# CAUCHY COMPLETENESS, LAX EPIMORPHISMS AND EFFECTIVE DESCENT FOR SPLIT FIBRATIONS

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ABSTRACT: For any suitable base category  $\mathcal{V}$ , we find that  $\mathcal{V}$ -fully faithful lax epimorphisms in  $\mathcal{V}$ -Cat are precisely those  $\mathcal{V}$ -functors  $F \colon \mathcal{A} \to \mathcal{B}$  whose induced  $\mathcal{V}$ -functors Cauchy  $F \colon$  Cauchy  $\mathcal{A} \to$  Cauchy  $\mathcal{B}$  between the Cauchy completions are equivalences. For the case  $\mathcal{V} = \mathsf{Set}$ , this is equivalent to requiring that the induced functor  $\mathsf{CAT}(F,\mathsf{Cat})$  between the categories of split (op)fibrations is an equivalence.

By reducing the study of effective descent functors with respect to the indexed category of split (op)fibrations  $\mathcal{F}$  to the study of the codescent factorization, we find that these observations on fully faithful lax epimorphisms provide us with a characterization of (effective)  $\mathcal{F}$ -descent morphisms in the category of small categories  $\mathsf{Cat}$ ; namely, we find that they are precisely the (effective) descent morphisms with respect to the indexed categories of discrete opfibrations — previously studied by Sobral. We include some comments on the Beck-Chevalley condition and future work.

Keywords: Cauchy completion, lax epimorphisms, effective descent morphisms, fully faithful morphisms, enriched categories, split fibrations.

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### Introduction

Let C be a category with pullbacks and  $p:e\to b$  a morphism in C. The kernel pair of p induces the internal groupoid  $\mathsf{Eq}(p)$ , whose underlying truncated simplicial object in C is given by (1). In this setting, each indexed category  $\mathfrak{F}:\mathsf{C}^{\mathrm{op}}\to\mathsf{CAT}$  induces the notion of category  $\mathsf{Desc}_{\mathfrak{F}}(p)$  of internal  $\mathfrak{F}$ -actions of the internal groupoid  $\mathsf{Eq}(p)$ , also called the category of  $\mathfrak{F}$ -descent data for p. This category comes with a factorization (2), where  $\mathsf{d}^p_{\mathfrak{F}}$  is the forgetful functor that discards descent data.

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$$e \times_b e \times_b e \xrightarrow{\pi_e} e \times_b e \xrightarrow{\pi_e} e \qquad \qquad \mathfrak{F}(b) \xrightarrow{\mathfrak{F}(p)} \mathfrak{F}(e)$$

$$(1) \qquad \qquad \mathsf{Desc}_{\mathfrak{F}}(p) \qquad \qquad (2)$$

In the context of Janelidze-Galois Theory (viz. [5]) and Grothendieck Descent Theory (viz. [10, 14]), one is interested in characterizing the morphisms p in C such that the comparison  $\mathcal{K}^p_{\mathfrak{F}}$  is an equivalence (or just fully faithful); that is to say, the effective  $\mathfrak{F}$ -descent (respectively,  $\mathfrak{F}$ -descent) morphisms of C. We refer the reader to [9, 8] for comprehensive introductions.

By the celebrated Bénabou-Roubaud Theorem (see [3] or [14, Theorem 8.5] for a generalization), whenever  $\mathfrak{F}$  comes from a bifibration satisfying the Beck-Chevalley condition (see, for instance, [15, Section 4]), p is of effective  $\mathfrak{F}$ -descent if and only if  $\mathfrak{F}(p)$  is monadic. This provides us with a way of studying (effective)  $\mathfrak{F}$ -descent morphisms via the Beck's monadicity theorem.

If  $\mathfrak{F}$  does not satisfy the Beck-Chevalley condition, the equivalence will not necessarily hold. A particular prominent example of this setting is the indexed category of discrete (op)fibrations  $\mathcal{F}_D = \mathsf{CAT}(-,\mathsf{Set})$ , thoroughly studied by Sobral in [17] (see also [15, Remark 4.8]).

