Pré-Publicações do Departamento de Matemática
Universidade de Coimbra
Preprint Number 11–31

A RELATIVE THEORY OF UNIVERSAL CENTRAL EXTENSIONS

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Abstract: Basing ourselves on Janelidze and Kelly's general notion of central extension, we study universal central extensions in the context of semi-abelian categories. Thus we unify classical, recent and new results in one conceptual framework. The theory we develop is relative to a chosen Birkhoff subcategory of the category considered: for instance, we consider groups vs. abelian groups, Lie algebras vs. vector spaces, precrossed modules vs. crossed modules and Leibniz algebras vs. Lie algebras.

Keywords: categorical Galois theory; semi-abelian category; homology; Baer invariant.
AMS Subject Classification (2010): 17A32, 18B99, 18E99, 18G50, 20J05.

Introduction

This article provides a unified framework for the study of universal central extensions, using techniques from categorical Galois theory and, in particular, Janelidze and Kelly's relative theory of central extensions [28]. Its aim is to make explicit the underlying unity of results in the literature (for groups, Leibniz algebras, precrossed modules, etc. [1, 10, 11, 20, 21]) and to unite them in a single, general setting. Thus a basic theory of universal central extensions is developed for all these special cases simultaneously.

We work in a pointed Barr exact Goursat category $\mathcal{A}$ with a chosen Birkhoff subcategory $\mathcal{B}$ of $\mathcal{A}$; the universal central extensions of $\mathcal{A}$ are defined relative to the chosen $\mathcal{B}$. This is the minimal setting in which the theory of central extensions from [28] can be used to obtain meaningful results on the relations between perfect objects and universal central extensions. (Indeed, we need $\mathcal{A}$ to be Barr exact and Goursat for the concepts of normal and central extension

Received 18th October 2011.

The first author's research was supported by Ministerio de Ciencia e Innovación (grant number MTM2009-14464-C02-02, includes European FEDER support) and by Xunta de Galicia (grant number Incite09 207 215 PR).

The second author works as *chargé de recherches* for Fonds de la Recherche Scientifique–FNRS. His research was supported by Centro de Matemática da Universidade de Coimbra and by Fundação para a Ciência e a Tecnologia (grant number SFRH/BPD/38797/2007).

to coincide, and for split epimorphic central extensions to be trivial; and perfect objects can only be properly considered in a pointed context.)

The simultaneously *categorical* and *Galois theoretic* approach due to Janelidze and Kelly is based on, and generalises, the work of the Fröhlich school [18, 19, 35] which focused on varieties of Ω-groups. Recall [25] that a **variety of Ω-groups** is a variety of universal algebras which has amongst its operations and identities those of the variety of groups but has just one constant; furthermore, a Birkhoff subcategory of a variety is the same thing as a subvariety.

In order to construct universal central extensions, we further narrow the context to that of semi-abelian categories with enough projectives [4, 29], which still includes all varieties of Ω-groups. We need a good notion of short exact sequence to construct the centralisation of an extension, and the existence of projective objects gives us weakly universal central extensions. The switch to semi-abelian categories also allows us to make the connection with existing homology theories [14, 16, 17] and to prove some classical recognition results for universal central extensions.

Although some examples (for instance groups vs. abelian groups and Lie algebras vs. vector spaces) are *absolute*, meaning that they fit into the theory relative to the subcategory $\mathsf{Ab}\mathcal{A}$ of all abelian objects, others are not: precrossed modules vs. crossed modules, and Leibniz algebras vs. Lie algebras, for instance. In this absolute case, some results were already investigated in [21]; they appear as special cases of our general theory.

The text is structured as follows. In the first section we develop that part of the theory which does not depend on the existence of either projective objects or short exact sequences. Here we work in pointed Barr exact Goursat categories. We sketch the context and recall the basic definitions of *perfect object* and *(universal) central extension*. Some of the simpler correspondences between them are developed, as e.g. Proposition 1.11 on the universality of a central extension vs. perfectness of its domain. Further results are obtained in the setting of semi-abelian categories with enough projectives. In Section 2 we prove that any perfect object admits a universal central extension (Theorem 2.15). We show that, when $\mathsf{Ab}\mathcal{A} \subset \mathcal{B}$, a central extension is universal exactly when its domain is perfect and projective with respect to all central extensions (Proposition 2.22), and we also make connections with semi-abelian homology (Theorem 2.23). In Section 3 we consider the case of nested Birkhoff subcategories $\mathcal{C} \subset \mathcal{B} \subset \mathcal{A}$. Given a perfect object B of $\mathcal{B}$ we obtain a short exact sequence comparing the second homology of B, viewed

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Acknowledgements

We would like to thank the referee, Tomas Everaert, Julia Goedecke and George Peschke for some invaluable suggestions. Thanks also to the University of Coimbra, the University of Vigo and the Banff International Research Station for their kind hospitality.

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as an object of $\mathcal{B}$, with the second homology of B, viewed as an object of $\mathcal{A}$. The difference between them is expressed in terms of a universal central extension of B (Proposition 3.3, where we assume that $\mathsf{Ab}\mathcal{A} \subset \mathcal{C} \subset \mathcal{B} \subset \mathcal{A}$). Finally, in Section 4, we show how the theory unifies existing with new results by explaining the examples of groups vs. abelian groups, Leibniz algebras vs. Lie algebras vs. vector spaces, and precrossed modules vs. crossed modules vs. abelian crossed modules.

1. Basic definitions and results

In their article [28], Janelidze and Kelly introduced a general theory of relative central extensions in the context of exact Goursat categories. This is the theory we shall be considering here, focusing on the induced relative notion of universal central extension. We give an overview of the needed definitions and prove some preliminary results on the relation between universal central extensions and perfect objects. In the following section we shall narrow the context to semi-abelian categories with enough projectives in order to prove the existence of universal central extensions.

1.1. Barr exact Goursat categories. Recall that a **regular epimorphism** is a coequaliser of some pair of arrows. A category is **regular** when it is finitely complete with coequalisers of kernel pairs and with pullback-stable regular epimorphisms. In a regular category, any morphism may be factored as a regular epimorphism followed by a monomorphism, and this **image factorisation** is unique up to isomorphism. A category is **Barr exact** when it is regular and such that any internal equivalence relation is a kernel pair.

Next to Barr exactness, the theory of central extensions considered in [28] needs the surrounding category to satisfy the *Goursat property*. (Then, for instance, the concepts of normal extension and central extension coincide, and every split epimorphic central extension is trivial. Both of these facts are crucial in what follows.) A Barr exact category is called **Goursat** when for every pair of equivalence relations R, S on an object X the condition $SRS = RSR$ holds. For most examples the slightly less general and better known context of exact **Mal'tsev** categories suffices: here any internal reflexive relation is an equivalence relation or, equivalently, the condition $SR = RS$ holds for all equivalence relations R, S on an object X. A variety is Mal'tsev in this sense if and only if it is a *Mal'tsev variety*. Moreover, a Barr exact category is Mal'tsev if and only if the pushout of a regular epimorphism along a regular epimorphism

always exists, and the comparison morphism to the induced pullback is also a regular epimorphism [8]. See [28] for further details.

1.2. Birkhoff subcategories. The notion of central extension introduced in [28] is *relative*, being defined with respect to a chosen subcategory $\mathcal{B}$ of the category $\mathcal{A}$ considered.

Let $\mathcal{A}$ be a Barr exact Goursat category. A **Birkhoff subcategory** $\mathcal{B}$ of $\mathcal{A}$ is a full and reflective subcategory which is closed under subobjects and regular quotients. We write the induced adjunction as

$$\mathcal{A} \underset{\supset}{\overset{\mathsf{b}}{\underset{\perp}{\rightleftarrows}}} \mathcal{B} \qquad (\mathbf{A})$$

and denote its unit $\eta\colon 1_{\mathcal{A}} \Rightarrow \mathsf{b}$. A Birkhoff subcategory of a variety of universal algebras is the same thing as a subvariety. If $\mathcal{A}$ is finitely complete Barr exact Goursat then so is any Birkhoff subcategory $\mathcal{B}$ of $\mathcal{A}$.

For a given full, replete and reflective subcategory $\mathcal{B}$, being closed under subobjects is equivalent to the components η_A of the unit of the adjunction being regular epimorphisms. If now $\mathcal{B}$ is full, reflective and closed under subobjects then the Birkhoff property of $\mathcal{B}$ (i.e., closure under quotients) is equivalent to the following condition: given any regular epimorphism $f\colon B \to A$ in $\mathcal{A}$, the induced square of regular epimorphisms

$$\begin{array}{ccc} B & \xrightarrow{f} & A \\ {\scriptstyle \eta_B}\downarrow & & \downarrow{\scriptstyle \eta_A} \\ \mathsf{b}B & \xrightarrow[\mathsf{b}f]{} & \mathsf{b}A \end{array} \qquad (\mathbf{B})$$

is a pushout.

From now on, $\mathcal{B}$ will be a fixed Birkhoff subcategory of a chosen Barr exact Goursat category $\mathcal{A}$.

1.3. Extensions and central extensions. An **extension** in $\mathcal{A}$ is a regular epimorphism. A morphism of extensions is a commutative square between them, and thus we obtain the category $\mathsf{Ext}\mathcal{A}$ of extensions in $\mathcal{A}$.

