a finite number of equations (Theorem 5.8). One might term this abstract class the class of 'compatibility algebras'. Our signature is relatively unusual in that it does not include the composition operation on partial functions. But we envisage a future theory of 'compatibility algebras with operators', in which familiar operators on partial functions, such as *composition*, *range*, and *converse* may be treated.

For the case of discrete dualities, one can make the following observation. First note that the duality between complete and atomic Boolean algebras and sets is a restricted case of a more general *adjunction* between atomic Boolean algebras and sets. Then recall that the atomic Boolean algebras are precisely the completely representable Boolean algebras. (*Any* meets that exist become intersections, *any* joins become unions.) Hence the adjunction can be viewed in more semantic terms as linking those algebras that are completely representable as fields of sets with the category of sets.

With this observation in mind, we prepare the ground for a discrete duality for 'compatibility algebras with operators' by identifying the completely representable algebras of our signature (Theorem 6.16). It turns out that in this case, again all that is needed is to add the condition 'atomic' to the conditions for representability. Such an outcome is not as automatic as it may seem, for there exist situations, for unary relations [8], for higherorder relations [13], and for functions [29], where complete representability is characterised by more complex properties.

In the sequel to this paper, *Difference-restriction algebras of partial functions with operators: discrete duality and completion* [6], we carry out one of these planned continuations of the project. There, we present an adjunction (restricting to a duality) for the category of completely representable algebras and complete homomorphisms, then extend to an adjunction/duality for completely representable algebras equipped with compatibility preserving completely additive operators.

Structure of paper. In Section 2, we define formally the class of representable algebras that we wish to axiomatise, list a finite number of valid equations for these algebras, and begin to deduce some consequences of these equations.

In Section 3, we deduce further consequences, relating specifically to the semantic notion of domain inclusion. In Section 4, we deduce some properties of filters.

In Section 5, we use a representation based on prime filters to prove that our equations axiomatise both the algebras representable as partial functions (Theorem 5.8) and also the algebras representable as *injective* partial functions (Corollary 5.10).

In Section 6, we define formally the completely representable algebras and show that they are precisely the atomic representable algebras in both the partial function (Theorem 6.16) and injective partial function (Corollary 6.18) cases.

2. Basic definitions and properties

In this section, we start with the necessary definitions relating to algebras of partial functions, present the set of equations that will eventually become our first axiomatisation, and derive various consequences of these equations.

Given an algebra \mathfrak{A} , when we write $a \in \mathfrak{A}$ or say that a is an element of \mathfrak{A} , we mean that a is an element of the domain of \mathfrak{A} . Similarly for the notation $S \subseteq \mathfrak{A}$ or saying that S is a subset of \mathfrak{A} . We follow the convention that algebras are always nonempty. If S is a subset of the domain of a map θ then $\theta[S]$ denotes the set $\{\theta(s) \mid s \in S\}$. Given an binary operation \bullet on \mathfrak{A} and subsets $S_1, S_2 \subseteq \mathfrak{A}$, we shall use $S_1 \bullet S_2$ to denote the set $\{s_1 \bullet s_2 \mid s_1 \in S_1, s_2 \in S_2\}$.

We begin by making precise what is meant by partial functions and algebras of partial functions.

Definition 2.1. Let X and Y be sets. A partial function from X to Y is a subset f of $X \times Y$ validating

$$(x,y) \in f \text{ and } (x,z) \in f \implies y=z.$$

If X = Y then f is called simply a partial function on X. Given a partial function f from X to Y, its **domain** is the set

$$\operatorname{dom}(f) \coloneqq \{ x \in X \mid \exists \ y \in Y \colon (x, y) \in f \}.$$

Definition 2.2. An algebra of partial functions of the signature $\{-, \triangleright\}$ is a universal algebra $\mathfrak{A} = (A, -, \triangleright)$ where the elements of the universe A are partial functions from some (common) set X to some (common) set Y and the interpretations of the symbols are given as follows:

4

- The binary operation is relative complement:
 - $f g \coloneqq \{(x, y) \in X \times Y \mid (x, y) \in f \text{ and } (x, y) \notin g\}.$
- The binary operation ▷ is **domain restriction**.[†] It is the restriction of the second argument to the domain of the first; that is:

$$f \rhd g \coloneqq \{(x, y) \in X \times Y \mid x \in \operatorname{dom}(f) \text{ and } (x, y) \in g\}.$$

Note that in algebras of partial functions of the signature $\{-, \triangleright\}$, the settheoretic intersection of two elements f and g can be expressed as f - (f - g). We use the symbol \cdot for this derived operation.

We also observe that, without loss of generality, we may assume X = Y (a common stipulation for algebras of partial functions). Indeed, if \mathfrak{A} is a $\{-, \triangleright\}$ -algebra of partial functions from X to Y, then it is also a $\{-, \triangleright\}$ -algebra of partial functions from $X \cup Y$ to $X \cup Y$. In this case, this non-uniquelydetermined single set is called 'the' **base**. However, certain properties may fail to be preserved by changing the base. For instance, given sets X and X', if f qualifies both as a partial function on X and a partial function on X', while it is true that f is injective as a partial function on X if and only if it is injective as a partial function on X', this is not the case for surjectivity.

The collection of *all* partial functions on some base X is closed under relative complement and domain restriction, and thus gives an algebra of partial functions $\mathcal{PF}(X)$.

Definition 2.3. An algebra \mathfrak{A} of the signature $\{-, \triangleright\}$ is **representable** (by partial functions) if it is isomorphic to an algebra of partial functions, equivalently, if it is embeddable into $\mathcal{PF}(X)$ for some set X. Such an embedding of \mathfrak{A} is a **representation** of \mathfrak{A} (as an algebra of partial functions).

Just as for algebras of partial functions, for any $\{-, \triangleright\}$ -algebra \mathfrak{A} , we will consider the derived operation \cdot defined by

$$a \cdot b := a - (a - b). \tag{I}$$

Algebras of partial functions of many other signatures have been investigated, and the corresponding representation classes axiomatised, often (but not always) finitely, and often (but not always) with equations. We will not enumerate all these results here, but for a treatment of some of the most

[†]This operation has historically been called *restrictive multiplication*, where *multiplication* is the historical term for *composition*. But we do not wish to emphasise this operation as a form of composition.

expressive signatures to have been considered, see [14]. For a relatively comprehensive guide to this literature, see $[30, \S 3.2]$.

Focusing on signatures that, like ours, do not contain composition, first consider the signature $\{\triangleright, \sqcup\}$ (incomparable with ours), where \sqcup is the operation known as *preferential union* or alternatively as *override*. Here, the representation class is precisely the right-handed strongly distributive skew lattices [27], and thus finitely axiomatisable by equations. See [3] for the definition of right-handed strongly distributive skew lattices, where a duality theorem for this class is proven. In [18], a finite equational axiomatisation is given for the signature—also incomparable with ours—of preferential union and *update*. The paper [4] gives a finite equational axiomatisation for the signature $\{-, \sqcup\}$, which is more expressive than each of the three other signatures (ours and the two just mentioned).

In Section 5 we shall see (Theorem 5.8) that the class of $\{-, \triangleright\}$ -algebras that is representable by partial functions is the variety axiomatised by the following set of equations.

 $\begin{array}{l} (\mathrm{Ax.1}) \ a - (b - a) = a \\ (\mathrm{Ax.2}) \ a \cdot b = b \cdot a \\ (\mathrm{Ax.3}) \ (a - b) - c = (a - c) - b \\ (\mathrm{Ax.4}) \ (a \rhd c) \cdot (b \rhd c) = (a \rhd b) \rhd c \\ (\mathrm{Ax.5}) \ (a \cdot b) \rhd a = a \cdot b \end{array}$

We call the $\{-, \triangleright\}$ -algebras satisfying these axioms **difference**-restriction algebras.

Algebras of the signature $\{-\}$ validating axioms (Ax.1) – (Ax.3) are called **subtraction algebras** [1]. It is known that these equations axiomatise the $\{-\}$ -algebras representable as an algebra of sets equipped with relative complement (see, for example, [32, Theorem 1 + Example (2)]). Hence (Ax.1) – (Ax.3) are sound for $\{-, \triangleright\}$ -algebras of partial functions and therefore for all representable $\{-, \triangleright\}$ -algebras. We also know immediately that any (isomorphism invariant) property of sets with – is a consequence of (Ax.1) – (Ax.3). One particular consequence that will be often used in the rest of the paper without further mention is that the derived operation \cdot provides the structure of a semilattice with bottom $0 \coloneqq a - a$ (independent of choice of a). Similarly, we will also use the fact that 0 acts as a right identity for – without further remark. Three further properties that we will find useful are the following.

$$b \cdot (a - b) = 0 \tag{1}$$

$$a - (a \cdot b) = a - b \tag{2}$$

$$a \cdot (b - c) = (a \cdot b) - c \tag{3}$$

We also observe that axioms (Ax.4) and (Ax.5) are stated without explicitly using the operation -. It turns out that many results in this paper do not depend on the algebraic properties of -, but only on the semilattice operation \cdot it defines. For that reason, we will use the name **restriction semilattice** for algebras over the signature $\{\cdot, \triangleright\}$ whose $\{\cdot\}$ -reduct is a semilattice and that satisfy axioms (Ax.4) and (Ax.5). Note that, in general, a restriction semilattice may not have a bottom element.

In the remainder of this section, we start by verifying that axioms (Ax.4) and (Ax.5) are also sound for representable $\{-, \triangleright\}$ -algebras, thereby having that every representable $\{-, \triangleright\}$ -algebra is a restriction semilattice. We will also derive some algebraic consequences of (Ax.1) – (Ax.5) that will be useful in the sequel.

Lemma 2.4. Axioms (Ax.4) and (Ax.5) are sound for all $\{-, \triangleright\}$ -algebras of partial functions and therefore for all representable $\{-, \triangleright\}$ -algebras.

Proof: For (Ax.4), we observe that $(x, y) \in (a \triangleright c) \cdot (b \triangleright c)$ exactly when x belongs both to the domain of a and to that of b, and $(x, y) \in c$. But having $x \in \text{dom}(b)$ amounts to having $(x, z) \in b$ for some z, and thus we may conclude that

 $\operatorname{dom}(a) \cap \operatorname{dom}(b) = \operatorname{dom}(a \triangleright b).$

This leads to the desired equality.

Finally, for (Ax.5), suppose $(x, y) \in (a \cdot b) \triangleright a$. Then $(x, y) \in a$ and x is in the domain of $a \cdot b$. By the later fact, there is some z with (x, z) in both a and b. But since a is a function z must equal y. Hence $(x, y) \in a \cdot b$. Conversely, if $(x, y) \in a \cdot b$, then clearly $x \in \text{dom}(a \cdot b)$ and $(x, y) \in a$, so that $(x, y) \in (a \cdot b) \triangleright a$.