The main point of this work is to extend Sobral's techniques and viewpoint on discrete (op)fibrations [17] to other settings. In this paper, we study the case of the indexed category  $\mathcal{F}\colon \mathsf{Cat}^\mathsf{op} \to \mathsf{CAT}, e \mapsto \mathsf{CAT}(\mathsf{e}, \mathsf{Cat})$  of the split opfibrations, which is another glaring example of indexed category that does not satisfy the Beck-Chevalley condition.

Recall that a lax epimorphism  $p: e \to b$  in  $\mathcal{V}$ -Cat is a  $\mathcal{V}$ -functor such that

$$V\text{-Cat}(p, x) \colon V\text{-Cat}(b, x) \to V\text{-Cat}(e, x)$$
 (3)

is fully faithful for any small  $\mathcal{V}$ -category x. Underlying Sobral's study of effective  $\mathcal{F}_D$ -descent morphisms p, there are two fundamental steps. The first step is to construct a factorization of p such that its image is (isomorphic to) (2). The second step of [17] relies on the characterization of lax epimorphisms in  $\mathsf{Cat}$ , that is, the functors p such that  $\mathsf{Cat}(p,c)$  is fully faithful for any c.

We revisit these two fundamental steps of [17], giving a systematic view over them, in Sections 1 and 2. Since it is suitable for our future work, we do that in the  $\mathcal{V}$ -enriched setting. The reader, however, can opt to always consider the case  $\mathcal{V} = \mathsf{Set}$ .

In Section 1, we show how we can reduce the problem of studying effective  $\mathcal{F}$ -descent morphisms to the study of the codescent factorization induced by (1): namely, the factorization given by the universal property of the weighted colimit called *codescent category* (see, for instance, [12] or [13, pag. 42]). This observation leads to a straightforward formal result that characterizes effective  $\mathfrak{F}$ -descent morphisms whenever the domain of  $\mathfrak{F}$  is a 2-category with codescent objects and  $\mathfrak{F}$  preserves suitable two-dimensional limits: namely, descent objects.

In Section 2, we thoroughly study the characterization of fully faithful lax epimorphisms in  $\mathcal{V}$ -Cat. Inspired by its relation with the presheaf categories, we show its relation with the Cauchy completion pseudofunctor, which we denote by Cauchy. Our main result is the equivalence of the following statements (Theorem 2.5) for suitable enriching categories  $\mathcal{V}$ :

- -p is a fully faithful lax epimorphism.
- Cauchy p is an equivalence.
- $\mathcal{V}$ -CAT $(p, \mathcal{V})$  is an equivalence.

In Section 3, the characterization of fully faithful lax epimorphisms together with the formal result of Section 1 provide us with a proof that a morphism is of (effective)  $\mathcal{F}$ -descent if and only if it is of (effective)  $\mathcal{F}_D$ -descent. This means that Sobral's characterization can be plainly extended to the case of the indexed category of split fibrations.

We finish Section 3 by discussing a straightforward example related to the well-known fact that our indexed category indeed does not satisfy the Beck-Chevalley condition.

We end the paper with Section 4. It gives a brief account of some problems that we intend to attack in future work: for example, we state similar open problems in the enriched setting and the  $(\mathcal{T}, \mathcal{V})$ -categorical setting (viz. [7, 6]).

#### 1. Grothendieck Descent and Codescent

In this section, we consider an arbitrary 2-category  $\mathcal{A}$  with lax codescent objects, but the reader may safely assume  $\mathcal{A} = \mathsf{Cat}$ , which is the scope of our main results in Sect. 3 (see, for instance, [12] or [13, p. 42] for definitions of the two-dimensional colimit known as lax codescent category).

Given a 2-functor  $\mathfrak{F}: \mathcal{A}^{\text{op}} \to \mathsf{CAT}$  and a morphism  $p: e \to b$  in  $\mathcal{A}$ , we consider the image of the diagram (1) by  $\mathfrak{F}$ : namely, the diagram (5). The universal property of the *lax descent object* of (5) in  $\mathsf{CAT}$  induces the *descent factorization* (2) of  $\mathfrak{F}(p)$  (see, for instance, [15, Lemma 3.6] for this description

via the two-dimensional limit lax descent object). We say that such a morphism p is of effective  $\mathfrak{F}$ -descent ( $\mathfrak{F}$ -descent) whenever  $\mathcal{K}^{\mathsf{Eq}(p)}$  is an equivalence (resp. fully faithful).