With respect to the Birkhoff subcategory $\mathcal{B}$, there are notions of *trivial*, *normal* and *central* extension. An extension $f\colon B \to A$ in $\mathcal{A}$ is **trivial (with respect to $\mathcal{B}$)** or **b-trivial** when the induced square (**B**) is a pullback. The extension f is **normal (with respect to $\mathcal{B}$)** or **b-normal** when one of the

As shown in [7], an extension of crossed modules is central with respect to AbXMod exactly when it is central in the sense of [22]. An extension of *pre*crossed modules is central with respect to AbXMod if and only if it is central in the sense of [1, 2]. In this case, the notions of perfect object obtained are classical. The article [1] gives several non-trivial examples of universal central extensions of (pre)crossed modules, relative to AbXMod.

The homology crossed module $\mathrm{H}_2((T,G,\partial), \mathsf{ab}\circ(-)_{\mathsf{Peiff}})$ was studied in [3], while $\mathrm{H}_2((T,G,\partial), \mathsf{ab})$ was considered in [9]. For a precrossed module (T,G,∂), the relative $\mathrm{H}_2((T,G,\partial),(-)_{\mathsf{Peiff}})$ was characterised in [15]. If we interpret Proposition 3.3 in this situation then we regain [1, Theorem 5].

4.7. A counterexample for Proposition 2.22 and Theorem 2.23. The following example was offered to us by George Peschke. It describes a universal b-central extension $u\colon U \to A$ which does not have $\mathrm{H}_2(U,\mathsf{b})$ trivial—and indeed one of the assumptions of Theorem 2.23 is violated, as the Birkhoff subcategory $\mathcal{B}$ of the (semi-)abelian category $\mathcal{A}$ we shall consider is strictly smaller than $\mathsf{Ab}\mathcal{A}$.

Example 4.8. Let C be the infinite cyclic group (with its generator written $c \in C$) and $R = \mathbb{Z}[C]$ the integral group-ring over C. We take $\mathcal{A}$ to be the (abelian) category ${}_R\mathsf{Mod}$ of modules over R, so that $\mathsf{Ab}\mathcal{A} = \mathcal{A}$. We consider its full subcategory $\mathcal{B}$ of all R-modules with a trivial C-action; it is clearly Birkhoff in $\mathcal{A}$, and its reflector is determined by tensoring with the trivial R-module $\mathbb{Z}$, so that $\mathsf{b}M = \mathbb{Z} \otimes_R M$ for any R-module M.

Now consider a prime number $p \neq 2$ and let M be the R-module $\bigvee_{k\geqslant 1} M_k$, where M_k for $k \geqslant 1$ is the abelian group $\mathbb{Z}_{p^k} = \mathbb{Z}/_{p^k\mathbb{Z}}$ equipped with the C-action

$$c \cdot m = (1-p)\cdot m.$$

Note that a natural inclusion of R-modules $M_k \to M_{k+1}$ is given by

$$(l + p^k\mathbb{Z}) \mapsto (p\cdot l + p^{k+1}\mathbb{Z}).$$

Then it may be checked that $\mathrm{H}_2(M,\mathsf{b}) = \mathrm{H}_2(C,M) \cong \mathbb{Z}_p \neq 0$, while M is b-perfect, and

$$u\colon M \to M\colon m \mapsto p\cdot m$$

is a universal b-central extension.

for $m \in M$, $n \in N$ [13]. We denote by $\langle (M,H,\partial),(N,K,\partial)\rangle$ the precrossed module $(\langle M,N\rangle,0,0)$; it may be considered as a normal precrossed submodule of (T,G,∂). The precrossed module

$$\langle (T,G,\partial),(T,G,\partial)\rangle = (\langle T,T\rangle,0,0)$$

is the smallest one that makes the quotient $(T,G,\partial)/\langle (T,G,\partial),(T,G,\partial)\rangle$ a crossed module. This defines a functor $(-)_{\mathsf{Peiff}}\colon \mathsf{PXMod} \to \mathsf{XMod}$, left adjoint to the inclusion of XMod in PXMod.

A precrossed module (T,G,∂) is $(-)_{\mathsf{Peiff}}$-perfect when

$$(T,G,\partial) = \langle (T,G,\partial),(T,G,\partial)\rangle.$$

In particular, then $G = 0$; hence $\langle T,T\rangle = [T,T]$, so that (T,G,∂) is perfect with respect to XMod exactly when T is perfect with respect to Ab and G is trivial.

The results of [15, Section 9.5] imply that an extension of precrossed modules $f\colon B \to A$ is central with respect to XMod if and only if $\langle \mathrm{K}[f], B\rangle = 1$; the following characterisation may also be shown analogously to Proposition 4.3. Given a precrossed module (T,G,∂), its **XMod-centre** $Z_{\mathsf{XMod}}(T,G,\partial)$ is the normal precrossed submodule $(Z_{\mathsf{XMod}}T,G,\partial)$ of (T,G,∂) where

$$Z_{\mathsf{XMod}}T = \{t \in T \mid \langle t,t'\rangle = 1 = \langle t',t\rangle \text{ for all } t' \in T\}.$$

Proposition 4.6. *For an extension $(f_1,f_0)\colon (T,G,\partial) \to (T',G',\partial')$ of precrossed modules, the following conditions are equivalent:*

(1) *(f_1,f_0) is central with respect to XMod;*
(2) *$\langle (\mathrm{R}[f_1],\mathrm{R}[f_0],\partial\times\partial),(\mathrm{R}[f_1],\mathrm{R}[f_0],\partial\times\partial)\rangle \cong \langle (T,G,\partial),(T,G,\partial)\rangle$;*
(3) *$\langle \mathrm{R}[f_1],\mathrm{R}[f_1]\rangle \cong \langle T,T\rangle$;*
(4) *$\mathrm{K}[f_1] \leqslant Z_{\mathsf{XMod}}T$;*
(5) *$\mathrm{K}[(f_1,f_0)] \leqslant Z_{\mathsf{XMod}}(T,G,\partial)$.* ∎

We now focus on the further adjunction to AbXMod. Given a precrossed module (T,G,∂), the commutator $[G,T]$ is the normal subgroup of T generated by the elements ${}^g t t^{-1}$ for $g \in G$ and $t \in T$. The left adjoint $\mathsf{ab}\colon \mathsf{XMod} \to \mathsf{AbXMod}$ takes a crossed module (T,G,∂) and maps it to

$$\mathsf{ab}(T,G,\partial) = (T/[G,T], G/[G,G], \overline{\partial}),$$

where $\overline{\partial}$ is the induced group homomorphism. The functor

$$\mathsf{ab}\circ(-)_{\mathsf{Peiff}}\colon \mathsf{PXMod} \to \mathsf{AbXMod}$$

maps a precrossed module (T,G,∂) to $(T/[T,T][G,T], G/[G,G], \overline{\partial})$.

projections f_0, f_1 in the kernel pair $(\mathrm{R}[f],f_0,f_1)$ of f is b-trivial. That is to say, f is normal with respect to $\mathcal{B}$ if and only if in the diagram

$$\begin{array}{ccccc} \mathrm{R}[f] & \overset{f_0}{\underset{f_1}{\rightrightarrows}} & B & \xrightarrow{f} & A \\ {\scriptstyle \eta_{\mathrm{R}[f]}}\downarrow & & \downarrow{\scriptstyle \eta_B} & & \\ \mathsf{b}\mathrm{R}[f] & \overset{\mathsf{b}f_0}{\underset{\mathsf{b}f_1}{\rightrightarrows}} & \mathsf{b}B & & \end{array}$$

both commutative squares are pullbacks, since one of them being a pullback implies that so is the other. Finally, f is **central (with respect to $\mathcal{B}$)** or **b-central** when there exists an extension $g\colon C \to A$ such that the pullback g^*f of f along g is b-trivial.

Remark 1.4. Clearly, every normal extension is central; in the present context, the converse also holds, and thus the concepts of normal and central extension coincide. Furthermore, a split epimorphism is a trivial extension if and only if it is a central extension [28, Theorem 4.8]. Finally, central extensions are pullback-stable [28, Proposition 4.3].

Remark 1.5. Together with the classes $|\mathsf{Ext}\mathcal{A}|$ and $|\mathsf{Ext}\mathcal{B}|$ of extensions in $\mathcal{A}$ and $\mathcal{B}$, the adjunction (**A**) forms a Galois structure

$$\Gamma = (\mathcal{A} \underset{\supset}{\overset{\mathsf{b}}{\underset{\perp}{\rightleftarrows}}} \mathcal{B}, |\mathsf{Ext}\mathcal{A}|, |\mathsf{Ext}\mathcal{B}|)$$

in the sense of [26].

1.6. Pointed categories. In what follows it will be crucial that the terminal object 1 of $\mathcal{A}$ is also initial, i.e., that the category $\mathcal{A}$ is **pointed**. In this case, the object $1 = 0$ is called the **zero object** of $\mathcal{A}$. A morphism f is **zero** when it factors over the zero object.

Since the reflector b always preserves pullbacks of split epimorphisms along split epimorphisms, in the pointed case it also preserves products.

From now on, $\mathcal{A}$ will be a fixed pointed exact Goursat category; any Birkhoff subcategory $\mathcal{B}$ of $\mathcal{A}$ is also pointed exact Goursat.