We observe that axioms (Ax.1) - (Ax.4) are valid not only for functions, but for arbitrary binary relations. However, the validity of (Ax.5) relies on *a* being a function. **Proposition 2.5.** In a restriction semilattice, the following hold:

$$b \vartriangleright a \le a \tag{4}$$

$$a \triangleright (b \triangleright c) = (a \triangleright b) \triangleright c \tag{5}$$

$$(a \rhd b) \rhd (a \cdot b) = a \cdot b \tag{6}$$

$$a \triangleright (b \cdot c) = (a \triangleright b) \cdot c \tag{7}$$

$$(a \le b, \ c \le d) \to a \rhd c \le b \rhd d \tag{8}$$

In a difference-restriction algebra, we also have:

$$(a \triangleright b) - c = a \triangleright (b - c) \tag{9}$$

Proof: First we observe that the following equality holds:

$$(a \triangleright (b \triangleright c)) \cdot (b \triangleright (b \triangleright c)) \cdot (c \triangleright (b \triangleright c)) = (a \triangleright c) \cdot (b \triangleright c).$$
(10)

Indeed, by successively using (Ax.4), we can rewrite the left-hand side as

$$((a \rhd b) \rhd (b \rhd c)) \cdot (c \rhd (b \rhd c)) = ((a \rhd b) \rhd c) \rhd (b \rhd c) = ((a \rhd c) \cdot (b \rhd c)) \rhd (b \rhd c),$$

and by (Ax.2) and (Ax.5), this is precisely $(a \triangleright c) \cdot (b \triangleright c)$.

In what follows, we will freely use that \cdot is a semilattice operation, that is, \cdot is idempotent and commutative. We will also use that \triangleright is idempotent, which is a consequence of (Ax.5) and idempotency of the \cdot operation.

(4):: This inequality translates into the equality $a \cdot (b \triangleright a) = b \triangleright a$. Taking a = c in (10), we have:

$$a \cdot (b \rhd a) = (a \rhd a) \cdot (b \rhd a) = (a \rhd (b \rhd a)) \cdot (b \rhd (b \rhd a)) \cdot (a \rhd (b \rhd a))$$
$$= (b \rhd (b \rhd a)) \cdot (a \rhd (b \rhd a)) \stackrel{(Ax.4)}{=} (b \rhd a) \rhd (b \rhd a) = (b \rhd a).$$

(5):: By (Ax.4), $(a \triangleright b) \triangleright c$ equals the left-hand side of (10). We prove that so does $a \triangleright (b \triangleright c)$:

$$(a \triangleright (b \triangleright c)) \cdot (b \triangleright (b \triangleright c)) \cdot (c \triangleright (b \triangleright c)) \stackrel{(Ax.4)}{=} (a \triangleright (b \triangleright c)) \cdot ((b \triangleright c) \triangleright (b \triangleright c)) \stackrel{(4)}{=} a \triangleright (b \triangleright c).$$

(6):: We first observe that $b \triangleright (a \triangleright b) = a \triangleright b$. Indeed, we may compute:

$$b \rhd (a \rhd b) \stackrel{(5)}{=} (b \rhd a) \rhd b \stackrel{(Ax.4)}{=} (b \rhd b) \cdot (a \rhd b) \stackrel{(4)}{=} a \rhd b.$$
(11)

Then we can compute:

$$(a \succ b) \rhd (a \cdot b) \stackrel{(Ax.4)}{=} (a \rhd (a \cdot b)) \cdot (b \rhd (a \cdot b))$$
$$\stackrel{(Ax.5)}{=} (a \rhd ((a \cdot b) \rhd a)) \cdot (b \rhd ((a \cdot b) \rhd b))$$
$$\stackrel{(11)}{=} ((a \cdot b) \rhd a) \cdot ((a \cdot b) \rhd b)$$
$$\stackrel{(Ax.5)}{=} a \cdot b.$$

(7):: This follows from:

$$a \rhd (b \cdot c) \stackrel{(Ax.5)}{=} a \rhd ((b \cdot c) \rhd b) \stackrel{(5)}{=} (a \rhd (b \cdot c)) \rhd b$$
$$\stackrel{(Ax.4)}{=} (a \rhd b) \cdot ((b \cdot c) \rhd b) \stackrel{(Ax.5)}{=} (a \rhd b) \cdot (b \cdot c) \stackrel{(4)}{=} (a \rhd b) \cdot c.$$

(8):: Let $a \leq b$ and $c \leq d$. The desired inequality is a consequence of combining the inequalities $a \triangleright c \leq b \triangleright c$ and $b \triangleright c \leq b \triangleright d$. While the former is a trivial consequence of (Ax.4) and the fact that $a \triangleright b = a$ implied by (Ax.5), the latter may be derived as follows:

$$(b \rhd c) \cdot (b \rhd d) \stackrel{(Ax.5)}{=} (b \rhd (c \rhd d)) \cdot (b \rhd d) \stackrel{(5)}{=} ((b \rhd c) \rhd d) \cdot (b \rhd d)$$
$$\stackrel{(Ax.4)}{=} (b \rhd (b \rhd c)) \rhd d \stackrel{(Ax.5),(5)}{=} b \rhd (c \rhd d) \stackrel{(Ax.5)}{=} b \rhd c$$

(9):: We have:

$$\begin{aligned} (a \rhd b) - c \stackrel{(4)}{=} (b \cdot (a \rhd b)) - c \stackrel{(I)}{=} (b - (b - (a \rhd b))) - c \\ \stackrel{(Ax.3)}{=} (b - c) - (b - (a \rhd b)) = (b - c) - ((b - c) - (a \rhd b)) \\ \stackrel{(I)}{=} (a \rhd b) \cdot (b - c) \stackrel{(7)}{=} a \rhd (b \cdot (b - c)) = a \rhd (b - c), \end{aligned}$$

where the unmarked equalities follow from evident properties of sets with relative complement. $\hfill\blacksquare$

Since, by property (5), the operation \triangleright is associative, from here on we may write $a \triangleright b \triangleright c$ instead of $(a \triangleright b) \triangleright c$ or $a \triangleright (b \triangleright c)$.

For any poset \mathfrak{S} and $a \in \mathfrak{S}$, the notation a^{\downarrow} denotes the set $\{b \in \mathfrak{S} \mid b \leq a\}$. It is known that in any subtraction algebra \mathfrak{S} , for any $a \in \mathfrak{S}$, the set a^{\downarrow} , with least element 0, greatest element a, meet given by \cdot and complementation given by $\overline{b} := a - b$ is a Boolean algebra [32, page 2154]. We note the following corollary.

C. BORLIDO AND B. MCLEAN

Corollary 2.6. If $h : \mathfrak{S} \to \mathfrak{T}$ is a homomorphism of subtraction algebras then, for every $a \in \mathfrak{S}$, the map h induces a homomorphism of Boolean algebras $h_a : a^{\downarrow} \to h(a)^{\downarrow}$.

We can also prove a sort of converse to each a^{\downarrow} of a subtraction algebra being a Boolean algebra.

Proposition 2.7. Suppose that (\mathfrak{S}, \cdot) is a meet-semilattice with bottom 0 such that, for every $a \in \mathfrak{S}$, there is a unary operation \overline{a} on a^{\downarrow} such that $(a^{\downarrow}, 0, a, \cdot, \overline{a})$ is a Boolean algebra. Then setting $a - b := \overline{a \cdot b}^a$ defines a subtraction algebra structure on \mathfrak{S} (on which (I) becomes a valid equation).

Proof: First we argue that \cdot is the operation obtained from the term a - (a - b). Now (a - b) is by definition in a^{\downarrow} , so $a - (a - b) = \overline{a \cdot (a - b)}^a = \overline{a - b}^a$, which is the complement of the complement of $a \cdot b$ in a^{\downarrow} , that is, equals $a \cdot b$. As a consequence, the validity of (Ax.2), which is formally a statement about -, is immediate.

For the validity of (Ax.1), the term a - (b - a) is by definition $\overline{a \cdot \overline{b \cdot a}}^{b^{a}}$. We calculate $a \cdot \overline{b \cdot a}^{b}$. Now $\overline{b \cdot a}^{b}$ is by definition less than or equal to b, so $a \cdot \overline{b \cdot a}^{b} = a \cdot b \cdot \overline{b \cdot a}^{b}$. But $\overline{b \cdot a}^{b}$ is the complement of $a \cdot b$ in b^{\downarrow} , so $a \cdot b \cdot \overline{b \cdot a}^{b} = 0$. Hence $a - (b - a) = \overline{0}^{a} = a$.

For the validity of (Ax.3), we start from the term (a - b) - c. We may assume b and c are in a^{\downarrow} since $a - b = \overline{a \cdot b}^a = \overline{a \cdot a \cdot b}^a = a - (a \cdot b)$ and $(a - b) - c = \overline{(a - b) \cdot c}^a = \overline{(a - b) \cdot a \cdot c}^a = (a - b) - (a \cdot c)$. We write simply $\overline{}$ for the complement in a^{\downarrow} , and + for the join. Then $(a - b) - c = \overline{b} - c = \overline{\overline{b} \cdot c}$. In any Boolean algebra, the complement operation on the induced Boolean algebra d^{\downarrow} is given by $e \mapsto d \cdot \overline{e}$. So as \overline{b} is an element of the Boolean algebra a^{\downarrow} , the complement on $\overline{b}^{\downarrow}$ is given by $d \mapsto \overline{b} \cdot \overline{d}$. Hence $\overline{\overline{b} \cdot c} = \overline{b} \cdot (b + \overline{c}) = \overline{b} \cdot \overline{c}$. It is now clear, by commutativity of \cdot and symmetry, that this is equal to (a - c) - b.

3. The algebra of domains

Throughout this section, let \mathfrak{A} be a restriction semilattice. Although we do not have the *domain operation* in our signature, the signature is expressive enough that it can express the 'domain inclusion' relation. In this section, we will begin to investigate this implicit domain information.

Definition 3.1. Define the relation $\leq_{\mathfrak{A}}$ on \mathfrak{A} by $a \leq_{\mathfrak{A}} b \iff a \leq b \triangleright a$.

We often drop the subscript \mathfrak{A} . Notice that, by (4), we have $a \leq b$ if and only if $a = b \triangleright a$. When \mathfrak{A} is an algebra of partial functions it is not hard to see that, for every $f, g \in \mathfrak{A}$, we have $f \leq g$ exactly when dom $(f) \subseteq \text{dom}(g)$.

Lemma 3.2. The following statements hold:

- (a) \leq is a preorder on \mathfrak{A} that contains \leq ;
- (b) if \mathfrak{A} has a bottom element 0, then for every $a \in \mathfrak{A}$, if $a \leq 0$, then a = 0.

Proof: The fact that \leq is reflexive, that is, that $a = a \triangleright a$ for every $a \in \mathfrak{A}$, follows from (Ax.5). To prove that \leq is transitive, let $a, b, c \in \mathfrak{A}$ be such that $a \leq b$ and $b \leq c$. Then we have

$$c \rhd a \stackrel{a \leq b}{=} c \rhd b \rhd a \stackrel{b \leq c}{=} b \rhd a \stackrel{a \leq b}{=} a,$$

and thus $a \leq c$. To see that \leq contains \leq let $a, b \in \mathfrak{A}$ be such that $a \leq b$. Since, by (8), the operation \triangleright is order preserving, we have $a = a \triangleright a \leq b \triangleright a$, and hence $a \leq b$. This proves (a). For (b), we observe that $a \leq 0$ means $a \leq 0 \triangleright a$. But, by (Ax.5), we have $0 \triangleright a = 0$, and thus a = 0.