We get the factorization (4) of p in A, by the lax codescent object

$$\mathsf{CoDesc}\left(\mathsf{Eq}\left(p\right)\right)$$

of the diagram (1) in  $\mathcal{A}$ .

$$e \xrightarrow{p} b$$

$$\operatorname{CoDesc}\left(\operatorname{Eq}\left(p\right)\right)$$

$$(4)$$

$$\mathfrak{F}(e) \xrightarrow{\mathfrak{F}(\pi_e)} \mathfrak{F}(e \times_b e) \Longrightarrow \mathfrak{F}(e \times_b e \times_b e) \tag{5}$$

If  $\mathfrak{F}: \mathcal{A}^{\mathrm{op}} \to \mathsf{CAT}$  preserves lax descent objects, then the image of (4) by  $\mathfrak{F}$  is isomorphic to (2). In particular:

**Lemma 1.1.** Let  $\mathfrak{F}: \mathcal{A}^{\mathrm{op}} \to \mathsf{CAT}$  be a 2-functor that preserves two-dimensional limits. A morphism  $p: e \to b$  is of effective  $\mathfrak{F}$ -descent ( $\mathfrak{F}$ -descent) if, and only if,  $\mathfrak{F}(\mathsf{K}^{\mathsf{Eq}(p)})$  is an equivalence (fully faithful).

# 2. Fully faithful lax epimorphisms

Throughout this section, let  $\mathcal{V}$  be a symmetric monoidal closed, complete and cocomplete category. We consider the 2-category  $\mathcal{V}$ -Cat of small  $\mathcal{V}$ -categories.

A morphism  $p: e \to b$  in a 2-category  $\mathcal{A}$  is a lax epimorphism if  $\mathcal{A}(p,c)$  is fully faithful in CAT for any  $c \in \mathcal{A}$ . This is the dual of the notion of fully faithfulness. In particular, a  $\mathcal{V}$ -functor  $p: e \to b$  is a lax epimorphism whenever (3) is fully faithful for every small  $\mathcal{V}$ -category x.

A morphism  $p: e \to b$  of  $\mathcal{V}\text{-Cat}$  is  $\mathcal{V}\text{-}fully\ faithful}$  if, for any  $x,y \in e$ , the morphism  $p: e(x,y) \to b(px,py)$  in  $\mathcal{V}$  is invertible. It is easy to see that, if q has an adjoint in  $\mathcal{V}\text{-Cat}$ , q is a  $\mathcal{V}\text{-fully}$  faithful morphism if, and only if, q is fully faithful in the 2-category  $\mathcal{V}\text{-Cat}$  (see [16, Lemma 5.2]).

The main point of this section is to study characterizations of the morphisms that are simultaneously  $\mathcal{V}$ -fully faithful and lax epimorphic in  $\mathcal{V}$ -Cat. In particular, we give a characterization in terms of the Cauchy completions of the  $\mathcal{V}$ -categories. We recall basic aspects about those below.

**2.1. Cauchy completion.** An object a of a (possibly large)  $\mathcal{V}$ -category  $\mathsf{C}$  is tiny if the  $\mathcal{V}$ -functor  $\mathsf{C}(a,-)$  preserves colimits (see tiny in [4], or small-projective in [11]). For a small  $\mathcal{V}$ -category e, we denote by  $\mathsf{Cauchy}\,e$  the full  $\mathcal{V}$ -subcategory of  $\mathcal{V}$ - $\mathsf{CAT}[e,\mathcal{V}]$  consisting of the tiny objects of  $\mathcal{V}$ - $\mathsf{CAT}[e,\mathcal{V}]$ .

Henceforth, we assume that  $\mathcal{V}$  is such that Cauchy e, called the Cauchy completion of e, is small for any  $e \in \mathcal{V}$ -Cat. This is true for many base categories  $\mathcal{V}$ . We are mainly interested in the cases  $\mathcal{V} = \mathsf{Set}$  and  $\mathcal{V} = \mathsf{Cat}$ ; other examples include the extended real line, or even more generally any small quantale (see [11, Theorem 5.35]).