1.7. Perfect objects. An object P of $\mathcal{A}$ is called **perfect (with respect to $\mathcal{B}$)** or **b-perfect** when $\mathsf{b}P$ is the zero object 0 of $\mathcal{B}$. If $f\colon B \to A$ is an extension and B is b-perfect then so is A, because the reflector b preserves regular epimorphisms, and a regular quotient of zero is zero.

Lemma 1.8. *Let P be a* b*-perfect object and $f\colon B \to A$ an extension.*

(1) *If f is* b*-trivial then the map*

$$\mathrm{Hom}(P, f) = f\circ(-)\colon \mathrm{Hom}(P, B) \to \mathrm{Hom}(P, A)$$

is a bijection;

(2) *if f is* b*-central then* $\mathrm{Hom}(P, f)$ *is an injection.*

Conversely, if $\mathrm{Hom}(P, f)$ *is an injection for every* b*-trivial extension f then the object P is* b*-perfect.*

Proof: The extension f being b-trivial means that the square (**B**) is a pullback. If b_0, $b_1\colon P \to B$ are morphisms such that $f\circ b_0 = f\circ b_1$ then b_0 is equal to b_1 by the uniqueness in the universal property of this pullback: indeed also $\eta_B\circ b_0 = \mathsf{b}b_0\circ\eta_P = 0 = \mathsf{b}b_1\circ\eta_P = \eta_B\circ b_1$. Thus we see that $\mathrm{Hom}(P, f)$ is injective. This map is also surjective, since any morphism $a\colon P \to A$ is such that $\eta_A\circ a = \mathsf{b}a\circ\eta_P = 0 = \mathsf{b}f\circ 0$ and thus induces a morphism $b\colon P \to B$ for which $f\circ b = a$.

Statement 2 follows from 1 because the functor $\mathrm{Hom}(P, -)$ preserves kernel pairs, and a map is an injection if and only if its kernel pair projections are bijections.

As to the converse: the morphism $!_{\mathsf{b}P}\colon \mathsf{b}P \to 0$ is a b-trivial extension; since $!_{\mathsf{b}P}\circ\eta_P = 0 = !_{\mathsf{b}P}\circ 0$, the assumption implies that η_P is zero, which means that P is b-perfect. ∎

1.9. Universal central extensions. For an object A of $\mathcal{A}$, let $\mathsf{Centr}_{\mathsf{b}}A$ denote the category of all b-central extensions of A, i.e., the full subcategory of the slice category $\mathcal{A}/A$ determined by the central extensions. A (weakly) initial object of this category $\mathsf{Centr}_{\mathsf{b}}A$ is called a **(weakly) universal b-central extension** of A. A b-central extension $u\colon U \to A$ is weakly universal when for every b-central extension $f\colon B \to A$ there exists a morphism $\overline{f}$ from u to f, i.e., such that $f\circ\overline{f} = u$. Furthermore, u is universal when this induced morphism $\overline{f}$ is unique. Note also that, up to isomorphism, an object admits at most one universal b-central extension.

Lemma 1.10. *If $u\colon U \to A$ is a universal* b*-central extension then the objects U and A are* b*-perfect.*

Proof: Since the first projection $\mathrm{pr}_A\colon A \times \mathsf{b}U \to A$ is a trivial extension, by Remark 1.4 it is central. By the hypothesis that u is universal, there exists just one morphism $\langle u, v\rangle\colon U \to A \times \mathsf{b}U$ such that $\mathrm{pr}_A\circ\langle u, v\rangle = u$. But then

Given a Leibniz algebra $\mathfrak{g}$, the homology vector space $\mathrm{H}_2(\mathfrak{g}, \mathsf{vect}\circ(-)_{\mathsf{Lie}})$ is the Leibniz homology developed in [34]; see also [12, 37]. As far as we know, the homology Lie algebra $\mathrm{H}_2(\mathfrak{g}, (-)_{\mathsf{Lie}})$ has not been studied before, but certainly the theories referred to in Remark 2.10 apply to it. If $\mathfrak{g}$ is a Lie algebra then the vector space $\mathrm{H}_2(\mathfrak{g}, \mathsf{vect})$ is the classical Chevalley–Eilenberg homology. If we interpret Proposition 3.3 in the present situation then we recover Corollary 2.7 from [20], but in the special case where $\mathbb{K}$ is a field:

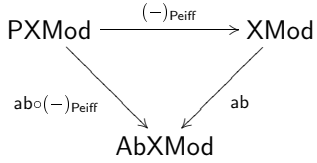
Proposition 4.4. *If $\mathfrak{g}$ is a perfect Lie algebra then* $\mathrm{H}_2(\mathrm{U}(\mathfrak{g}, \mathsf{vect}), \mathsf{vect}\circ(-)_{\mathsf{Lie}})$ *is the kernel of* $\mathrm{H}_2(\mathfrak{g}, \mathsf{vect}\circ(-)_{\mathsf{Lie}}) \to \mathrm{H}_2(\mathfrak{g}, \mathsf{vect})$*. Moreover, the equality*

$$[\mathrm{U}(\mathfrak{g}, \mathsf{vect}\circ(-)_{\mathsf{Lie}}), \mathrm{U}(\mathfrak{g}, \mathsf{vect}\circ(-)_{\mathsf{Lie}})]_{(-)_{\mathsf{Lie}}} = \mathrm{H}_2(\mathrm{U}(\mathfrak{g}, \mathsf{vect}), \mathsf{vect}\circ(-)_{\mathsf{Lie}})$$

holds. ∎

4.5. Precrossed modules, crossed modules and abelian crossed modules. Recall that a **precrossed module** (T, G, ∂) is a group homomorphism $\partial\colon T \to G$ together with an action of G on T, denoted ${}^g t$ for $g \in G$ and $t \in T$, satisfying $\partial({}^g t) = g\partial(t)g^{-1}$ for all $g \in G$ and $t \in T$. If in addition it verifies the **Peiffer identity** ${}^{\partial(t)}t' = tt't^{-1}$ for all t, $t' \in T$ then we say that (T, G, ∂) is a **crossed module**. A morphism of (pre)crossed modules $(f_1, f_0)\colon (T, G, \partial) \to (T', G', \partial')$ consists of group homomorphisms $f_1\colon T \to T'$ and $f_0\colon G \to G'$ such that $\partial'\circ f_1 = f_0\circ\partial$ and the action is preserved. The categories PXMod and XMod are equivalent to varieties of Ω-groups; see, e.g., [29], [30] or [31]. The category AbXMod consists of **abelian** crossed modules, i.e., (T, G, ∂) such that T and G are abelian groups and the action of G on T is trivial.

As in the previous example, we obtain a commutative triangle of left adjoint functors.



Given two normal precrossed submodules (M, H, ∂) and (N, K, ∂) of a precrossed module (T, G, ∂), the **Peiffer commutator** $\langle M, N\rangle$ is the normal subgroup of T generated by the Peiffer elements

$$\langle m, n\rangle = mnm^{-1}({}^{\partial(m)}n)^{-1} \qquad \text{and} \qquad \langle n, m\rangle = nmn^{-1}({}^{\partial(n)}m)^{-1}$$

The notion of central extension obtained in the case of $\mathsf{Lie}_{\mathbb{K}}$ vs. $\mathsf{Vect}_{\mathbb{K}}$ is the ordinary notion of central extension of Lie algebras, where the kernel $\mathrm{K}[f]$ of $f\colon \mathfrak{b} \to \mathfrak{a}$ should be included in the centre of $\mathfrak{b}$, i.e., in

$$Z\mathfrak{b} = \{z \in \mathfrak{b} \mid [z, b] = 0 \text{ for all } b \in \mathfrak{b}\}.$$

Examples of universal $\mathsf{Vect}_{\mathbb{K}}$-central extensions of Leibniz algebras over a field $\mathbb{K}$ may be found in [11]; in this case, the notion of perfect object is the classical one.

On the other hand, a Leibniz algebra $\mathfrak{g}$ is perfect with respect to $\mathsf{Lie}_{\mathbb{K}}$ if and only if $\mathfrak{g} = \mathfrak{g}^{\mathsf{Ann}}$. Moreover, given a Leibniz algebra $\mathfrak{g}$, we may consider the two-sided ideal generated by

$$\{z \in \mathfrak{g} \mid [g, z] = -[z, g] \text{ for all } g \in \mathfrak{g}\};$$

we call it the $\mathsf{Lie}_{\mathbb{K}}$**-centre** of $\mathfrak{g}$ and denote it by $Z_{\mathsf{Lie}}(\mathfrak{g})$. When $\mathbb{K}$ is a field of characteristic different from 2 then this relative centre allows us to characterise the $(-)_{\mathsf{Lie}}$-central extensions of Leibniz algebras over $\mathbb{K}$.

Proposition 4.3. *Suppose $\mathbb{K}$ is a field of characteristic different from 2. For an extension $f\colon \mathfrak{b} \to \mathfrak{a}$ of Leibniz algebras over $\mathbb{K}$, the following three conditions are equivalent:*

(1) *$f\colon \mathfrak{b} \to \mathfrak{a}$ is central with respect to $\mathsf{Lie}_{\mathbb{K}}$;*
(2) *$\mathrm{R}[f]^{\mathsf{Ann}} \cong \mathfrak{b}^{\mathsf{Ann}}$;*
(3) *$\mathrm{K}[f] \leqslant Z_{\mathsf{Lie}}(\mathfrak{b})$.*

Proof: Condition 1 is equivalent to 2 by definition. Now suppose that 2 holds and consider $k \in \mathrm{K}[f]$ and $b \in \mathfrak{b}$. Then both $[(k, 0), (k, 0)] = ([k, k], [0, 0])$ and $[(b-k, b), (b-k, b)] = ([b-k, b-k], [b, b])$ are in $\mathrm{R}[f]^{\mathsf{Ann}}$, which implies that $[k, k] = [0, 0] = 0$ and $[b-k, b-k] = [b, b]$. Thus we see that $[b, k] + [k, b] = 0$, which implies that condition 3 holds.