Observe that every homomorphism of $\{\cdot, \triangleright\}$ -algebras is \leq -preserving, since \leq is defined by an equation.

We denote by $\sim_{\mathfrak{A}}$ the equivalence relation induced by $\preceq_{\mathfrak{A}}$, and for a given $a \in \mathfrak{A}$ we use [a] to denote the equivalence class of a. The canonical projection $\mathfrak{A} \twoheadrightarrow \mathfrak{A}/\sim_{\mathfrak{A}}$ is denoted by $\pi_{\mathfrak{A}}$. As with \preceq , if \mathfrak{A} is clear from context, we denote $\sim_{\mathfrak{A}}$ and $\pi_{\mathfrak{A}}$ by \sim and π , respectively. Given a subset $S \subseteq \mathfrak{A}$, we may use S/\sim to denote the forward image $\pi[S]$ of S under π .

Lemma 3.3. The poset \mathfrak{A}/\sim of \sim -equivalence classes (with order inherited from \preceq) is a meet-semilattice with

$$[a] \land [b] = [a \rhd b]. \tag{12}$$

In particular, we have $a^{\downarrow}/\sim = [a]^{\downarrow}$.

Proof: The fact that $[a \triangleright b]$ is a lower bound of [a], that is, that the inequality $a \triangleright b \leq a \triangleright a \triangleright b$ holds, is a consequence of having $a \triangleright a = a$ by (Ax.5). In turn, $[a \triangleright b]$ is a lower bound of [b] thanks to (4) and the fact that \leq is contained in \leq , by Lemma 3.2. Suppose that $[c] \leq [a], [b]$, that is, $c = a \triangleright c = b \triangleright c$. Then we may compute

$$a \rhd b \rhd c = a \rhd c = c,$$

hence $[c] \leq [a \triangleright b]$ and we have (12).

For the second assertion, by Lemma 3.2 it is clear that $a^{\downarrow}/\sim \subseteq [a]^{\downarrow}$. Conversely, let $[b] \preceq [a]$. By (12), we have $[b \rhd a] = [a] \land [b] = [b]$, and by (4) we have $b \rhd a \leq a$. Thus $[b] \in a^{\downarrow}/\sim$.

Lemma 3.4. The relations \leq and \leq coincide on each downset a^{\downarrow} , for $a \in \mathfrak{A}$. In particular, $[a]^{\downarrow}$ is order isomorphic to a^{\downarrow} . Explicitly, for every $[b] \leq [a]$, the element $b \triangleright a$ is the unique element of a^{\downarrow} that is \sim -equivalent to b.

Proof: By Lemma 3.2, we already know that \leq contains \leq . Conversely, let $x, y \in a^{\downarrow}$ be such that $x \leq y$, that is, $y \triangleright x = x$. Using (Ax.5), we have $x = x \triangleright a$ and $y = y \triangleright a$. Therefore, $x \cdot y = (y \triangleright a) \cdot (x \triangleright a)$, and by (Ax.4) it follows that $x \cdot y = (y \triangleright x) \triangleright a$. Using the hypothesis that $x \leq y$ and $x \triangleright a = x$, we may conclude that $x \leq y$ as intended.

Thus a^{\downarrow} is order isomorphic to a^{\downarrow}/\sim , which by the second part of Lemma 3.3 equals $[a]^{\downarrow}$. For the last assertion, we saw in the proof of Lemma 3.3 that $b \triangleright a$ is in a^{\downarrow} and \sim -equivalent to b, thus we now know it is the unique such element of a^{\downarrow} .

Corollary 3.5. If $a \leq b$ and $b \leq a$, then a = b. Hence, a < b implies $a \prec b$, where \prec denotes the strict relation derived from \leq (that is, $a \prec b$ if and only if $a \leq b$ and $a \not\sim b$).

Proof: Pick two elements a, b validating $a \leq b$ and $b \leq a$. By Lemma 3.2, we know a and b are \sim -equivalent, and moreover they are both in the downset b^{\downarrow} . Thus, by Lemma 3.4, they must be equal.

For the last result of this section we will assume that \mathfrak{A} is a difference–restriction algebra.

Corollary 3.6. The poset \mathfrak{A}/\sim admits a subtraction algebra structure, where the operation - is given by

$$[a] - [b] = [a - (b \triangleright a)].$$

Proof: By Lemma 3.4 we know that, for every $a \in \mathfrak{A}$, the set $[a]^{\downarrow}$ is a Boolean algebra isomorphic to a^{\downarrow} via the assignment $[b] \mapsto b \triangleright a$. So by Proposition 2.7, \mathfrak{A}/\sim is a subtraction algebra with [a] - [b] equal to the complement of $[a] \land [b] = [b \triangleright a]$ in $[a]^{\downarrow}$ (recall Lemma 3.3). This is indeed $[a - (b \triangleright a)]$, as $b \triangleright a \in a^{\downarrow}$ by (4).

12

4. Filters

We continue to let \mathfrak{A} denote a restriction semilattice. Since \mathfrak{A} is in particular a semilattice, the notion of a *filter of* \mathfrak{A} is well defined.

Definition 4.1. A subset F of a meet-semilattice \mathfrak{S} is a filter if

(i) F is nonempty,

- (ii) F is upward closed,
- (*iii*) $a, b \in F \implies a \land b \in F$.

We use $Filt(\mathfrak{S})$ to denote the set of all filters of \mathfrak{S} .

We now concentrate on $\mathsf{Filt}(\mathfrak{A})$. First observe that there is a natural embedding of sets

$$\iota: \mathfrak{A} \hookrightarrow \mathsf{Filt}(\mathfrak{A}), \qquad a \mapsto a^{\uparrow}.$$

For this reason, we will often treat \mathfrak{A} as a subset of $\mathsf{Filt}(\mathfrak{A})$. The operations \cdot and \triangleright on \mathfrak{A} may naturally be extended to operations \cdot_{F} and $\triangleright_{\mathsf{F}}$ on $\mathsf{Filt}(\mathfrak{A})$ as follows. Given $F, G \in \mathsf{Filt}(\mathfrak{A})$ we set

$$F \cdot_{\mathsf{F}} G := \langle F \cdot G \rangle_{\mathsf{Filt}} \text{ and } F \triangleright_{\mathsf{F}} G := \langle F \triangleright G \rangle_{\mathsf{Filt}},$$

where $\langle \rangle_{\text{Filt}}$ denotes the well-defined operation 'filter generated by...'. We observe now that \cdot_{F} and $\triangleright_{\text{F}}$ are indeed extensions of \cdot and \triangleright , respectively. Indeed, while it is easily seen that $a^{\uparrow} \cdot_{\text{F}} b^{\uparrow} = (a \cdot b)^{\uparrow}$, the equality $a^{\uparrow} \triangleright_{\text{F}} b^{\uparrow} = (a \triangleright b)^{\uparrow}$ follows from (8). Explicitly, \cdot_{F} and $\triangleright_{\text{F}}$ are given as follows.

Lemma 4.2. For every $F, G \in Filt(\mathfrak{A})$, the following equalities hold:

 $F \cdot_{\mathsf{F}} G = (F \cdot G)^{\uparrow}$ and $F \triangleright_{\mathsf{F}} G = (F \triangleright G)^{\uparrow}$.

Proof: It is clear that F : G and $F \triangleright_F G$ contain $(F \cdot G)^{\uparrow}$ and $(F \triangleright G)^{\uparrow}$, respectively. Thus it suffices to show that $(F \cdot G)^{\uparrow}$ and $(F \triangleright G)^{\uparrow}$ are filters. Since F and G are filters with respect to the semilattice operation induced by \cdot , it is a standard result that $(F \cdot G)^{\uparrow}$ is precisely the filter generated by $F \cup G$. Let us see that $(F \triangleright G)^{\uparrow}$ is also a filter.

- (i) As F and G are nonempty, $F \triangleright G$ is nonempty, and therefore $(F \triangleright G)^{\uparrow}$ is nonempty too.
- (ii) The set $(F \triangleright G)^{\uparrow}$ is upward closed by definition.
- (iii) Suppose $x, y \in (F \triangleright G)^{\uparrow}$. So there are $a, b \in F$ and $c, d \in G$ with $x \ge a \triangleright c$ and $y \ge b \triangleright d$. As F and G are filters, we know $a \cdot b \in F$ and $c \cdot d \in G$. By (8), we find that $(a \cdot b) \triangleright (c \cdot d) \le (a \triangleright c) \cdot (b \triangleright d)$.

It follows that the element $(a \cdot b) \triangleright (c \cdot d)$ of $F \triangleright G$ is smaller than or equal to $x \cdot y$. Hence $x \cdot y \in (F \triangleright G)^{\uparrow}$.

As observed in the proof of Lemma 4.2, the set $F \cdot_{\mathsf{F}} G$ is the filter generated by $F \cup G$. Therefore \cdot_{F} is a semilattice operation on $\mathsf{Filt}(\mathfrak{A})$ whose induced order $\leq\leq$ is reverse inclusion, that is,

$$F \leq G \iff F \supseteq G. \tag{13}$$

In particular, $\mathsf{Filt}(\mathfrak{A})$ has bottom element \mathfrak{A} , the full filter.

We will now see that $(\mathsf{Filt}(\mathfrak{A}), \cdot_{\mathsf{F}}, \triangleright_{\mathsf{F}})$ is again a restriction semilattice, and thus all the results of Section 3 (except Corollary 3.6) also hold for the filter algebra of a restriction semilattice.

Proposition 4.3. The operations \cdot_{F} and $\triangleright_{\mathsf{F}}$ endow $\mathsf{Filt}(\mathfrak{A})$ with a restriction semilattice structure.

Proof: We already observed that $(\mathsf{Filt}(\mathfrak{A}), \cdot_{\mathsf{F}})$ is a semilattice. It remains to prove that (Ax.4) and (Ax.5) hold. We note that, if \bullet is a binary operation on \mathfrak{A} that is order preserving on both coordinates, then for every $S_1, S_2 \subseteq \mathfrak{A}$, we have $(S_1^{\uparrow} \bullet S_2^{\uparrow})^{\uparrow} = (S_1 \bullet S_2)^{\uparrow}$. Both \cdot and \triangleright are order preserving on both coordinates: for \cdot this is a simple consequence of the definition of \leq in terms of \cdot , and for \triangleright this follows from (8). Thus the noted equality holds for both \cdot and \triangleright , and this observation will be freely used in the rest of the proof.

Let $F, G, H \in \mathsf{Filt}(\mathfrak{A})$.