Recall that tiny objects are preserved by equivalences. More generally, we have:

**Lemma 2.1.** Let  $(F \dashv G) : \mathsf{C} \to \mathsf{D}$  be a  $\mathcal{V}$ -adjunction between (possibly large)  $\mathcal{V}$ -categories. If G is colimit-preserving, then F preserves tiny objects.

*Proof*: Indeed, if a is a tiny object, then  $\mathsf{D}(Fa,-) \cong \mathsf{C}(a,G(-))$  is colimit-preserving since it is a composite of colimit-preserving functors.

For a functor  $p: e \to b$  in  $\mathcal{V}$ -Cat, we denote by

Cauchy p: Cauchy  $e \to \mathsf{Cauchy}\, b$ 

the  $\mathcal{V}$ -functor induced by the restriction of the left Kan extension

$$\mathsf{LKan}_p \colon \mathcal{V}\text{-}\mathsf{CAT}[e,\mathcal{V}] \to \mathcal{V}\text{-}\mathsf{CAT}[b,\mathcal{V}]$$

to the tiny objects. It is clear that Cauchy naturally extends to a pseudofunctor  $\mathcal{V}\text{-Cat} \to \mathcal{V}\text{-Cat}$ .

**2.2. The characterization.** We start by establishing characterizations of  $\mathcal{V}$ -fully faithful morphisms and lax epimorphisms of  $\mathcal{V}$ -Cat in terms of the induced morphism between the Cauchy completions, and in terms of the induced functor between the categories of  $\mathcal{V}$ -presheaves.

For a small c, we denote by  $\eta_c$  the full inclusion (induced by the Yoneda embedding) of the  $\mathcal{V}$ -category c into its Cauchy completion. This defines a natural transformation Id  $\to$  Cauchy. Moreover, recall that, by the universal property of the Cauchy completion, the vertical arrows of (6) are equivalences. Therefore:

**Lemma 2.2.** If p is a morphism of V-Cat, then the induced functor between the categories of V-presheaves V-CAT(p, V) is fully faithful (resp. lax epimorphic)

if and only if V-CAT(Cauchy p, V) is fully faithful (resp. lax epimorphic) as well.

$$\mathcal{V}\text{-CAT}(\mathsf{Cauchy}\,b,\mathcal{V}) \xrightarrow{\mathcal{V}\text{-CAT}(\mathsf{Cauchy}\,p,\mathcal{V})} \mathcal{V}\text{-CAT}(\mathsf{Cauchy}\,e,\mathcal{V}) \\
 \psi\text{-CAT}(\eta_b,\mathcal{V}) \downarrow \qquad \qquad \downarrow \psi\text{-CAT}(\eta_e,\mathcal{V}) \\
 \mathcal{V}\text{-CAT}(b,\mathcal{V}) \xrightarrow{\mathcal{V}\text{-CAT}(p,\mathcal{V})} \mathcal{V}\text{-CAT}(e,\mathcal{V})$$
(6)

By the above and [16, Theorem 5.6], we get, then, a full characterization of lax epimorphisms in terms of Cauchy. More precisely:

**Proposition 2.3.** The following conditions are equivalent for a V-functor  $p: e \to b$  between small V-categories:

- i. p is a lax epimorphism.
- ii. Cauchy p is a lax epimorphism.
- iii.  $\mathcal{V}$ -CAT $(p, \mathcal{V})$  is fully faithful.

*Proof*: The equivalence of *i.* and *iii.* was already established by [16, Theorem 5.6]. The equivalence of *i.* and *ii.* follows from Lemma 2.2 and the equivalence  $i. \leftrightarrow iii.$ .

Although it does not follow from (plain) duality, the counterpart of Lemma 2.3 holds for fully faithful morphisms.