Conversely, consider $[(b-k, b), (b-k, b)]$ in $\mathrm{R}[f]^{\mathsf{Ann}} \wedge \mathrm{K}[f_1]$, where f_1 denotes the second projection of the kernel pair of f. Then k is an element of the kernel of f and

$$0 = f_1[(b-k, b), (b-k, b)] = f_1([b-k, b-k], [b, b]) = [b, b].$$

Now 3 implies that $[k, k] + [k, k] = 0$, so that $[k, k] = 0$, since $\mathrm{char}(\mathbb{K}) \neq 2$. Furthermore, $[b, k] + [k, b] = 0$, which implies that

$$[b-k, b-k] = [b, b] - [b, k] - [k, b] + [k, k] = 0.$$

Hence $\mathrm{R}[f]^{\mathsf{Ann}} \wedge \mathrm{K}[f_1]$ is zero, so that $\mathrm{R}[f]^{\mathsf{Ann}} \cong \mathfrak{b}^{\mathsf{Ann}}$ and condition 2 holds. ∎

$0\colon U \to \mathsf{b}U$ is equal to $\eta_U\colon U \to \mathsf{b}U$, and $\mathsf{b}U = 0$. Since a regular quotient of a perfect object is perfect, this implies that both U and A are b-perfect. ∎

Proposition 1.11. *Let $\mathcal{A}$ be a pointed Barr exact Goursat category and $\mathcal{B}$ a Birkhoff subcategory of $\mathcal{A}$. Let $u\colon U \to A$ be a b-central extension. Between the following conditions, the implications $1 \Leftrightarrow 2 \Leftrightarrow 3 \Rightarrow 4 \Leftrightarrow 5$ hold:*

(1) *U is b-perfect and every b-central extension of U splits;*
(2) *U is b-perfect and projective with respect to all b-central extensions;*
(3) *for every b-central extension $f\colon B \to A$, the map*

$$\mathrm{Hom}(U, f)\colon \mathrm{Hom}(U, B) \to \mathrm{Hom}(U, A)$$

is a bijection;
(4) *U is b-perfect and u is a weakly universal b-central extension;*
(5) *u is a universal b-central extension.*

Proof: Suppose that 1 holds. To prove 2, let $f\colon B \to A$ be a b-central extension and $g\colon U \to A$ a morphism. Then the pullback $g^*f\colon \overline{B} \to U$ of f along g is still b-central; hence g^*f admits a splitting $s\colon U \to \overline{B}$, and $(f^*g)\circ s$ is the required morphism $g \to f$. Conversely, given a b-central extension $f\colon B \to U$, the projectivity of U yields a morphism $s\colon U \to B$ such that $f\circ s = 1_U$.

Conditions 2 and 3 are equivalent by Lemma 1.8.

Condition 3 implies condition 5: given a b-central extension $f\colon B \to A$ of A, there exists a unique morphism $\overline{f}\colon U \to B$ that satisfies $f\circ\overline{f} = u$.

Finally, 4 and 5 are equivalent by Lemma 1.8 and Lemma 1.10. ∎

Remark 1.12. To prove that condition 4 implies 3 we would require U itself to admit a universal b-central extension, which need not be the case in the present context. But if such a universal b-central extension of U does exist then the above five conditions are equivalent, as is shown in Proposition 2.22.

2. The universal central extension construction

Our aim is now to prove that every perfect object admits a universal central extension. To do so, a richer categorical context is needed; for instance, a good notion of short exact sequence will be crucial in the construction of the centralisation of an extension and in the passage to a perfect subobject of an object. The existence of projective objects will also become important now: they will give us weakly universal central extensions. We switch to the framework of semi-abelian categories with enough projectives.

2.1. Semi-abelian categories. A pointed and regular category is **Bourn protomodular** when the **(Regular) Short Five Lemma** holds: this means that for any commutative diagram

$$\begin{array}{ccccc} \mathrm{K}[f'] & \xrightarrow{\ker f'} & B' & \xrightarrow{f'} & A' \\ {\scriptstyle k}\downarrow & & {\scriptstyle b}\downarrow & & \downarrow{\scriptstyle a} \\ \mathrm{K}[f] & \xrightarrow[\ker f]{} & B & \xrightarrow[f]{} & A \end{array} \tag{C}$$

such that f and f' are regular epimorphisms, k and a being isomorphisms implies that b is an isomorphism. A **semi-abelian** category is pointed, Barr exact and Bourn protomodular with binary coproducts [29]. A variety of Ω-groups is always a semi-abelian category. A semi-abelian category is always Mal'tsev (hence it is also Goursat) [4].

Since a regular epimorphism is always the cokernel of its kernel in a semi-abelian category, an appropriate notion of short exact sequence exists. A **short exact sequence** is any sequence

$$K \xrightarrow{k} B \xrightarrow{f} A$$

that satisfies $k = \ker f$ and $f = \operatorname{coker} k$. We denote this situation

$$0 \longrightarrow K \overset{k}{\rightarrowtriangle} B \overset{f}{\twoheadrightarrow} A \longrightarrow 0. \tag{D}$$

Lemma 2.2. [5, 6] *Consider a morphism of short exact sequences such as* (**C**) *above.*

1. *The right hand side square $f\circ b = a\circ f'$ is a pullback iff k is an isomorphism.*
2. *The left hand side square $\ker f\circ k = b\circ \ker f'$ is a pullback iff a is a monomorphism.* ∎

The first statement implies that any pullback square between regular epimorphisms (i.e., any square $f\circ b = a\circ f'$ as in (**C**)) is a pushout. It is also well-known that the regular image of a kernel is a kernel [29]. In any semi-abelian category, classical homological lemma's such as the Snake Lemma and the 3×3 Lemma are valid; for further details and many other results we refer the reader to the article [29] and the monograph [4].

From now on, $\mathcal{A}$ will be a semi-abelian category and $\mathcal{B}$ a Birkhoff subcategory of $\mathcal{A}$.

of G, their commutator $[N, G]$ is the normal subgroup of G generated by the elements $[n, g] = ngn^{-1}g^{-1}$ for all $n \in N$ and $g \in G$. Equivalently f is central if and only if the kernel $\mathrm{K}[f]$ is contained in the centre

$$ZB = \{z \in B \mid [z, b] = 1 \text{ for all } b \in B\}$$

of B. A group G is perfect when G is equal to its commutator subgroup $[G, G]$. Computing the second integral homology group $\mathrm{H}_2(G, \mathbb{Z}) = \mathrm{H}_2(G, \mathsf{ab})$ of a perfect group G is particularly simple: take the universal central extension

$$u_G^{\mathsf{ab}} \colon \mathrm{U}(G, \mathsf{ab}) \to G;$$

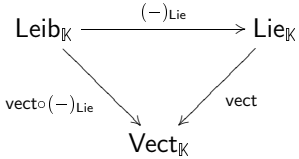
its kernel $\mathrm{K}[u_G^{\mathsf{ab}}]$ is $\mathrm{H}_2(G, \mathbb{Z})$.

4.2. Leibniz algebras, Lie algebras and vector spaces. Recall [32, 33] that a **Leibniz algebra** $\mathfrak{g}$ is a vector space over a field $\mathbb{K}$ equipped with a bilinear operation $[\cdot, \cdot] \colon \mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}$ that satisfies

$$[x, [y, z]] = [[x, y], z] - [[x, z], y]$$

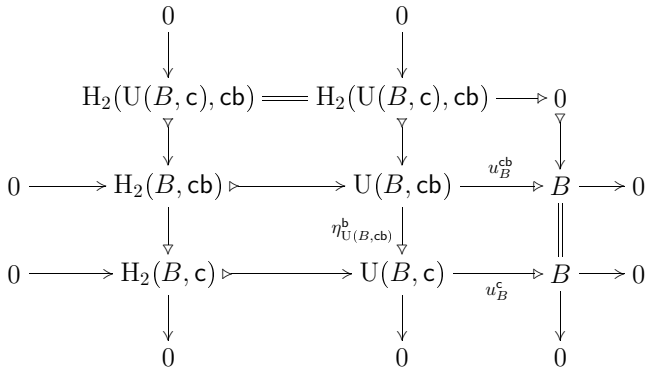
(the **Leibniz identity**) for all $x, y, z \in \mathfrak{g}$. When $[x, x] = 0$ for all $x \in \mathfrak{g}$ then the bracket is skew-symmetric and the Leibniz identity is the Jacobi identity, so $\mathfrak{g}$ is a Lie algebra.

Here there are three inclusions of Birkhoff subcategories, of which the left adjoints form the following commutative triangle.