(Ax.4):: We need to show that $(F \triangleright_{\mathsf{F}} H) \cdot_{\mathsf{F}} (G \triangleright_{\mathsf{F}} H) = (F \triangleright_{\mathsf{F}} G) \triangleright_{\mathsf{F}} H$. The inclusion \supseteq follows easily from Lemma 4.2 and (Ax.4) for the algebra \mathfrak{A} . Conversely, let $a \in F$, $b \in G$ and $c, c' \in H$. Then,

$$(a \triangleright c) \cdot (b \triangleright c') \stackrel{(8)}{\geq} (a \triangleright (c \cdot c')) \cdot (b \triangleright (c \cdot c')) \stackrel{(Ax.4)}{=} (a \triangleright b) \triangleright (c \cdot c').$$

Since *H* is a filter, we have $c \cdot c' \in H$ and thus, $(a \triangleright c) \cdot (b \triangleright c') \in ((F \triangleright G) \triangleright H)^{\uparrow} = (F \triangleright_{\mathsf{F}} G) \triangleright_{\mathsf{F}} H.$

(Ax.5):: The goal is to show that $(F \cdot_{\mathsf{F}} G) \triangleright_{\mathsf{F}} F = F \cdot_{\mathsf{F}} G$. Again, the inclusion \supseteq is a straightforward consequence of Lemma 4.2 and (Ax.5) for \mathfrak{A} . Conversely, given $a, a' \in F$ and $b \in G$, we have

$$(a \cdot b) \rhd a' \stackrel{(8)}{\geq} ((a \cdot a') \cdot b) \rhd (a \cdot a') \stackrel{(Ax.5)}{=} (a \cdot a') \cdot b.$$

Since F is a filter, we may then conclude that $(a \cdot b) \triangleright a'$ belongs to $(F \cdot G)^{\uparrow} = F \cdot_{\mathsf{F}} G$, as required.

We will denote by $\preceq \leq$ the relation $\leq_{\mathsf{Filt}(\mathfrak{A})}$ obtained by applying Definition 3.1 to the restriction semilattice ($\mathsf{Filt}(\mathfrak{A}), \cdot_{\mathsf{F}}, \triangleright_{\mathsf{F}}$). Using Lemma 4.2, equivalence (13), and the fact that every filter is upward closed, we have

$$F \preceq G \iff F \supseteq G \triangleright F, \tag{14}$$

for every $F, G \in \mathsf{Filt}(\mathfrak{A})$. Note that, since $\preceq = \preceq_{\mathsf{Filt}(\mathfrak{A})}$ is defined by a $\{\cdot_{\mathsf{F}}, \triangleright_{\mathsf{F}}\}$ -equation, the relation $\preceq \leq$ on $\mathsf{Filt}(\mathfrak{A})$ is an extension of the relation $\preceq \leq$ on \mathfrak{A} . By Lemma 3.2(a), the relation $\preceq \leq$ is a preorder on $\mathsf{Filt}(\mathfrak{A})$ that contains \supseteq . We denote by \approx the equivalence relation induced by $\preceq \leq$, and by

$$\rho:\mathsf{Filt}(\mathfrak{A})\to\mathsf{Filt}(\mathfrak{A})/\approx$$

the canonical projection. The \approx -equivalence class of a filter $F \in \mathsf{Filt}(\mathfrak{A})$ is denoted $\llbracket F \rrbracket$. By Lemma 3.3, the poset $\mathsf{Filt}(\mathfrak{A})/\approx$, with order inherited from $\preceq \prec$, also admits a meet-semilattice structure, with the meet of two filters F, G given by

$$\llbracket F \rrbracket \land \llbracket G \rrbracket = \llbracket F \triangleright_{\mathsf{F}} G \rrbracket.$$
(15)

We finish this section by showing that $\preceq \preceq$ admits a description in terms of the projection $\pi : \mathfrak{A} \twoheadrightarrow \mathfrak{A}/\sim$.

Proposition 4.4. For every filter $F \subseteq \mathfrak{A}$, the subset $\pi[F]^{\uparrow} \subseteq \mathfrak{A}/\sim$ is a filter of \mathfrak{A}/\sim , and conversely, every filter of \mathfrak{A}/\sim is of the form $\pi[F]^{\uparrow}$ for some filter $F \subseteq \mathfrak{A}$. Moreover, for every $F, G \in \mathsf{Filt}(\mathfrak{A})$, we have

$$F \preceq G \iff \pi[G]^{\uparrow} \subseteq \pi[F]^{\uparrow}.$$
 (16)

In particular, the quotients

 $\rho:\mathsf{Filt}(\mathfrak{A})\twoheadrightarrow\mathsf{Filt}(\mathfrak{A})/\approx\qquad and\qquad \pi[_]^{\uparrow}:\mathsf{Filt}(\mathfrak{A})\twoheadrightarrow\mathsf{Filt}(\mathfrak{A}/\sim)$

are isomorphic.

Proof: First we show that $\pi[F]^{\uparrow} = (F/\sim)^{\uparrow}$ is a filter.

- (i) As F is nonempty, F/\sim , and therefore $(F/\sim)^{\uparrow}$, is nonempty.
- (ii) The set $(F/\sim)^{\uparrow}$ is upward closed by definition.
- (iii) Suppose $[a], [b] \in (F/\sim)^{\uparrow}$, say $[a_0] \preceq [a]$ and $[b_0] \preceq [b]$ for $a_0, b_0 \in F$. Then as F is a filter, we have $a_0 \cdot b_0 \in F$. Using (6) and Lemma 3.3, we have

 $[a_0 \cdot b_0] \preceq [a_0 \rhd b_0] = [a_0] \land [b_0],$

and thus $[a_0] \wedge [b_0]$ belongs to $(F/\sim)^{\uparrow}$, yielding that so does $[a] \wedge [b]$, as desired.

Conversely, let $G \subseteq \mathfrak{A}/\sim$ be a filter. Define the relation \equiv on $\pi^{-1}(G)$ by $a \equiv b \iff \exists d \in \pi^{-1}(G) : d \rhd a = d \rhd b$. Then \equiv is clearly reflexive and symmetric. It is also transitive, because given $d_1, d_2 \in \pi^{-1}(G)$ such that $d_1 \rhd a = d_1 \rhd b$ and $d_2 \rhd b = d_2 \rhd c$, we have $d_1 \rhd d_2 \in \pi^{-1}(G)$ and $d_1 \rhd d_2 \rhd a = d_1 \rhd d_2 \rhd c$ (proven using the law $d_1 \rhd d_2 \rhd x = d_2 \rhd d_1 \rhd x$, which is an evident consequence of (Ax.4)). Take any equivalence class E of $(\pi^{-1}(G), \equiv)$ —as $\pi^{-1}(G)$ is nonempty, there exists at least one choice. We claim that E is a filter. It is nonempty by definition. Let us see that it is upward closed. Given $a \in E$ and $b \in \mathfrak{A}$ such that $a \leq b$, since $\pi^{-1}(G)$. To conclude that b belongs to E, we only need to show that a and b are \equiv -equivalent. That is indeed the case because, successively using (Ax.5) and the inequality $a \leq b$ (as well as idempotency and commutativity of \cdot), we may compute

$$a \triangleright a = a = a \cdot b = (a \cdot b) \triangleright b = a \triangleright b.$$

Finally, if $a, b \in E$, then taking $d \in \pi^{-1}(G)$ such that $d \triangleright a = d \triangleright b$, we know $\pi(d \triangleright a) \in G$, so $d \triangleright a \in \pi^{-1}(G)$. Then as $d \triangleright (d \triangleright a) = d \triangleright a$ and $a \in E$, we have $d \triangleright a \in E$. Then since $d \triangleright a = d \triangleright b \leq a, b$, the upward-closed set E is closed under meets. Hence E is a filter. Then, given $[d] \in G$, if we choose some $a \in E$ we have $d \triangleright a \in E$ and $\pi(d \triangleright a) \preceq [d]$, so $[d] \in \pi[E]^{\uparrow}$. Hence $\pi[E]^{\uparrow} = G$.

Finally, we prove (16). First assume that $F \leq G$. Take an arbitrary $a \in G$. As F is nonempty, we can choose some $b \in F$. As $F \leq G$, we have $a \triangleright b \in G \triangleright F \subseteq F$. So $[a \triangleright b] \in \pi[F]$. Since $[a \triangleright b] \leq [a]$, we obtain $[a] \in \pi[F]^{\uparrow}$. As $a \in G$ was arbitrary, we deduce $\pi[G] \subseteq \pi[F]^{\uparrow}$. Thus $\pi[G]^{\uparrow} \subseteq \pi[F]^{\uparrow}$.

Conversely, suppose we have $\pi[G]^{\uparrow} \subseteq \pi[F]^{\uparrow}$, and pick any $a \in G$ and $b \in F$, so that $a \triangleright b \in G \triangleright F$. Since [a] and [b] both belong to $\pi[F]^{\uparrow}$, so does $[a] \land [b] = [a \triangleright b]$. Hence there exists $c \in F$ such that $c \preceq a \triangleright b$. In turn, by reflexivity of $\preceq \prec$, the element $c \triangleright b$ also belongs to F, and furthermore we have $c \triangleright b \preceq c \preceq a \triangleright b$. Thus $c \triangleright b \preceq a \triangleright b$ in b^{\downarrow} , and by Lemma 3.4 this implies $c \triangleright b \leq a \triangleright b$, which yields $a \triangleright b \in F$ as required.

5. Representability

In this section, we finally show that equations (Ax.1) - (Ax.5) axiomatise the representable $\{-, \triangleright\}$ -algebras, by describing a generic representation, by partial functions, of any such algebra.

16

Recall that a filter $F \subseteq \mathfrak{S}$ of a meet semilattice \mathfrak{S} is **maximal** if it is proper (not the whole of \mathfrak{S}) and is maximal amongst all proper filters of \mathfrak{S} , with respect to inclusion. We use $\mathsf{Filt}_{\max}(\mathfrak{S})$ to denote the set of all maximal filters of \mathfrak{S} .

Lemma 5.1. Let \mathfrak{A} be a restriction semilattice. Then for every maximal filter $\mu \subseteq \mathfrak{A}$ and for every filter $F \subseteq \mathfrak{A}$, the following are equivalent:

(a) $\mu \triangleright_{\mathsf{F}} F$ is maximal, (b) $\mu \preceq F$, (c) $\mu \approx \mu \triangleright_{\mathsf{F}} F$.