We start by recalling that the unit of an adjunction  $f \dashv g$  in a 2-category  $\mathcal{A}$  is invertible iff f is fully faithful iff g is a lax epimorphism. Moreover, by coduality, the counit is an isomorphism iff f is a lax epimorphism iff g is fully faithful. In particular, we have that, assuming that a morphism p has an adjoint, it is an equivalence if, and only if, it is a fully faithful lax epimorphism. All these statements hold also for  $\mathcal{A} = \mathcal{V}\text{-Cat}$  when replacing fully faithfulness by  $\mathcal{V}$ -fully faithfulness since, in this case, both are equivalent (see [16, Examples 2.4, (3)] and [16, Lemma 5.2]).

**Proposition 2.4.** The following conditions are equivalent for a V-functor  $p: e \to b$  between small V-categories:

- i. p is V-fully faithful.
- ii. Cauchy p is V-fully faithful.
- iii.  $\mathcal{V}$ -CAT $(p, \mathcal{V})$  is a lax epimorphism.

*Proof*: As in the case of Proposition 2.3, by Lemma 2.2 it is enough to prove that *i*. and *iii*. are equivalent.

Recall that we have an adjunction  $\mathsf{LKan}_p \dashv \mathcal{V}\text{-}\mathsf{CAT}(p, \mathcal{V})$ . Hence,  $\mathcal{V}\text{-}\mathsf{CAT}(p, \mathcal{V})$  is a lax epimorphism if and only if  $\mathsf{LKan}_p$  is fully faithful. We complete the proof by observing that, as a consequence of the Yoneda Lemma, p is  $\mathcal{V}$ -fully faithful if and only if  $\mathsf{LKan}_p$  is fully faithful (see, for instance, [11, Proposition 4.23]).

Finally, combining the characterizations of  $\mathcal{V}$ -fully faithful morphisms and lax epimorphisms, we get:

**Theorem 2.5.** The following conditions are equivalent for a V-functor  $p: e \rightarrow b$  between small V-categories:

- i. p is a V-fully faithful lax epimorphism.
- ii. Cauchy p is an equivalence.
- iii. V-CAT(p, V) is an equivalence.

*Proof*: Combining Propositions 2.4 and 2.3, we find that p is  $\mathcal{V}$ -fully faithful and lax epimorphic iff Cauchy p is  $\mathcal{V}$ -fully faithful and lax epimorphic iff  $\mathcal{V}$ -CAT $(p, \mathcal{V})$  is fully faithful and lax epimorphic.

Hence, given i., it follows that  $\mathcal{V}\text{-}\mathsf{CAT}(p,\mathcal{V})$  is an equivalence since  $\mathsf{LKan}_p$  is its left adjoint. As such, both must preserve tiny objects, so their restrictions to tiny objects are equivalences as well.

# 3. Discrete and Split Fibrations

Sobral provided a characterization of effective CAT(-, Set)-descent and CAT(-, Set)-descent functors [17]. We show, herein, how we can extend her characterization to the case of split fibrations. We start by extending our characterization of fully faithful and lax epimorphic morphisms in Set-Cat.

**Proposition 3.1.** A functor  $p: e \to b$  between small categories is fully faithful (resp. lax epimorphic) if, and only if, CAT(p, Cat) is lax epimorphic (resp. fully faithful).

*Proof*: The 2-functor J: Set-CAT  $\rightarrow$  Cat-CAT is a full 2-functor, and it has left and right 2-adjoints. Hence, it preserves and reflects fully faithful morphisms and lax epimorphisms by [16, Lemma 2.8] and [16, Remark 2.5].

By Propositions 2.4 and 2.3, J(p) is fully faithful (resp. lax epimorphic) if and only if Cat-CAT  $(J(p), Cat) \cong CAT(p, Cat)$  is lax epimorphic (resp. fully faithful).

**Theorem 3.2.** Let  $p: e \to b$  be a functor between small categories. Denoting by  $\mathsf{K}^{\mathsf{Eq}(p)}$  the comparison functor of the codescent category of the factorization (4), the following statements are equivalent.