The left adjoint $(-)_{\mathsf{Lie}} \colon \mathsf{Leib}_{\mathbb{K}} \to \mathsf{Lie}_{\mathbb{K}}$ (which is usually called the **Liesation functor**) takes a Leibniz algebra $\mathfrak{g}$ and maps it to the quotient $\mathfrak{g}/\mathfrak{g}^{\mathsf{Ann}}$, where $\mathfrak{g}^{\mathsf{Ann}}$ is the two-sided ideal (i.e., normal subalgebra) of $\mathfrak{g}$ generated by all elements $[x, x]$ for $x \in \mathfrak{g}$. The category $\mathsf{Vect}_{\mathbb{K}}$ may be considered as a subvariety of $\mathsf{Lie}_{\mathbb{K}}$ by equipping a vector space with the trivial Lie bracket; the left adjoint $\mathsf{vect} \colon \mathsf{Lie}_{\mathbb{K}} \to \mathsf{Vect}_{\mathbb{K}}$ to the inclusion $\mathsf{Vect}_{\mathbb{K}} \subset \mathsf{Lie}_{\mathbb{K}}$ takes a Lie algebra $\mathfrak{g}$ and maps it to the quotient $\mathfrak{g}/[\mathfrak{g}, \mathfrak{g}]$, where $[\mathfrak{g}, \mathfrak{g}]$ is generated by the elements $[x, y] \in \mathfrak{g}$ for all x, $y \in \mathfrak{g}$.

Proof: By Lemma 3.2 and Theorem 2.15, when B is a c-perfect object of $\mathcal{B}$ then the comparison morphism between the induced universal central extensions gives rise to the following 3×3 diagram with short exact rows.



The middle column is also exact, by Theorem 2.15: indeed, in view of Remark 2.4, the extension $\eta^{\mathsf{b}}_{\mathrm{U}(B,\mathsf{cb})}$ is cb-central as a subobject of u^{cb}_B; hence Proposition 2.24 implies that it is a universal cb-central extension of $\mathrm{U}(B,\mathsf{c})$. The result now follows from the 3×3 Lemma. ∎

4. Examples

In this final section of the text we illustrate the theory with some classical and contemporary examples. All categories we shall be considering here are (equivalent to) varieties of Ω-groups, and as such are semi-abelian with enough projectives. As an illustration of Section 3, we shall consider the categories Gp of groups and Ab of abelian groups; $\mathsf{Leib}_{\mathbb{K}}$, $\mathsf{Lie}_{\mathbb{K}}$ and $\mathsf{Vect}_{\mathbb{K}}$ of Leibniz algebras, Lie algebras and vector spaces over a field $\mathbb{K}$; and the categories PXMod, XMod and AbXMod of precrossed modules, crossed modules and abelian crossed modules. Then, in Subsection 4.7, we consider a counterexample for Proposition 2.22 and Theorem 2.23.

4.1. Groups and abelian groups. The case of groups and abelian groups is well-known and entirely classical, but we think it is worth repeating. The left adjoint $\mathsf{ab}\colon \mathsf{Gp} \to \mathsf{Ab}$ to the inclusion of Ab in Gp is called the **abelianisation functor**; it sends a group G to its abelianisation $G/[G,G]$. A surjective group homomorphism $f\colon B \to A$ is a central extension if and only if the commutator $[\mathrm{K}[f], B]_{\mathsf{ab}} = [\mathrm{K}[f], B]$ is trivial; given a group G and a normal subgroup N

2.3. Commutators and centralisation. The kernel μ of the unit η of the adjunction (**A**) gives rise to a "zero-dimensional" commutator as follows: for any object A of $\mathcal{A}$,

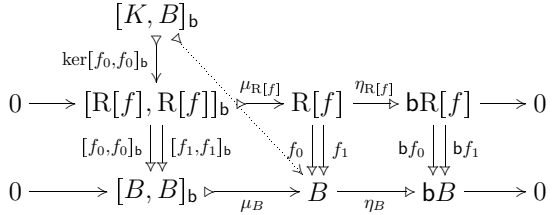
$$0 \longrightarrow [A,A]_{\mathsf{b}} \overset{\mu_A}{\rightarrowtriangle} A \overset{\eta_A}{\twoheadrightarrow} \mathsf{b}A \longrightarrow 0$$

is a short exact sequence in $\mathcal{A}$; hence A is an object of $\mathcal{B}$ if and only if $[A,A]_{\mathsf{b}} = 0$. On the other hand, an object A of $\mathcal{A}$ is b-perfect precisely when $[A,A]_{\mathsf{b}} = A$. This construction defines a functor $[-,-]_{\mathsf{b}}\colon \mathcal{A} \to \mathcal{A}$ and a natural transformation $\mu\colon [-,-]_{\mathsf{b}} \Rightarrow 1_{\mathcal{A}}$. The functor $[-,-]_{\mathsf{b}}$ preserves regular epimorphisms; we recall the argument. Given a regular epimorphism $f\colon B \to A$, by the Birkhoff property, the induced square of regular epimorphisms (**B**) is a pushout—but this is equivalent to the induced morphism $[f,f]_{\mathsf{b}}$ in the diagram

$$\begin{array}{ccccccccc}
0 & \longrightarrow & [B,B]_{\mathsf{b}} & \overset{\mu_B}{\rightarrowtriangle} & B & \overset{\eta_B}{\twoheadrightarrow} & \mathsf{b}B & \longrightarrow & 0 \\
 & & \downarrow{\scriptstyle [f,f]_{\mathsf{b}}} & & \downarrow{\scriptstyle f} & & \downarrow{\scriptstyle \mathsf{b}f} & & \\
0 & \longrightarrow & [A,A]_{\mathsf{b}} & \underset{\mu_A}{\rightarrowtriangle} & A & \underset{\eta_A}{\twoheadrightarrow} & \mathsf{b}A & \longrightarrow & 0
\end{array}$$

being a regular epimorphism.

Lemma 2.2 implies that an extension f as in (**D**) is b-central if and only if either one of the morphisms $[f_0,f_0]_{\mathsf{b}}$, $[f_1,f_1]_{\mathsf{b}}$ is an isomorphism, which happens exactly when they coincide, $[f_0,f_0]_{\mathsf{b}} = [f_1,f_1]_{\mathsf{b}}$.



Hence the kernel $[K,B]_{\mathsf{b}}$ of $[f_0,f_0]_{\mathsf{b}}$ measures how far f is from being central: indeed, f is b-central if and only if $[K,B]_{\mathsf{b}}$ is zero.

Remark 2.4. This explains, for instance, why a sub-extension of a central extension is central. It is worth remarking here that a morphism of extensions (b_0,a_0) as in (**E**) below is a monomorphism if and only if such is b_0.

The "one-dimensional" commutator $[K,B]_{\mathsf{b}}$ may be considered as a normal subobject of B via the composite $\mu_B \circ [f_1,f_1]_{\mathsf{b}} \circ \ker[f_0,f_0]_{\mathsf{b}}\colon [K,B]_{\mathsf{b}} \to B$. Thus

the Galois structure Γ from Remark 1.5 induces a new adjunction

$$\mathsf{Ext}\mathcal{A} \underset{\supset}{\overset{\mathsf{b}_1}{\underset{\perp}{\rightleftarrows}}} \mathsf{CExt}_{\mathsf{b}}\mathcal{A},$$

where $\mathsf{CExt}_{\mathsf{b}}\mathcal{A}$ is the full reflective subcategory of $\mathsf{Ext}\mathcal{A}$ determined by the b-central extensions. Given an extension $f\colon B \to A$ with kernel K, its **centralisation** $\mathsf{b}_1 f\colon B/[K,B]_{\mathsf{b}} \to A$ is obtained through the diagram with exact rows

$$\begin{array}{ccccccccc} 0 & \longrightarrow & [K,B]_{\mathsf{b}} & \rightarrowtriangle & B & \twoheadrightarrow & \frac{B}{[K,B]_{\mathsf{b}}} & \longrightarrow & 0 \\ & & \downarrow & & \downarrow{\scriptstyle f} & & \downarrow{\scriptstyle \mathsf{b}_1 f} & & \\ & & 0 & \rightarrowtriangle & A & = & A & \longrightarrow & 0. \end{array}$$

Considering this diagram as a short exact sequence

$$0 \longrightarrow \mathrm{K}[\eta^1_f] \overset{\mu^1_f}{\rightarrowtriangle} f \overset{\eta^1_f}{\twoheadrightarrow} \mathsf{b}_1 f \longrightarrow 0$$

in the semi-abelian category of arrows $\mathsf{Arr}\mathcal{A}$ (morphisms here are commutative squares) we obtain a description of the unit η^1 of the adjunction and its kernel μ^1.

2.5. Baer invariants. We recall the basic definitions of the theory of Baer invariants [16, Definition 3.1 and 3.3]. Two morphisms of extensions (b_0, a_0) and $(b_1, a_1)\colon f' \to f$

$$\begin{array}{ccc} B' & \overset{b_0}{\underset{b_1}{\rightrightarrows}} & B \\ {\scriptstyle f'}\downarrow & & \downarrow{\scriptstyle f} \\ A' & \overset{a_0}{\underset{a_1}{\rightrightarrows}} & A \end{array} \qquad (\mathbf{E})$$

are **homotopic** when $a_0 = a_1$. A **Baer invariant** is a functor $F\colon \mathsf{Ext}\mathcal{A} \to \mathcal{A}$ which makes homotopic morphisms of extensions equal: if $(b_0, a_0) \simeq (b_1, a_1)$ then $F(b_0, a_0) = F(b_1, a_1)$. Such a functor sends homotopically equivalent extensions to isomorphic objects.