Proof: To show that $\mu \triangleright_{\mathsf{F}} F$ is maximal precisely when $\mu \leq F$, we first assume $\mu \triangleright_{\mathsf{F}} F$ (that is, $(\mu \triangleright F)^{\uparrow}$) is maximal. We want to show that $(F \triangleright \mu)^{\uparrow} = \mu$. Because, by (4), $\mu \subseteq (F \triangleright \mu)^{\uparrow}$, and because μ is maximal, it suffices to show that the filter $(F \triangleright \mu)^{\uparrow}$ is proper. Suppose not: then there is $a \in F$ and $b \in \mu$ such that $a \triangleright b = 0$. By Lemma 3.3, we obtain $0 \sim a \triangleright b \sim b \triangleright a$. So by Lemma 3.2(b), we have $0 = b \triangleright a \in \mu \triangleright F$, contradicting properness of $(\mu \triangleright F)^{\uparrow}$. Conversely, we have $\mu \leq F$ if and only if $F \triangleright \mu \subseteq \mu$, which implies $\mu \triangleright F \triangleright \mu \subseteq \mu \triangleright \mu$. Since μ is closed under \cdot , by (Ax.5) and (8), we have $\mu \triangleright \mu \subseteq \mu \triangleright \mu$. So if 0 belonged to $\mu \triangleright F$, it would also belong to μ , contradicting the properness of μ . (The law $0 \triangleright a = 0$ follows also from (Ax.5).) Thus $(\mu \triangleright F)^{\uparrow}$ is proper, and since it contains μ , maximal. Finally, (b) and (c) are equivalent because, by (15), we have

$$\mu \leq F \iff \llbracket \mu \rrbracket = \llbracket \mu \rrbracket \land \llbracket F \rrbracket \iff \llbracket \mu \rrbracket = \llbracket \mu \triangleright_{\mathsf{F}} F \rrbracket \iff \mu \approx \mu \triangleright_{\mathsf{F}} F. \blacksquare$$

Proposition 5.2. Let \mathfrak{A} be a restriction semilattice, and let θ be the map given by

$$a^{\theta} \coloneqq \{(\xi, \mu) \in \mathsf{Filt}_{\max}(\mathfrak{A}) \times \mathsf{Filt}_{\max}(\mathfrak{A}) \mid \xi \approx \mu \quad and \quad a \in \mu\}.$$

Then θ is a homomorphism of restriction semilattices.

Proof: Since (maximal) filters containing a belong to the downset $\{F \in \text{Filt}(\mathfrak{A}) \mid F \leq a^{\uparrow}\} = \{F \in \text{Filt}(\mathfrak{A}) \mid F \supseteq a^{\uparrow}\}$ (recall (13)), the fact that each a^{θ} is a partial function on $\text{Filt}_{\max}(\mathfrak{A})$ is an immediate consequence of Lemma 3.4 applied to the restriction semilattice $\text{Filt}(\mathfrak{A})$.

For showing that θ represents both operations correctly, we pick two \approx equivalent maximal filters $\xi, \mu \in \mathsf{Filt}_{\max}(\mathfrak{A})$.

For \cdot we have the following:

$$\begin{aligned} (\xi,\mu) \in a^{\theta} \cap b^{\theta} \iff a \in \mu \quad \text{and} \quad b \in \mu \\ \iff a \cdot b \in \mu \qquad (\text{because } \mu \text{ is a filter}) \\ \iff (\xi,\mu) \in (a \cdot b)^{\theta}. \end{aligned}$$

For \triangleright suppose $(\xi, \mu) \in (a \triangleright b)^{\theta}$. Then $a \triangleright b \in \mu$, so $b \in \mu$, by (4). Hence $(\xi, \mu) \in b^{\theta}$. To show that $(\xi, \mu) \in a^{\theta} \triangleright b^{\theta}$, it remains to show that ξ is in the domain of the partial function a^{θ} . That is, we must find a maximal filter ν with $a \in \nu$ and $\nu \approx \xi$. We claim that $(\mu \triangleright \{a\})^{\uparrow}$ (equal to $\mu \triangleright_{\mathsf{F}} a^{\uparrow}$) is the required ν . We noted that $b \in \mu$; hence $b \triangleright a \in \mu \triangleright \{a\}$, and hence $(\mu \triangleright \{a\})^{\uparrow}$ contains a, by (4). By Lemma 5.1, we have that $(\mu \triangleright \{a\})^{\uparrow}$ is a maximal filter \approx -equivalent to μ , hence to ξ , provided $\mu \preceq a^{\uparrow}$. That is, provided $a^{\uparrow} \triangleright \mu \subseteq \mu$ (recall (14)). Since, μ is upward closed and, by (8), \triangleright is order-preserving in the first coordinate, it suffices to show that $a \triangleright c \in \mu$ for every $c \in \mu$. Fix $c \in \mu$. Since $a \triangleright b \in \mu$ and μ is closed under \cdot , we have $(a \triangleright b) \cdot c \in \mu$. Since

$$(a \succ b) \cdot c \stackrel{(7)}{=} a \rhd (b \cdot c) \stackrel{(8)}{\leq} a \rhd c,$$

it follows that $a \triangleright c \in \mu$ as required.

Conversely, suppose $(\xi, \mu) \in a^{\theta} \rhd b^{\theta}$, that is, $b \in \mu$ and there exists a maximal filter ν such that $\nu \approx \xi$ and $a \in \nu$. In particular, since $\nu \approx \xi \approx \mu$ and $a \in \nu$, by Proposition 4.4, we have $[a] \in (\mu/\sim)^{\uparrow}$. On the other hand, since μ is maximal, if it does not contain $a \rhd b$, then it contains some c satisfying $(a \rhd b) \cdot c = 0$, and since $b, c \in \mu$, we have $[b \cdot c] \in \mu/\sim$. Thus, the filter $(\mu/\sim)^{\uparrow}$ contains the element $[a] \land [b \cdot c]$. But using (12) and (7) in this order, we may compute

$$[a] \land [b \cdot c] = [a \rhd (b \cdot c)] = [(a \rhd b) \cdot c] = [0].$$

By Lemma 3.2(b), this contradicts properness of μ .

Notice that, as shown by the next example, the map θ of Proposition 5.2 is not, in general, a representation of \mathfrak{A} , as it may fail to be injective.

Example 5.3. Let $X = \{x, y, z\}$, and for a subset $S \subseteq X$ denote by Id_S the identity partial function on X with domain S. We let \mathfrak{A} be the $\{\cdot, \triangleright\}$ -algebra of partial functions with universe $\{\mathrm{Id}_{\emptyset}, \mathrm{Id}_{\{x\}}, \mathrm{Id}_{\{x,y\}}, \mathrm{Id}_{\{x,z\}}\}$ (note that the operations \cdot and \triangleright coincide on \mathfrak{A}). Then the unique maximal filter of \mathfrak{A} is $\{\mathrm{Id}_{\{x\}}, \mathrm{Id}_{\{x,y\}}, \mathrm{Id}_{\{x,z\}}\}$, and thus there is no maximal filter separating the

18

elements $\mathrm{Id}_{\{x,y\}}$ and $\mathrm{Id}_{\{x,z\}}$. In particular, the map from Proposition 5.2 is not a representation of \mathfrak{A} by partial functions.

The rest of this section is devoted to showing that, if we replace 'restriction semilattice' by 'difference-restriction algebra' in the statement of Proposition 5.2, the map θ becomes a representation of \mathfrak{A} by partial functions. For that, we will use some properties of maximal filters of subtraction algebras, and hence, of difference-restriction algebras.

We now let \mathfrak{S} be a subtraction algebra. We noted in Section 2 that for every $a \in \mathfrak{S}$ we have a Boolean algebra a^{\downarrow} . This will allow us to identify maximal filters of \mathfrak{S} with those of a^{\downarrow} . We recall that maximal filters of Boolean algebras are also known as *ultrafilters*, and they are characterised as those filters F such that for every element b of the Boolean algebra concerned, $b \in F \iff \overline{b} \notin F$, where \overline{b} denotes the complement of b.

Proposition 5.4. For every $a \in \mathfrak{S}$, there is a bijection between ultrafilters of the Boolean algebra a^{\downarrow} and maximal filters of \mathfrak{S} containing a.

More precisely: if $\mu \subseteq \mathfrak{S}$ is a maximal filter containing a, then $\mu \cap a^{\downarrow}$ is an ultrafilter of a^{\downarrow} , conversely if ν is an ultrafilter of a^{\downarrow} , then ν^{\uparrow} (with the upward closure taken in \mathfrak{S}) is a maximal filter of \mathfrak{S} , and these constructions are mutually inverse.

Proof: Suppose $\mu \subseteq \mathfrak{S}$ is a maximal filter, and let $a \in \mu$. It is easy to verify that $\mu \cap a^{\downarrow}$ is a proper filter of a^{\downarrow} . It is also easy to verify that any filter F of a^{\downarrow} yields a filter F^{\uparrow} of \mathfrak{S} and that $(\mu \cap a^{\downarrow})^{\uparrow} \subseteq \mu$. Hence any filter F of a^{\downarrow} properly extending $\mu \cap a^{\downarrow}$ satisfies $\mu \subsetneq F^{\uparrow} = \mathfrak{S}$, and hence $F = a^{\downarrow}$ (since F is upward closed in a^{\downarrow}). That is, $\mu \cap a^{\downarrow}$ is an ultrafilter of a^{\downarrow} .

Conversely, let $\nu \subseteq a^{\downarrow}$ be an ultrafilter. It is straightforward to check that ν^{\uparrow} is a proper filter of \mathfrak{S} , so we only need to show it is maximal. Let $F \subseteq \mathfrak{S}$ be a filter properly containing ν^{\uparrow} and let b belong to F but not ν^{\uparrow} . We know both $a \cdot b$ and a - b are in a^{\downarrow} and are complements in this Boolean algebra. Hence either $a \cdot b$ or a - b is in the ultrafilter ν , and hence in ν^{\uparrow} . But ν^{\uparrow} is an upward-closed set that does not contain b, so it cannot contain $a \cdot b$, and thus we have $a - b \in \nu^{\uparrow} \subseteq F$. Using (1), this yields $b \cdot (a - b) = 0 \in F$, so $F = \mathfrak{A}$. Hence ν^{\uparrow} is a maximal filter.

Finally, we check that the two constructions are inverse to each other. If $\mu \subseteq \mathfrak{S}$ is a maximal filter and $a \in \mu$, then we have an inclusion of maximal filters $(\mu \cap a^{\downarrow})^{\uparrow} \subseteq \mu$ and thus an equality. On the other hand, if $\nu \subseteq a^{\downarrow}$ is an

ultrafilter, it is clear that $\nu = \nu^{\uparrow} \cap a^{\downarrow}$ —this is the case for any upward-closed subset ν of a^{\downarrow} .

Corollary 5.5. Let $F \subseteq \mathfrak{S}$ be a filter. Then the following are equivalent.

- (a) F is maximal.
- (b) For all $a \in F$ and $b \in \mathfrak{S}$, precisely one of $a \cdot b$ and a b belongs to F.
- (c) For some $a \in F$, for all $b \in \mathfrak{S}$, precisely one of $a \cdot b$ and a b belongs to F.

Proof: Since *F* is nonempty, it is clear that (b) implies (c), while (a) implying (b) is an immediate consequence of Proposition 5.4. Suppose *a* ∈ *F* witnesses the truth of (c). Then by this hypothesis, $\nu := F \cap a^{\downarrow}$ is an ultrafilter of a^{\downarrow} . Therefore, by Proposition 5.4, ν^{\uparrow} is a maximal filter of \mathfrak{S} , and clearly $\nu^{\uparrow} \subseteq F$. Since *F* is proper (because, by hypothesis and that $a - 0 \in F$, we know $0 = a \cdot 0$ does not belong to *F*), we conclude that $F = \nu^{\uparrow}$, and hence *F* is maximal.