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i. \mathsf{K}^{\mathsf{Eq}(p)} is lax epimorphic (resp. fully faithful lax epimorphic);
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- ii. p is of CAT(-, Set)-descent (resp. effective CAT(-, Set)-descent);
- iii. p is of CAT(-, Cat)-descent (resp. effective CAT(-, Cat)-descent);
- iv. Cauchy  $K^{Eq(p)}$  is lax epimorphic (resp. an equivalence)

*Proof*: By Theorem 2.5, Proposition 2.3 and Proposition 3.1, the results follow by Lemma 1.1. ■

**3.1. A word on Beck-Chevalley.** The indexed category of discrete fibrations (equivalently, the indexed category of split fibrations) is particularly interesting because it provides us with a source of counterexamples in descent theory – it is a fruitful example of an indexed category (coming from a bifibration) that does not satisfy the Beck-Chevalley condition.

Although it is simple to directly verify that CAT(-, Set) and CAT(-, Cat) do not satisfy the Beck-Chevalley condition, we can do that indirectly: by showing that the Bénabou-Roubaud theorem does not hold for these cases (and, hence, BC does not hold as well).

More precisely, we know that every effective CAT (-, Cat)-descent morphism induces a monadic functor (by [15, Theorem 4.7]). However, we can show that there are functors p such that CAT (p, Cat) is monadic but p is not of effective CAT (-, Cat)-descent.

**Lemma 3.3.** If 1 is the terminal category,  $p: 1 \rightarrow b$  is an effective CAT (-, Cat)-descent morphism if and only if p is an equivalence.

*Proof*: p is of effective CAT  $(-, \mathsf{Cat})$ -descent if, and only if, CAT  $(p, \mathsf{Cat})$  is an equivalence. Therefore, by Proposition 3.1, we conclude that  $p: 1 \to b$  is of effective CAT  $(-, \mathsf{Cat})$ -descent if and only if p is fully faithful lax epimorphic: and, of course, since the domain of p is terminal, this is equivalent to p equivalence.

Although the effective CAT (-, Cat)-descent morphisms  $p: 1 \to b$  are only the equivalences, CAT (p, Cat) is monadic whenever b has only one object.

<sup>&</sup>lt;sup>a</sup>This actually holds more generally. See, for instance, [15, Proposition 4.3].

**3.1.1.** Examples in the literature. We refer to [17, Remark 3] and [15, Remark 4.8] for more examples of morphisms inducing monadic functors that are not of effective CAT (-, Cat)-descent (effective CAT (-, Set)-descent).

### 4. Future work

The main contribution of the present work was showing that, from the formal observations on codescent of Section 1 and the characterization of fully faithful lax epimorphisms of Sections 2 and 3, we were able to extend Sobral's characterization of discrete fibrations for the case of split fibrations.

The authors also believe that the present approach can be insightful towards the study of effective descent morphisms w.r.t. some other interesting indexed categories defined in 2-categories. We give two examples below.

The most natural line of work following this would be the study of effective descent morphisms in  $\mathcal{V}\text{-Cat}$ . By the observations of the present paper, this would solely rely on the thorough study of the codescent object of (4) in  $\mathcal{V}\text{-Cat}$  and its Cauchy completion.

More interestingly, discrete fibrations in the context of  $(\mathcal{T}, \mathcal{V})$ -categories (and, more precisely, in the context of [7, 6]) provides us with the indexed category  $\mathcal{E}$  of étale morphisms (see, for instance, [1, 2]). Even for  $\mathcal{V}$  thin, the study and characterization of effective  $\mathcal{E}$ -descent morphisms in this setting is still generally an open problem.

By the present work, we can extend Sobral's techniques to this general setting. Although we leave it to future work, we roughly sketch the general ideas below (we refer to [6] for the basic definitions related to these brief comments).

The first step would be to characterize the lax epimorphisms in that context. We conjecture that these are precisely the  $(\mathcal{T}, \mathcal{V})$ -functors  $(X, a) \to (Y, b)$  such that  $f_* \cdot f^* = b$  (following the notation of [6, pag. 188]) for  $(\mathcal{T}, \mathcal{V})$ -bimodules. A next step would be to fully study the codescent factorization in the 2-category of  $(\mathcal{T}, \mathcal{V})$ -categories. More precisely, this study consists of constructing the suitable codescent objects (if/when it exists). Moreover, provided with the work developed in [6], we can also study the relation of this characterization with the Cauchy completion in this setting.

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