For instance, the functor $\mathsf{Ext}\mathcal{A} \to \mathcal{A}$ that maps an extension

$$0 \longrightarrow K \overset{k}{\rightarrowtriangle} B \overset{f}{\twoheadrightarrow} A \longrightarrow 0 \qquad (\mathbf{F})$$

is a pullback. Now the inclusion of $\mathcal{B}$ into $\mathcal{A}$ preserves and reflects all limits and moreover $\mathsf{c}f_0 = \mathsf{cb}f_0$, so that f being c-central is equivalent to f being cb-central. The third statement follows form the fact that c preserves the pullback

$$\begin{array}{ccc} \mathrm{R}[f] & \xrightarrow{f_0} & B \\ {\scriptstyle \eta^{\mathsf{c}}_{\mathrm{R}[f]}}\downarrow & & \downarrow{\scriptstyle \eta^{\mathsf{c}}_B} \\ \mathsf{cb}\mathrm{R}[f] & \xrightarrow[\mathsf{cb}f_0]{} & \mathsf{cb}B \end{array}$$

for any cb-central extension f. ∎

Lemma 3.2. *For any object B of $\mathcal{B}$, the adjunction* (**A**) *restricts to an adjunction*

$$\mathsf{Centr}_{\mathsf{cb}}B \underset{\supset}{\overset{\mathsf{b}}{\underset{\perp}{\rightleftarrows}}} \mathsf{Centr}_{\mathsf{c}}B.$$

Hence the functor b preserves universal central extensions:

$$\mathsf{b}\big(u^{\mathsf{cb}}_B\colon \mathrm{U}(B,\mathsf{cb}) \to B\big) \;\cong\; \big(u^{\mathsf{c}}_B\colon \mathrm{U}(B,\mathsf{c}) \to B\big),$$

for any c-perfect object B.

Proof: By Lemma 3.1, $\mathsf{Centr}_{\mathsf{c}}B$ is a subcategory of $\mathsf{Centr}_{\mathsf{cb}}B$.

Suppose that $g\colon C \to B$ is a cb-central extension. Applying the functor b, we obtain the extension $\mathsf{b}g = g \circ \eta^{\mathsf{b}}_C\colon \mathsf{b}C \to B$, which is cb-central as a quotient of g. Being an extension in $\mathcal{B}$, $\mathsf{b}g$ is c-central by Lemma 3.1.

Finally, as any left adjoint functor, b preserves initial objects. ∎

Proposition 3.3. *Suppose that $\mathsf{Ab}\mathcal{A} \subset \mathcal{C} \subset \mathcal{B} \subset \mathcal{A}$ is a chain of inclusions of Birkhoff subcategories of a semi-abelian category $\mathcal{A}$. If B is a c-perfect object of $\mathcal{B}$ then we have the exact sequence*

$$0 \longrightarrow \mathrm{H}_2(\mathrm{U}(B,\mathsf{c}),\mathsf{cb}) \rightarrowtriangle \mathrm{H}_2(B,\mathsf{cb}) \twoheadrightarrow \mathrm{H}_2(B,\mathsf{c}) \longrightarrow 0.$$

Moreover,

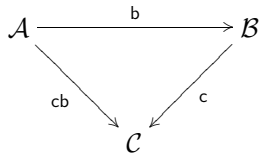
$$[\mathrm{U}(B,\mathsf{cb}),\mathrm{U}(B,\mathsf{cb})]_{\mathsf{b}} = \mathrm{H}_2(\mathrm{U}(B,\mathsf{c}),\mathsf{cb}),$$

and $u^{\mathsf{cb}}_B = u^{\mathsf{c}}_B$ if and only if $\mathrm{H}_2(B,\mathsf{cb}) \cong \mathrm{H}_2(B,\mathsf{c})$.

Proof: First note that when g is a universal b-central extension then $f \circ g$ is b-central by Lemma 2.21. The central extensions $f \circ g$ and g have the same domain, and by Proposition 2.22 their universality only depends on a property of this domain. ∎

3. Nested Birkhoff subcategories

We now consider the situation where a Birkhoff subcategory $\mathcal{B}$ of a semi-abelian category $\mathcal{A}$ has a further Birkhoff subcategory $\mathcal{C}$ so that they form a chain of nested semi-abelian categories with enough projectives, $\mathcal{C} \subset \mathcal{B} \subset \mathcal{A}$. (For instance, $\mathcal{C}$ could be $\mathsf{Ab}\mathcal{A}$ as in Theorem 2.23. In fact, in Proposition 3.3 we shall assume the weaker condition $\mathsf{Ab}\mathcal{A} \subset \mathcal{C} \subset \mathcal{B} \subset \mathcal{A}$.) Then there is a commutative triangle of left adjoint functors (all right adjoints are inclusions):



Since the objects and morphisms of $\mathcal{B}$ are also objects and morphisms of $\mathcal{A}$, it is natural to compare the notions of c-centrality, c-perfect object, homology with respect to c, etc. with that of cb-centrality, cb-perfect object or the homology with respect to cb. We obtain a short exact sequence which relates the two induced types of universal central extension.

Lemma 3.1. *Under the given circumstances:*

(1) *an object of $\mathcal{B}$ is c-perfect if and only if it is cb-perfect;*
(2) *an extension in $\mathcal{B}$ is c-central if and only if it is cb-central;*
(3) *an extension of $\mathcal{A}$ is b-central as soon as it is cb-central.*

Proof: If B is an object of $\mathcal{B}$ then $\mathsf{c}B = \mathsf{cb}B$, which proves the first statement. As for the second statement, an extension $f\colon B \to A$ in $\mathcal{B}$ is c-central if and only if the square in the diagram

$$\begin{array}{ccccc} \mathrm{R}[f] & \xrightarrow{f_0} & B & \xrightarrow{f} & A \\ {\scriptstyle \eta^{\mathsf{c}}_{\mathrm{R}[f]}}\downarrow & & \downarrow{\scriptstyle \eta^{\mathsf{c}}_{B}} & & \\ \mathsf{c}\mathrm{R}[f] & \xrightarrow[\mathsf{c}f_0]{} & \mathsf{c}B & & \end{array}$$

to the quotient $[B,B]_{\mathsf{b}}/[K,B]_{\mathsf{b}}$ is an example of a Baer invariant, as is the functor which maps this extension to the quotient $(K \wedge [B,B]_{\mathsf{b}})/[K,B]_{\mathsf{b}}$. See [16], and in particular its Proposition 4.6, for further details.

2.6. Existence of a weakly universal central extension. We say that $\mathcal{A}$ **has weakly universal central extensions** (for some Birkhoff subcategory $\mathcal{B}$ of $\mathcal{A}$) when every object of $\mathcal{A}$ admits a weakly universal b-central extension. This happens, for instance, when $\mathcal{A}$ has enough (regular) projectives, so that for any object A of $\mathcal{A}$, there exists a regular epimorphism $f\colon B \to A$ with B projective, a **(projective) presentation** of A.

Lemma 2.7. *If the category $\mathcal{A}$ is semi-abelian with enough projectives then it has weakly universal central extensions for any Birkhoff subcategory $\mathcal{B}$.*

Proof: Given an object A of $\mathcal{A}$, the category $\mathsf{Centr}_{\mathsf{b}}A$ has a weakly initial object: given a projective presentation $f\colon B \to A$ with kernel K, its centralisation $\mathsf{b}_1 f\colon B/[K,B]_{\mathsf{b}} \to A$ is weakly initial. Indeed, any b-central extension $g\colon C \to A$ induces a morphism $\mathsf{b}_1 f \to g$ in $\mathsf{Centr}_{\mathsf{b}}A$, as the object B is projective. ∎

2.8. The Schur multiplier. Let A be an object of $\mathcal{A}$ and $f\colon B \to A$ a projective presentation with kernel K. The induced objects

$$\frac{[B,B]_{\mathsf{b}}}{[K,B]_{\mathsf{b}}} \qquad \text{and} \qquad \frac{K \wedge [B,B]_{\mathsf{b}}}{[K,B]_{\mathsf{b}}}$$

are independent of the chosen projective presentation of A as explained above. Hence the following makes sense:

Definition 2.9. By analogy with classical homology theories, the latter object $(K \wedge [B,B]_{\mathsf{b}})/[K,B]_{\mathsf{b}}$ is called the **second homology object** or the **Schur multiplier** of A **(relative to $\mathcal{B}$)** and is written $\mathrm{H}_2(A,\mathsf{b})$. We write $\mathrm{U}(A,\mathsf{b})$ for the object $[B,B]_{\mathsf{b}}/[K,B]_{\mathsf{b}}$, and $\mathrm{H}_1(A,\mathsf{b})$ will denote the reflection $\mathsf{b}A$ of A into $\mathcal{B}$.