Corollary 5.6. Let $F \subseteq \mathfrak{S}$ be a proper filter. Then there exists a maximal filter μ with $F \subseteq \mu$.

Proof: Take an element $a \in F$. It is straightforward to check that $F \cap a^{\downarrow}$ is a filter of the Boolean algebra a^{\downarrow} . Let ν be an ultrafilter of a^{\downarrow} that extends $F \cap a^{\downarrow}$. Then, by Proposition 5.4, the set ν^{\uparrow} is the required μ .

Proposition 5.7. Let \mathfrak{A} be a difference-restriction algebra, and let θ be the map given by

$$a^{\theta} \coloneqq \{(\xi, \mu) \in \mathsf{Filt}_{\max}(\mathfrak{A}) \times \mathsf{Filt}_{\max}(\mathfrak{A}) \mid \xi \approx \mu \quad and \quad a \in \mu\}.$$

Then θ is a representation of the $\{-, \rhd\}$ -algebra \mathfrak{A} by partial functions.

Proof: By Proposition 5.2, we already known that θ is a map to partial functions on $\mathsf{Filt}_{\max}(\mathfrak{A})$ and preserves the \triangleright operation. Let $\xi, \mu \in \mathsf{Filt}_{\max}(\mathfrak{A})$ be \approx -equivalent and $a, b \in \mathfrak{A}$. Then

$$\begin{aligned} (\xi,\mu) &\in a^{\theta} - b^{\theta} \iff a \in \mu \quad \text{and} \quad b \notin \mu \\ &\iff a - b \in \mu \qquad \text{(by Corollary 5.5)} \\ &\iff (\xi,\mu) \in (a-b)^{\theta}. \end{aligned}$$

Therefore θ is a homomorphism of $\{-, \triangleright\}$ -algebras.

Finally, we show that θ is injective. Since θ is a homomorphism, we have $a^{\theta} = b^{\theta}$ if and only if $(a - b)^{\theta} = \emptyset = (b - a)^{\theta}$. In turn, by Corollary 5.6 this holds exactly when a - b = 0 = b - a, which implies a = b.

20

Theorem 5.8. The class of $\{-, \triangleright\}$ -algebras representable by partial functions is a variety, axiomatised by the finite set of equations (Ax.1) - (Ax.5).

Proof: As we saw in Section 2 all representable algebras validate the axioms. By Proposition 5.7, every $\{-, \triangleright\}$ -algebra validating the axioms is representable.

We finish this section with an alternative representation of any representable $\{-, \triangleright\}$ -algebra, using only *injective* partial functions. This representation is built from the representation exhibited in Proposition 5.7.

Corollary 5.9. Let \mathfrak{A} be difference-restriction algebra, and let η be the map given by

$$a^{\eta} \coloneqq \{(\llbracket \mu \rrbracket, \mu) \in (\mathsf{Filt}_{\max}(\mathfrak{A})/\approx) \times \mathsf{Filt}_{\max}(\mathfrak{A}) \mid a \in \mu\}.$$

Then η is a representation of \mathfrak{A} by injective partial functions.

Proof: This is a simple consequence of Proposition 5.7 together with the observation that, for all maximal filters $\xi, \mu \subseteq \mathfrak{A}$ and $a \in \mathfrak{A}$, we have

$$(\xi,\mu) \in a^{\theta} \iff (\llbracket \xi \rrbracket,\mu) \in a^{\eta}.$$

Corollary 5.10. The class of $\{-, \triangleright\}$ -algebras representable by injective partial functions is a variety, axiomatised by the finite set of equations (Ax.1) - (Ax.5).

6. Complete representability

In this section we discuss complete representations of $\{-, \triangleright\}$ -algebras and investigate the axiomatisability of the class of completely representable algebras.

The next two definitions may apply to any function from a poset \mathfrak{P} to a poset \mathfrak{Q} . So in particular, these definitions apply to representations of Boolean algebras as fields of sets and to representations of subtraction algebras or difference-restriction algebras as algebras of partial functions, where a representation of a Boolean algebra is viewed as an embedding into a full powerset algebra $\mathcal{P}(X)$, and a representation of a subtraction algebra or difference-restriction algebra is viewed as an embedding into the algebra or difference-restriction algebra is viewed as an embedding into the algebra of all partial functions $\mathcal{PF}(X)$ on some set X. Since these are the only cases we are concerned with in this section, and since existing meets/joins in both $\mathcal{P}(X)$ and $\mathcal{PF}(X)$ are given by intersections/unions, we will represent meets and joins in \mathfrak{P} by \prod and \sum respectively and meets and joins in \mathfrak{Q} by \bigcap and \bigcup respectively, using subscripts if it is necessary to be more precise about which poset we are in.

Definition 6.1. A function $h : \mathfrak{P} \to \mathfrak{Q}$ is meet complete if, for every nonempty subset S of \mathfrak{P} , if $\prod S$ exists, then so does $\bigcap h[S]$ and

$$h(\prod S) = \bigcap h[S].$$

Definition 6.2. A function $h : \mathfrak{P} \to \mathfrak{Q}$ is **join complete** if, for every subset S of \mathfrak{P} , if $\sum S$ exists, then so does $\bigcup h[S]$ and

$$h(\sum S) = \bigcup h[S].$$

Note that S is required to be nonempty in Definition 6.1, but not in Definition 6.2. Despite the asymmetry, this is the natural choice if we wish to formulate a definition of meet complete for partial function algebras. Since the set $\mathcal{PF}(X)$ has a top element with respect to inclusion if and only if X is a singleton, requiring preservation of tops would prevent any (cardinality greater than 2) partial function algebra with a top from being meet completely representable, including all finite ones and all completely representable Boolean algebras (interpreted as $\{\triangleright, -\}$ -algebras of identity being an infinitary specialisation of representability and partial function algebras.

On the other hand, if a representation of a Boolean algebra as a field of sets preserves the existing nonempty meets, then it also preserves the top element (in a Boolean algebra, we have $1 = a \vee \neg a$ for every a, and both \vee and \neg are preserved by Boolean algebra homomorphisms). Thus for any algebra with a Boolean reduct our definition is not in conflict with the more usual definition.

The clearest way to understand the underlying cause of the join-meet asymmetry is to realise that, for our purposes, the concepts that *join* and *meet* are providing formalisations of are 'abstract union' and 'abstract intersection'. Since the empty intersection does not (in an absolute sense) exist, the meet of the empty set will never have relevance for us.

A Boolean algebra can be represented using a meet-complete representation if and only if it can be represented using a join-complete representation, for the simple reason that any meet-complete homomorphism *is* join-complete, and vice versa. So in this case we may simply describe such a homomorphism using the adjective **complete**.[‡] We will now see that the same remarks apply to subtraction algebras, and hence to difference–restriction algebras.

We now start to follow a part of [29] very closely—the end of Section 2 and beginning of Section 3 there. The upcoming several proofs (up to Lemma 6.13) are trivial adaptations of the proofs found in that paper, but it is worth including them here, since they are all rather short. Note that although, in view of the subject of this paper, we choose to state some of these results in terms of representations of $\{-, \triangleright\}$ -algebras by partial functions, the \triangleright operation plays no role—the results hold more generally for representations of $\{-\}$ -algebras by sets.

Lemma 6.3. Let \mathfrak{A} and \mathfrak{B} be subtraction algebras and $h : \mathfrak{A} \to \mathfrak{B}$ a homomorphism. For each $a \in \mathfrak{A}$, let $h_a : a^{\downarrow} \to h(a)^{\downarrow}$ denote the homomorphism of Boolean algebras induced by h (recall Corollary 2.6). If h is meet complete or join complete, then h_a is complete.

Proof: We will show that if h is meet (respectively, join) complete then each h_a is meet (respectively, join) complete. Since meet complete and join complete are equivalent notions for Boolean algebras, it follows in both cases that h_a is complete, as required.

Suppose h is meet complete. If S is a nonempty subset of a^{\downarrow} , then all lower bounds for S in \mathfrak{A} are also in a^{\downarrow} . Hence if $\prod_{a^{\downarrow}} S$ exists then it equals $\prod_{\mathfrak{A}} S$, and so $\bigcap_{\mathfrak{B}} h[S]$ exists and equals $h(\prod_{a^{\downarrow}} S)$. This equality also tells us that $\bigcap_{\mathfrak{B}} h[S] \in h(a)^{\downarrow}$. Hence $h(\prod_{a^{\downarrow}} S) = \bigcap_{\mathfrak{B}} h[S] = \bigcap_{h(a)^{\downarrow}} h[S]$. So h_a is complete.

Suppose that h is join complete, $S \subseteq a^{\downarrow}$, and $\sum_{a^{\downarrow}} S$ exists. If $c \in \mathfrak{A}$ and c is an upper bound for S, then $c \ge c \cdot a \ge \sum_{a^{\downarrow}} S$. Hence $\sum_{a^{\downarrow}} S = \sum_{\mathfrak{A}} S$, giving the existence of $\bigcup_{\mathfrak{B}} h[S]$ and the equality $h(\sum_{a^{\downarrow}} S) = h(\sum_{\mathfrak{A}} S) = \bigcup_{\mathfrak{B}} h[S]$. This equality also tells us that $\bigcup_{\mathfrak{B}} h[S] \in h(a)^{\downarrow}$. Hence $h(\sum_{a^{\downarrow}} S) = \bigcup_{\mathfrak{B}} h[S] = \bigcup_{h(a)^{\downarrow}} h[S]$. So h_a is complete.

Corollary 6.4. Let \mathfrak{A} be an algebra of the signature $\{-, \triangleright\}$. Any representation θ of \mathfrak{A} by partial functions restricts to a representation of a^{\downarrow} as a field of sets over $\theta(a)$, which is complete if θ is meet complete or join complete.

[‡]In the case of representations of Boolean algebras as fields of sets, other adjectives have been used. Dana Scott suggested *strong*, which was subsequently used by Roger Lyndon; John Harding uses *regular*.

Corollary 6.5. Let \mathfrak{A} and \mathfrak{B} be subtraction algebras and $h : \mathfrak{A} \to \mathfrak{B}$ be a homomorphism. If h is meet complete, then it is join complete.

Proof: Suppose that h is meet complete. Let S be a subset of \mathfrak{A} and suppose that $\sum_{\mathfrak{A}} S$ exists. Let $a = \sum_{\mathfrak{A}} S$. Then h_a is complete and so

$$h(\sum_{\mathfrak{A}} S) = h(\sum_{a^{\downarrow}} S) = \bigcup_{h(a)^{\downarrow}} h[S] = \bigcup_{\mathfrak{B}} h[S].$$

Corollary 6.6. Let \mathfrak{A} and \mathfrak{B} be subtraction algebras and $h : \mathfrak{A} \to \mathfrak{B}$ be a homomorphism. If h is join complete, then it is meet complete.