Remark 2.10. The objects $\mathrm{H}_2(A,\mathsf{b})$ and $\mathrm{H}_1(A,\mathsf{b})$ are genuine homology objects: if $\mathcal{A}$ is a semi-abelian monadic category then they may be computed using comonadic homology as in [17]—note that the monadicity here implies existence of enough projectives. In any case, they fit into the homology theory

worked out in [14]. Theorem 5.9 in [16] states that any short exact sequence (**D**) induces a five-term exact sequence

$$\mathrm{H}_2(B,\mathsf{b}) \longrightarrow \mathrm{H}_2(A,\mathsf{b}) \longrightarrow \tfrac{K}{[K,B]_\mathsf{b}} \longrightarrow \mathrm{H}_1(B,\mathsf{b}) \longrightarrow\!\!\triangleright\, \mathrm{H}_1(A,\mathsf{b}) \longrightarrow 0.$$

This is a relative generalisation of the Stallings–Stammbach sequence for groups (which is recovered when b is the abelianisation functor from $\mathcal{A} = \mathsf{Gp}$ to $\mathcal{B} = \mathsf{Ab}$), a categorical version of the analogous results in [18, 19, 35].

2.11. Existence of a universal central extension. The Baer invariants from 2.5 may now be considered for all weakly universal b-central extensions of an object A: indeed, any two such extensions of A are always homotopically equivalent. Since for any weakly universal b-central extension (**F**) the commutator $[K,B]_\mathsf{b}$ is zero, the objects

$$[B,B]_\mathsf{b} \qquad \text{and} \qquad K \wedge [B,B]_\mathsf{b}$$

are independent of the chosen weakly universal central extension of A. (Here, as in [27], the Hopf formula becomes $\mathrm{H}_2(A,\mathsf{b}) = K \wedge [B,B]_\mathsf{b}$. Also note that $\mathrm{U}(A,\mathsf{b}) = [B,B]_\mathsf{b}$.)

We are now ready to prove that, if A is b-perfect, then a universal b-central extension of A does exist. This is a relative version of Proposition 4.1 in [21].

2.12. The perfect subobject. When there are weakly universal central extensions, any central extension of a perfect object contains a subobject with a perfect domain. We prove this in two steps: first for weakly universal central extensions, then in general. This implies that any perfect object admits a universal central extension when weakly universal central extensions exist.

Lemma 2.13. *Suppose $\mathcal{A}$ is a semi-abelian category with a Birkhoff subcategory $\mathcal{B}$. Then any weakly universal b-central extension of a b-perfect object contains a subobject with a b-perfect domain.*

Proof: Let (**F**) be a weakly universal b-central extension of a b-perfect object A. Since μ_A is an isomorphism and $[f,f]_\mathsf{b}$ is a regular epimorphism, the morphism $f\circ\mu_B = \mu_A\circ[f,f]_\mathsf{b}$ in the induced diagram with exact rows

$$\begin{array}{ccccccccc}
0 & \longrightarrow & K \wedge [B,B]_\mathsf{b} & \rightarrowtail & [B,B]_\mathsf{b} & \xrightarrow{f\circ\mu_B} & A & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow{\scriptstyle \mu_B} & & \| & & \\
0 & \longrightarrow & K & \rightarrowtail & B & \xrightarrow[f]{} & A & \longrightarrow & 0
\end{array}$$

Proof: As explained in 2.16, both u and v are ab-central. Moreover, as $\mathsf{Ab}\mathcal{A}$ is contained in the Birkhoff subcategory $\mathcal{B}$ of $\mathcal{A}$, the objects U, V and A are ab-perfect. Now by Lemma 2.20, also the composite $u\circ v\colon V \to A$ is ab-central. Again using that $\mathcal{B}$ is bigger than $\mathsf{Ab}\mathcal{A}$ we see that $u\circ v\colon V \to A$ is a b-central extension (cf. Lemma 3.1.3 below). ∎

Proposition 2.22. *Let $\mathcal{A}$ be a semi-abelian category with enough projectives and $\mathcal{B}$ a Birkhoff subcategory of $\mathcal{A}$ that contains $\mathsf{Ab}\mathcal{A}$. Then in Proposition 1.11, condition 4 implies condition 1. Hence a b-central extension $u\colon U \to A$ is universal if and only if its domain U is b-perfect and projective with respect to all b-central extensions.*

Proof: Suppose that $u\colon U \to A$ is a universal b-central extension; we have to prove that every b-central extension of U splits. By Theorem 2.15, U admits a universal b-central extension $v\colon V \to U$. It suffices to prove that this v is a split epimorphism. By Lemma 2.21, the composite $u\circ v$ is b-central. The weak b-universality of u now yields a morphism $s\colon U \to V$ such that $u\circ v\circ s = u$. But also $u\circ 1_U = u$, so that $v\circ s = 1_U$ by the b-universality of u, and the universal b-central extension v splits. The result follows. ∎

Theorem 2.23. *Let $\mathcal{A}$ be a semi-abelian category with enough projectives and $\mathcal{B}$ a Birkhoff subcategory of $\mathcal{A}$ that contains $\mathsf{Ab}\mathcal{A}$. A b-central extension $u\colon U \to A$ is universal if and only if $\mathrm{H}_1(U,\mathsf{b})$ and $\mathrm{H}_2(U,\mathsf{b})$ are zero.*

Proof: $\Rightarrow$ If $u\colon U \to A$ is a universal b-central extension then by Proposition 2.22 we have $\mathrm{H}_1(U,\mathsf{b}) = \mathsf{b}U = 0$ and U is projective with respect to all b-central extensions. This implies that $1_U\colon U \to U$ is a universal b-central extension of U. Theorem 2.15 now tells us that $\mathrm{H}_2(U,\mathsf{b}) = 0$.

$\Leftarrow$ The object U is b-perfect because $\mathsf{b}U = \mathrm{H}_1(U,\mathsf{b}) = 0$; since $\mathrm{H}_2(U,\mathsf{b})$ is also zero, the universal b-central extension $u_U^\mathsf{b}\colon \mathrm{U}(U,\mathsf{b}) \to U$ of U induced by Theorem 2.15 is an isomorphism. Proposition 2.22 now implies that $U \cong \mathrm{U}(U,\mathsf{b})$ is projective with respect to all b-central extensions. Another application of Proposition 2.22 shows that u is also a universal b-central extension. ∎

Proposition 2.24. *Let $\mathcal{A}$ be a semi-abelian category with enough projectives and $\mathcal{B}$ a Birkhoff subcategory of $\mathcal{A}$ that contains $\mathsf{Ab}\mathcal{A}$. Let $f\colon B \to A$ and $g\colon C \to B$ be b-central extensions. Then the composite $f\circ g$ is a universal b-central extension if and only if g is.*

The proof that $[L,[C,C]]=0$ is essentially a variation on Lemma 1.8, where we use that $[C,C]$ is ab-perfect (Lemma 2.14 via Lemma 2.7). The extension f being ab-central implies

$$g[L,[C,C]] = [gL,[B,B]] \leqslant [\mathrm{K}[f],B] = 0.$$

The extension g being ab-central means that the square in the diagram

$$\begin{array}{ccc} \mathrm{R}[g] & \xrightarrow{g_0} & C \\ {\scriptstyle \eta_{\mathrm{R}[g]}}\downarrow & & \downarrow{\scriptstyle \eta_C} \\ \mathsf{ab}\mathrm{R}[g] & \xrightarrow[\mathsf{ab}g_0]{} & \mathsf{ab}C \end{array} \qquad \begin{array}{c} \\ \xrightarrow{g} B \\ \\ \end{array}$$

is a pullback. Hence

$$g\circ\left\langle {\scriptstyle l \atop \mu_C}\right\rangle\circ\iota_{L,[C,C]} = 0 = g_0 0\colon (L|[C,C]) \to L+[C,C] \to C \to B$$

and there is an induced morphism $\langle 0, \left\langle {\scriptstyle l \atop \mu_C}\right\rangle\circ\iota_{L,[C,C]}\rangle\colon (L|[C,C]) \to \mathrm{R}[g]$. Now clearly $g_0\circ\langle 0,0\rangle = 0 = g_0\circ\langle 0, \left\langle {\scriptstyle l \atop \mu_C}\right\rangle\circ\iota_{L,[C,C]}\rangle$, but also

$$\begin{aligned} \eta_{\mathrm{R}[g]}\circ\langle 0,0\rangle &= \mathsf{ab}\langle 0,0\rangle\circ\eta_{(L|[C,C])} \\ &= 0 \\ &= \mathsf{ab}\langle 0, \left\langle {\scriptstyle l \atop \mu_C}\right\rangle\circ\iota_{L,[C,C]}\rangle\circ\eta_{(L|[C,C])} \\ &= \eta_{\mathrm{R}[g]}\circ\langle 0, \left\langle {\scriptstyle l \atop \mu_C}\right\rangle\circ\iota_{L,[C,C]}\rangle; \end{aligned}$$

in fact,

$$\begin{aligned} \mathsf{ab}\langle 0, \left\langle {\scriptstyle l \atop \mu_C}\right\rangle\circ\iota_{L,[C,C]}\rangle = \left\langle {\scriptstyle \mathsf{ab}\langle 0,l\rangle \atop \mathsf{ab}\langle 0,\mu_C\rangle}\right\rangle\circ\iota_{L,[C,C]} = \left\langle {\scriptstyle \mathsf{ab}\langle 0,l\rangle \atop \mathsf{ab}\langle 0,0\rangle}\right\rangle\circ\iota_{L,[C,C]} \\ = \mathsf{ab}\langle 0, l\circ\left\langle {\scriptstyle 1_C \atop 0}\right\rangle\circ\iota_{L,[C,C]}\rangle = 0, \end{aligned}$$

because $[C,C]$ is ab-perfect and $\left\langle {\scriptstyle 1_C \atop 0}\right\rangle\circ\iota_{L,[C,C]}$ is zero (by definition of the cross-effect $(L|[C,C])$). The uniqueness in the universal property of pullbacks now implies that

$$\langle 0, \left\langle {\scriptstyle l \atop \mu_C}\right\rangle\circ\iota_{L,[C,C]}\rangle = \langle 0,0\rangle\colon (L|[C,C]) \to \mathrm{R}[g],$$

so that $\left\langle {\scriptstyle l \atop \mu_C}\right\rangle\circ\iota_{L,[C,C]} = 0$ and the commutator $[L,[C,C]]$ vanishes. ∎