Proof: Suppose that h is join complete. Let S be a nonempty subset of \mathfrak{A} and suppose that $\prod_{\mathfrak{A}} S$ exists. As S is nonempty, we can find $s \in S$. We let $S \cdot s$ denote the set $\{s' \cdot s \mid s' \in S\}$. Then h_s is complete and

$$h(\prod_{\mathfrak{A}} S) = h(\prod_{\mathfrak{A}} (S \cdot s)) = h(\prod_{s^{\downarrow}} (S \cdot s)) = \bigcap_{h(s)^{\downarrow}} h[S \cdot s] = \bigcap_{\mathfrak{B}} h[S \cdot s] = \bigcap_{\mathfrak{B}} h[S]. \blacksquare$$

We have established that there is but one notion of complete homomorphism for representable $\{-, \triangleright\}$ -algebras. Hence there is but one notion of complete representation for $\{-, \triangleright\}$ -algebras. If a $\{-, \triangleright\}$ -algebra has a complete representation we say it is **completely representable**.

We now move on and consider the property of being atomic, both for algebras and for representations. We will see that the completely representable algebras are precisely the algebras that are representable and atomic.

Definition 6.7. Let \mathfrak{P} be a poset with a least element, 0. An **atom** of \mathfrak{P} is a minimal nonzero element of \mathfrak{P} . We write $\operatorname{At}(\mathfrak{P})$ for the set of atoms of \mathfrak{P} . We say that \mathfrak{P} is **atomic** if every nonzero element is greater than or equal to an atom.

We note that representations of $\{-, \triangleright\}$ -algebras necessarily represent the partial order by set inclusion: this may be seen as a consequence of Corollary 6.4. The following definition is meaningful for any notion of representation where this is the case.

Definition 6.8. Let \mathfrak{P} be a poset with a least element and let θ be a representation of \mathfrak{P} . Then θ is **atomic** if $x \in \theta(a)$ for some $a \in \mathfrak{P}$ implies $x \in \theta(b)$ for some atom b of \mathfrak{P} .

We will need the following theorem.

Theorem 6.9 (Hirsch and Hodkinson [13, Theorem 5]). Let \mathfrak{B} be a Boolean algebra. A representation of \mathfrak{B} as a field of sets is atomic if and only if it is complete.

Proposition 6.10. Let \mathfrak{A} be an algebra of the signature $\{-, \triangleright\}$ and θ be a representation of \mathfrak{A} by partial functions. Then θ is atomic if and only if it is complete.

Proof: Suppose that θ is atomic, S is a nonempty subset of \mathfrak{A} and $\prod S$ exists. It is always true that $\theta(\prod S) \subseteq \bigcap \theta[S]$, regardless of whether or not θ is atomic. For the reverse inclusion, we have

 $\begin{array}{l} (x,y) \in \bigcap \theta[S] \\ \Longrightarrow \quad (x,y) \in \theta(s) \qquad \text{for all } s \in S \\ \Longrightarrow \quad (x,y) \in \theta(a) \qquad \text{for some atom } a \text{ such that } (\forall s \in S) \ a \leq s \\ \Longrightarrow \quad (x,y) \in \theta(a) \qquad \text{for some atom } a \text{ such that } a \leq \prod S \\ \Longrightarrow \quad (x,y) \in \theta(\prod S). \end{array}$

The third line follows from the second because, choosing an $s_0 \in S$ we have $(x, y) \in \theta(s_0)$, hence some atom a with $(x, y) \in \theta(a)$, and thus $(x, y) \in \theta(a \cdot s)$ for any $s \in S$. So for all $s \in S$, the element $a \cdot s$ is nonzero, so equals a, by atomicity of a, giving $a \leq s$.

Conversely, suppose that θ is complete. Let (x, y) be a pair contained in $\theta(a)$ for some $a \in \mathfrak{A}$. By Corollary 6.4, the map θ restricts to a complete representation of a^{\downarrow} as a field of sets. Hence, by Theorem 6.9, $(x, y) \in \theta(b)$ for some atom b of the Boolean algebra a^{\downarrow} . Since an atom of a^{\downarrow} is clearly an atom of \mathfrak{A} , the representation θ is atomic.

Corollary 6.11. Let \mathfrak{A} be an algebra of the signature $\{-, \triangleright\}$. If \mathfrak{A} is completely representable by partial functions then \mathfrak{A} is atomic.

Proof: Let *a* be a nonzero element of \mathfrak{A} . Let θ be any complete representation of \mathfrak{A} . Then $\emptyset = \theta(0) \neq \theta(a)$, so there exists $(x, y) \in \theta(a)$. By Proposition 6.10, the map θ is atomic, so $(x, y) \in \theta(b)$ for some atom *b* in \mathfrak{A} . Then $(x, y) \in \theta(a \cdot b)$, so $a \cdot b > 0$, from which we may conclude that the atom *b* satisfies $b \leq a$.

For Boolean algebras, the algebra being atomic is necessary and sufficient for complete representability [2]. On the other hand, there exist scenarios in which being atomic is necessary but *not* sufficient for complete representability, for example for the signature of composition, intersection, and antidomain, for representation by partial functions ([29, Proposition 4.6]). Do we have sufficiency in our case? The answer is yes. But before we prove this we need a couple more lemmas.

Definition 6.12. A poset \mathfrak{P} is **atomistic** if its atoms are join dense in \mathfrak{P} . That is to say that every element of \mathfrak{P} is the join of the atoms less than or equal to it.

Clearly any atomistic poset is atomic. For subtraction algebras, and in particular for $\{-, \triangleright\}$ -algebras representable by partial functions, the converse is also true.

Lemma 6.13. Let \mathfrak{A} be a subtraction algebra. If \mathfrak{A} is atomic, then it is atomistic.

Proof: Suppose \mathfrak{A} is atomic and let $a \in \mathfrak{A}$. We know the algebra a^{\downarrow} is a Boolean algebra and clearly it is atomic. It is well-known that atomic Boolean algebras are atomistic. So we have

$$a = \sum_{a^{\downarrow}} \operatorname{At}(a^{\downarrow}) = \sum_{\mathfrak{A}} \operatorname{At}(a^{\downarrow}) = \sum_{\mathfrak{A}} \{ x \in \operatorname{At}(\mathfrak{A}) \mid x \le a \}.$$

The second equality holds because any upper bound $c \in \mathfrak{A}$ for $\operatorname{At}(a^{\downarrow})$ is above an upper bound in a^{\downarrow} , for example $c \cdot a$. Hence the least upper bound in a^{\downarrow} is least in \mathfrak{A} also.

The last lemma concerns properties of the atoms of representable $\{-, \triangleright\}$ -algebras.

Lemma 6.14. Let \mathfrak{A} be a representable algebra of the signature $\{-, \triangleright\}$. Then

- (a) if $x \in At(\mathfrak{A})$, then $[x] \in At(\mathfrak{A}/\sim)$;
- (b) for every $a \in \mathfrak{A}$ and $x \in \operatorname{At}(\mathfrak{A})$, either $x \triangleright a = 0$ or $x \triangleright a$ is an atom. And moreover, $x \triangleright a$ is an atom if and only if $x \preceq a$ (and if and only if $x \sim x \triangleright a$).

Proof:

- (a) Let $a \in \mathfrak{A}$ be such that $[a] \prec [x]$. Then $a \triangleright x < x$. (We cannot have $a \triangleright x = x$, else, by Lemma 3.3, $[x] \preceq [a]$.) But then as x is an atom, $a \triangleright x = 0$. Hence $[a] = [a \triangleright x] = [0]$. As [a] was an arbitrary element below [x], we conclude that [x] is an atom.
- (b) Suppose $x \triangleright a \neq 0$ and let $b \in \mathfrak{A}$ be such that $0 \leq b < x \triangleright a$. By Corollary 3.5, we have $0 \leq b \prec x \triangleright a$ and, by Lemma 3.3, $x \triangleright a \leq x$. But by part (a), [x] is an atom, and thus, $0 \sim b$ and $x \triangleright a \sim x$. This

yields b = 0, and so, $x \triangleright a$ is an atom, and $x \preceq a$. Finally, by Lemma 3.3 we have $x \preceq a$ if and only if $x \sim x \triangleright a$.

Proposition 6.15. Let \mathfrak{A} be an atomic difference-restriction algebra. Let θ be the map given by

$$a^{\theta} \coloneqq \{ (x, y) \in \operatorname{At}(\mathfrak{A}) \times \operatorname{At}(\mathfrak{A}) \mid x \sim y \text{ and } y \leq a \}.$$

Then θ is a complete representation of \mathfrak{A} by partial functions.

Proof: First we show that the relation a^{θ} is a partial function. That is, we argue that if $x \sim y \sim z$ for atoms x, y, z, with $y, z \leq a$, then y = z. But this is a consequence of Lemma 3.4.

Next we show that θ represents each operation correctly. We pick $a, b \in \mathfrak{A}$ and two ~-equivalent atoms $x, y \in \operatorname{At}(\mathfrak{A})$.

Showing that $(a - b)^{\theta} = a^{\theta} - b^{\theta}$ amounts to showing that

 $y \le a - b \iff (y \le a \text{ and } y \not\le b).$

By (3), if $y \leq a - b$ then $y \leq a$. Suppose that we also have $y \leq b$. Then, $y \leq b \cdot (a - b)$ which, by (1), yields y = 0, a contradiction. This shows the forward implication. Conversely, since $y \in \operatorname{At}(a^{\downarrow})$ and a - b and $a \cdot b$ are complements in the Boolean algebra a^{\downarrow} , it follows that $y \leq a - b$ (because we are assuming $y \leq b$). Thus, we conclude that θ represents - correctly.

For \triangleright , first suppose that $(x, y) \in (a \triangleright b)^{\theta}$. By (4), we have $(x, y) \in b^{\theta}$. We show that $(x, y \triangleright a) \in a^{\theta}$, and thus $x \in \text{dom}(a^{\theta})$, yielding $(x, y) \in a^{\theta} \triangleright b^{\theta}$. By (4), we have $y \triangleright a \leq a$. Thus we only need to show that $x \sim y \triangleright a$. By Lemma 3.3, we have $a \triangleright b \preceq a$, and since, by Lemma 3.2, \preceq includes \leq , we have $y \preceq a \triangleright b$. Thus, $y \preceq a$ and, again by Lemma 3.3, we have $y \sim y \triangleright a$. Since $x \sim y$ by hypothesis, we conclude $(x, y \triangleright a) \in a^{\theta}$ as claimed.

Conversely, suppose $(x, y) \in a^{\theta} \rhd b^{\theta}$, that is, $x \in \text{dom}(a^{\theta})$ and $y \leq b$. Since y is an atom of the Boolean algebra b^{\downarrow} , we have $y \leq a \rhd b$ or $y \leq b - (a \rhd b)$. We suppose that $y \leq b - (a \rhd b)$ and we let $z \in \text{At}(a^{\downarrow})$ be \sim -equivalent to x. Using that \leq is included in \leq , we have $y \leq b - (a \rhd b)$ and $z \leq a$. Since $x \sim y \sim z$, it follows by Lemma 3.3 that $x \leq a \triangleright (b - (a \triangleright b))$. Now, using Lemma 3.3 and Corollary 3.6 in this order, we may compute:

$$[a \triangleright (b - (a \triangleright b))] = [a] \land [b - (a \triangleright b)]] = [a] \land ([b] - [a]).$$

Again by Corollary 3.6, we known that \mathfrak{A}/\sim is a subtraction algebra. Thus, by (1), we have that $[a] \wedge ([b] - [a]) = [0]$, and by Lemma 3.2(b) it follows that x = 0, which is a contradiction. Therefore, we have $y \leq (a \triangleright b)$ as intended.