Lemma 2.21. *Let $\mathcal{A}$ be a semi-abelian category with enough projectives and $\mathcal{B}$ a Birkhoff subcategory of $\mathcal{A}$ that contains $\mathsf{Ab}\mathcal{A}$. If $u\colon U \to A$ is a b-central extension and $V\colon V \to U$ is a universal b-central extension then the extension $u\circ v$ is b-central.*

is also a regular epimorphism. The extension $f\circ\mu_B$ is b-central as a subobject of the b-central extension f; its weak universality is clear. By Proposition 1.11, the object $[B,B]_{\mathsf{b}}$ is b-perfect, because the extensions $f\circ\mu_B$ and f are homotopically equivalent, so that $[B,B]_{\mathsf{b}} \cong [[B,B]_{\mathsf{b}},[B,B]_{\mathsf{b}}]_{\mathsf{b}}$. ∎

Lemma 2.14. *Let $\mathcal{A}$ be a semi-abelian category with weakly universal central extensions for a Birkhoff subcategory $\mathcal{B}$ of $\mathcal{A}$. If $f\colon B \to A$ is a b-central extension of a b-perfect object A, then $[B,B]_{\mathsf{b}}$ is also b-perfect.*

Proof: The object B admits a weakly universal central extension $v\colon V \to B$; then the centralisation $w\colon W \to A$ of the resulting composite $f\circ v$ is a weakly universal b-central extension. Indeed, given any b-central extension $g\colon C \to A$, there is a factorisation $\overline{f^*g}\colon V \to \overline{C}$ of v through the pullback $f^*g\colon \overline{C} \to B$ of g along f, and then the composite $(g^*f)\circ(\overline{f^*g})\colon V \to C$ yields the needed morphism $w \to g$ by the universal property of the centralisation functor.

Since the comparison $W \to B$ is a regular epimorphism, such is the induced morphism $[W,W]_{\mathsf{b}} \to [B,B]_{\mathsf{b}}$; but a regular quotient of a perfect object is perfect. ∎

Theorem 2.15. *Let $\mathcal{A}$ be a semi-abelian category with enough projectives and $\mathcal{B}$ a Birkhoff subcategory of $\mathcal{A}$. An object A of $\mathcal{A}$ is b-perfect if and only if it admits a universal b-central extension. Moreover, this universal b-central extension may be chosen in such a way that it occurs in a short exact sequence*

$$0 \longrightarrow \mathrm{H}_2(A,\mathsf{b}) \rightarrowtriangle \mathrm{U}(A,\mathsf{b}) \xrightarrow{u^{\mathsf{b}}_A} A \longrightarrow 0.$$

Proof: If an object admits a universal b-central extension then it is b-perfect by Lemma 1.10. Conversely, let (**F**) be a weakly universal central extension of a b-perfect object A (Lemma 2.7). Then by Lemma 2.13 it admits a (weakly universal central) subobject with a b-perfect domain. By Proposition 1.11, this subobject is also universal. The shape of the short exact sequence follows from the arguments given in 2.11. ∎

2.16. An "absolute" property of relative universal central extensions. It is worth remarking here that a universal b-central extension is always central in an absolute sense, namely, with respect to the abelianisation functor $\mathsf{ab}\colon \mathcal{A} \to \mathsf{Ab}\mathcal{A}$. Here $\mathsf{Ab}\mathcal{A}$ is the Birkhoff subcategory of $\mathcal{A}$ consisting of all objects that admit an internal abelian group structure; see, for instance, [7]. If $u\colon U \to A$ is a universal b-central extension then $U \cong [U,U]_{\mathsf{b}}$ since U is

b-perfect and $[U,U]_{\mathsf{b}} \cong [\mathrm{R}[u],\mathrm{R}[u]]_{\mathsf{b}}$ since u is b-central. Hence the diagonal $U \to \mathrm{R}[u]$, being isomorphic to $\mu_U\colon [\mathrm{R}[u],\mathrm{R}[u]]_{\mathsf{b}} \to \mathrm{R}[u]$, is a kernel. By Proposition 3.1 in [7], this implies that u is ab-central.

2.17. Cross-effects and the Higgins commutator. Central extensions, relative to $\mathsf{Ab}\mathcal{A}$ may also be characterised in terms of the Higgins commutator [24, 36], which in turn may be obtained as a cross-effect of the identity functor on $\mathcal{A}$. This will turn out to be useful later on in Lemma 2.20, of which the proof is based on the convenient properties higher cross-effects and higher-order commutators.

Given two objects K and L of $\mathcal{A}$, the **second cross-effect**

$$(K|L) = \mathrm{Ker}\left[\left\langle \begin{smallmatrix} 1_K & 0 \\ 0 & 1_L \end{smallmatrix} \right\rangle : K + L \to K \times L\right]$$

of the identity functor $1_{\mathcal{A}}$ evaluated in K, L behaves as a kind of "formal commutator" of K and L (see [24] and [36]). If now $k\colon K \to X$ and $l\colon L \to X$ are subobjects of an object X, their **(Higgins) commutator** $[K,L] \leqslant X$ is the image of the induced composite morphism

$$(K|L) \overset{\iota_{K,L}}{\rightarrowtriangle} K + L \xrightarrow{\left\langle \begin{smallmatrix} k \\ l \end{smallmatrix} \right\rangle} X.$$

When $K \vee L = X$, the Higgins commutator $[K,L]$ is normal in X so that it coincides with the Huq commutator considered in [7, 4]. Hence any extension in $\mathcal{A}$ such as (**F**) above is ab-central if and only if $[K,B]_{\mathsf{ab}} = [K,B]$ is trivial.

The Higgins commutator generally does not preserve joins, but the defect may be measured precisely—it is a ternary commutator which can be computed by means of a cross-effect of order three. Let us extend the definition above: given a third subobject $m\colon M \to X$ of the object X from 2.17, the ternary commutator $[K,L,M] \leqslant X$ is the image of the composite

$$(K|L|M) \overset{\iota_{K,L,M}}{\rightarrowtriangle} K + L + M \xrightarrow{\left\langle \begin{smallmatrix} k \\ l \\ m \end{smallmatrix} \right\rangle} X,$$

where $\iota_{K,L,M}$ is the kernel of

$$K + L + M \xrightarrow{\left\langle \begin{smallmatrix} i_K & i_K & 0 \\ i_L & 0 & i_L \\ 0 & i_M & i_M \end{smallmatrix} \right\rangle} (K + L) \times (K + M) \times (L + M).$$

The object $(K|L|M)$ is the **third-order cross-effect** of $1_{\mathcal{A}}$ evaluated in K, L and M.

Lemma 2.18. [24, 23] *If K, L, $M \lhd X$, then*

$$[K, L \vee M] = [K,L] \vee [K,M] \vee [K,L,M]$$

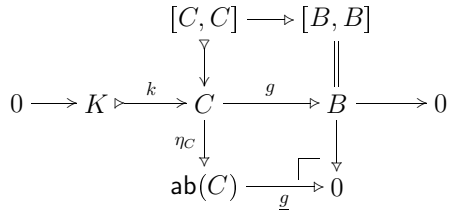
where all joins are computed in X. ∎

It is precisely the availability of this join decomposition which makes the Higgins commutator useful in what follows. Many things can be said about these ternary commutators; let us just mention that they are generally not decomposable into iterated binary ones, and refer to [23] for further information.

2.19. Recognition of universal central extensions. We now prove some recognition results on universal b-central extensions. As will be apparent from the following crucial lemma and the counterexample 4.8, here we need that $\mathsf{Ab}\mathcal{A}$ is contained in $\mathcal{B}$, so that we may suitably reduce the given relative situation to the absolute case.

Lemma 2.20. *Let $\mathcal{A}$ be a semi-abelian category with enough projectives. If B is an ab-perfect object and $f\colon B \to A$ and $g\colon C \to B$ are ab-central extensions then the extension $f\circ g$ is ab-central.*

Proof: Let $k\colon K \to C$ be the kernel of g and $l\colon L \to C$ the kernel of $f\circ g$, then B being ab-perfect implies that $C = K \vee [C,C]$:



the pushout of η_C and g is trivial, and the join $K \vee [C,C]$ (as normal subobjects, which in fact is also the ordinary join as subobjects) is the kernel of the diagonal $\underline{g}\circ\eta_C$. As a consequence, $[L,C]$ vanishes when $[L,[C,C]]$ does:

$$[L,C] = [L, K \vee [C,C]] = [L,K] \vee [L,[C,C]] \vee [L,K,[C,C]]$$

by Lemma 2.18, and $[L,K] \leqslant [C,K] = 0$ as g is ab-central, which (via a result from [24]) also implies that

$$[L,K,[C,C]] \leqslant [C,K,C] \leqslant [C,K]$$

is trivial.