Next, we note that θ is injective. If $a^{\theta} = b^{\theta}$ then a and b are greater than or equal to the same set of atoms. Since \mathfrak{A} is atomistic (Lemma 6.13), a and b are each the supremum of this set of atoms, hence are equal.

Finally, we show that θ is complete. By Proposition 6.10, we know θ being complete is equivalent to it being atomic, and θ is clearly atomic: for every $a \in \mathfrak{A}$ and $(x, y) \in a^{\theta}$, y is an atom of \mathfrak{A} such that $(x, y) \in y^{\theta}$.

Theorem 6.16. The class of $\{-, \triangleright\}$ -algebras that are completely representable by partial functions is axiomatised by the finite set of equations (Ax.1) - (Ax.5) together with the $\forall \exists \forall$ first-order formula stating that the algebra is atomic.

Proof: By definition, the completely representable algebras are representable, and by Corollary 6.11 they are atomic. Proposition 6.15 tells us the converse—that any representable and atomic algebra is completely representable. Hence the completely representable algebras are precisely those that are both representable and atomic. By Theorem 5.8, equations (Ax.1) - (Ax.5) axiomatise representability. So with the addition of the formula stating the algebra is atomic, an axiomatisation of the completely representable algebras is obtained. ■

As before, we can use the representation of Proposition 6.15 to get a complete representation by *injective* partial functions.

Corollary 6.17. Let \mathfrak{A} be an atomic difference-restriction algebra. Let η be the map given by

$$a^{\eta} \coloneqq \{ ([x], x) \in (\operatorname{At}(\mathfrak{A})/\sim) \times \operatorname{At}(\mathfrak{A}) \mid x \le a \}.$$

Then η is a complete representation of \mathfrak{A} by injective partial functions.

Proof: This follows from Proposition 6.15 together with the observation that for every $x, y \in At(\mathfrak{A})$ and $a \in \mathfrak{A}$, we have

$$([y], x) \in a^{\eta} \iff (y, x) \in a^{\theta}.$$

Corollary 6.18. The class of $\{-, \triangleright\}$ -algebras that are completely representable by injective partial functions is axiomatised by the finite set of equations (Ax.1) - (Ax.5) together with the $\forall \exists \forall$ first-order formula stating that the algebra is atomic.

28

The axiomatisation of Theorem 6.16 and Corollary 6.18 uses the minimum possible degree of quantifier alternation, for it is not possible to axiomatise these classes using any $\exists \forall \exists$ first-order theory, finite or otherwise.

Proposition 6.19. The class of $\{-, \triangleright\}$ -algebras that are completely representable by partial functions and the class of $\{-, \triangleright\}$ -algebras that are completely representable by injective partial functions are not axiomatisable by any $\exists \forall \exists$ first-order theory.

Proof: Any Boolean algebra $\mathfrak{B} = (B, 0, 1, \wedge, \overline{})$ can interpret an algebra $\mathfrak{B}_{\{-, \triangleright\}}$ of the signature $\{-, \triangleright\}$ by setting $a - b \coloneqq a \wedge \overline{b}$ and $a \triangleright b \coloneqq a \wedge b$, and it is easy to check that \mathfrak{B} equipped with these two operations satisfies axioms (Ax.1)–(Ax.5). Moreover, since the derived operation \cdot is given by

$$a \cdot b \stackrel{(I)}{=} a - (a - b) = a \wedge \overline{(a \wedge \overline{b})} = a \wedge (\overline{a} \vee b) = a \wedge b,$$

the orderings on \mathfrak{B} and $\mathfrak{B}_{\{-,\triangleright\}}$ coincide, and in particular $\mathfrak{B}_{\{-,\triangleright\}}$ is atomic if and only if \mathfrak{B} is. On the other hand, there exist Boolean algebras \mathfrak{B} and \mathfrak{B}' with \mathfrak{B} atomic and \mathfrak{B}' not, such that \mathfrak{B} and \mathfrak{B}' satisfy the same $\exists \forall \exists$ first-order theory—see [29, Proposition 3.7] for a proof of this fact. Hence $\mathfrak{B}_{\{-,\triangleright\}}$ and $\mathfrak{B}'_{\{-,\triangleright\}}$ also have the same $\exists \forall \exists$ first-order theory as one another, since their basic operations are defined by terms in the Boolean signature. Thus, by Theorem 6.16/Corollary 6.18, $\mathfrak{B}_{\{-,\triangleright\}}$ and $\mathfrak{B}'_{\{-,\triangleright\}}$ witness that any $\exists \forall \exists$ first-order theory cannot have all and only the completely representable algebras as its models.

References

- [1] James C. Abbott, Sets, lattices, and Boolean algebras, Allyn and Bacon, 1969.
- [2] Alexander Abian, Boolean rings with isomorphisms preserving suprema and infima, Journal of the London Mathematical Society s2-3 (1971), no. 4, 618–620.
- [3] Andrej Bauer, Karin Cvetko-Vah, Mai Gehrke, Samuel J. van Gool, and Ganna Kudryavtseva, A non-commutative Priestley duality, Topology and its Applications 160 (2013), no. 12, 1423–1438.
- [4] Jasper Berendsen, David N. Jansen, Julien Schmaltz, and Frits W. Vaandrager, The axiomatization of override and update, Journal of Applied Logic 8 (2010), no. 1, 141–150.
- [5] Patrick Blackburn, Maarten de Rijke, and Yde Venema, *Modal logic*, Cambridge Tracts in Theoretical Computer Science, Cambridge University Press, 2001.
- [6] Célia Borlido and Brett McLean, Difference-restriction algebras of partial functions with operators: discrete duality and completion, preprint (2020), arXiv:2012.00224.
- [7] J. Michael Dunn, Mai Gehrke, and Alessandra Palmigiano, Canonical extensions and relational completeness of some substructural logics, The Journal of Symbolic Logic 70 (2005), no. 3, 713–740.

C. BORLIDO AND B. MCLEAN

- [8] Robert Egrot and Robin Hirsch, Completely representable lattices, Algebra Universalis 67 (2012), no. 3, 205–217.
- [9] Emmanuel Filiot and Pierre-Alain Reynier, Transducers, logic and algebra for functions of finite words, ACM SIGLOG News 3 (2016), no. 3, 4–19.
- [10] Mai Gehrke and Jónsson Bjarni, Bounded distributive lattices with operators, Mathematica Japonica 40 (1994), no. 2, 207–215.
- [11] Mai Gehrke and John Harding, Bounded lattice expansions, Journal of Algebra 238 (2001), no. 1, 345–371.
- [12] Victoria Gould and Christopher Hollings, Restriction semigroups and inductive constellations, Communications in Algebra 38 (2009), no. 1, 261–287.
- [13] Robin Hirsch and Ian Hodkinson, Complete representations in algebraic logic, The Journal of Symbolic Logic 62 (1997), no. 3, 816–847.
- [14] Robin Hirsch, Marcel Jackson, and Szabolcs Mikulás, The algebra of functions with antidomain and range, Journal of Pure and Applied Algebra 220 (2016), no. 6, 2214–2239.
- [15] Robin Hirsch and Brett McLean, Disjoint-union partial algebras, Logical Methods in Computer Science 13 (2017), no. 2:10, 1–31.
- [16] Marcel Jackson and Tim Stokes, Modal restriction semigroups: towards an algebra of functions, International Journal of Algebra and Computation 21 (2011), no. 7, 1053–1095.
- [17] Marcel Jackson and Tim Stokes, Monoids with tests and the algebra of possibly non-halting programs, Journal of Logical and Algebraic Methods in Programming 84 (2015), no. 2, 259–275.
- [18] Marcel Jackson and Tim Stokes, Override and update, Journal of Pure and Applied Algebra 225 (2021), no. 3, 106532.
- [19] Bjarni Jonsson and Alfred Tarski, Boolean algebras with operators. Part I, American Journal of Mathematics 73 (1951), no. 4, pp. 891–939.
- [20] Ganna Kudryavtseva and Mark V. Lawson, Boolean sets, skew Boolean algebras and a noncommutative Stone duality, Algebra Universalis 75 (2016), no. 1, 1–19.
- [21] Ganna Kudryavtseva and Mark V. Lawson, A perspective on non-commutative frame theory, Advances in Mathematics 311 (2017), 378–468.
- [22] Mark V. Lawson, A noncommutative generalization of Stone duality, Journal of the Australian Mathematical Society 88 (2010), no. 3, 385–404.
- [23] Mark V. Lawson, Non-commutative Stone duality: inverse semigroups, topological groupoids and C*-algebras, International Journal of Algebra and Computation 22 (2012), no. 06, 1250058.
- [24] Mark V. Lawson, Subgroups of the group of homeomorphisms of the Cantor space and a duality between a class of inverse monoids and a class of Hausdorff étale groupoids, Journal of Algebra 462 (2016), 77–114.
- [25] Mark V. Lawson and Daniel H. Lenz, Pseudogroups and their étale groupoids, Advances in Mathematics 244 (2013), 117–170.
- [26] Mark V. Lawson, Stuart W. Margolis, and Benjamin Steinberg, The étale groupoid of an inverse semigroup as a groupoid of filters, Journal of the Australian Mathematical Society 94 (2013), no. 2, 234–256.
- [27] Jonathan Leech, Normal skew lattices, Semigroup Forum 44 (1992), no. 1, 1–8.
- [28] Jonathan Leech, Recent developments in the theory of skew lattices, Semigroup Forum 52 (1996), no. 1, 7–24.
- [29] Brett McLean, Complete representation by partial functions for composition, intersection and antidomain, Journal of Logic and Computation 27 (2017), no. 4, 1143–1156.
- [30] Brett McLean, Algebras of partial functions, Ph.D. thesis, University College London, 2018.
- [31] Brett McLean, A categorical duality for algebras of partial functions, Journal of Pure and Applied Algebra **225** (2021), no. 11, 106755.

- [32] Boris M. Schein, Difference semigroups, Communications in Algebra 20 (1992), no. 8, 2153– 2169.
- [33] Viktor V. Wagner, Generalised groups, Proceedings of the USSR Academy of Sciences 84 (1952), 1119–1122 (Russian).

Célia Borlido

CMUC, UNIVERSITY OF COIMBRA, DEPARTMENT OF MATHEMATICS, 3001-501 COIMBRA, PORTUGAL *E-mail address*: cborlido@mat.uc.pt *URL*: https://mat.uc.pt/~cborlido

Brett McLean

DEPARTMENT OF MATHEMATICS, GHENT UNIVERSITY, GHENT, BELGIUM E-mail address: brett.mclean@ugent.be URL: https://users.ugent.be/~bmclean