

Fixed points of endomorphisms over special confluent rewriting systems

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Vienna, 25th November 2010

A tale of two theorems

Theorem FIN

Let G be a **group** in class \mathcal{G} and let φ be an **endomorphism** of G with property \mathcal{P} . Then **Fix** φ is **f.g.**

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Let G be a **group** in class \mathcal{G} and let φ be an **endomorphism** of G with property \mathcal{P} . Then **Fix** φ is **f.g.**

Theorem INF

Let G be a **hyperbolic group** in class \mathcal{G} and let φ be a **monomorphism** of G with property \mathcal{P} . Let Φ denote the continuous extension of φ to the **space of ends** of G . Then **Fix** Φ is **"f.g."**

A brief history

| | Free groups | | |
|-------------|---------------|---------------------------|---------------------------|
| | automorphisms | monomorphisms | endomorphisms |
| Theorem FIN | Gersten 1984 | Goldstein and Turner 1985 | Goldstein and Turner 1986 |
| Theorem INF | Cooper 1987 | Silva 2009 | — |

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| | Free products of cyclic groups | | |
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A general approach

- In 2004, **Cassaigne and the speaker** initiated an approach to these problems in the context of the theory of **rewriting systems**...
- ...and so we could cover **groups** and **monoids**

A general approach

- In 2004, **Cassaigne and the speaker** initiated an approach to these problems in the context of the theory of **rewriting systems**...
- ...and so we could cover **groups** and **monoids**
- On doing so, we can distinguish what is specific of **groups and automorphisms** (often requiring **algebraic geometry** techniques)...
- ...from what can be studied within a combinatorial **automata-theoretic** framework (providing more general results)

Special rewriting systems

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$R \subseteq A^+ \times \{1\}$ – a special rewriting system over A

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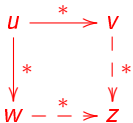
\longrightarrow^* – the reflexive and transitive closure of \longrightarrow

R^\sharp – **congruence** generated by R

$M = A^*/R^\sharp$ – the **monoid** defined by R

Confluency

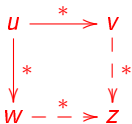
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- Monoids defined by **special confluent** rewriting systems (**SC monoids**) provide models for **partial reversibility** in Computer Science

Normal forms

- $u \in A^*$ is **irreducible** if $u \xrightarrow{*} v$ implies $v = u$
- \bar{u} – the unique irreducible word such that $u \xrightarrow{*} \bar{u}$

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Normal forms

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- M has a set of **normal forms** $A_R^* \subseteq A^*$ consisting of all irreducible words
- $M \cong (A_R^*, \circ)$ for $u \circ v = \overline{uv}$
- A_R^ω – the set of all **infinite irreducible words** over A
- $A_R^\infty = A_R^* \cup A_R^\omega$

The prefix ultrametric

- For all $\alpha = a_1 a_2 \dots, \beta = b_1 b_2 \dots \in A_R^\infty$ ($a_i, b_j \in A$), let

$$r(\alpha, \beta) = \begin{cases} \min\{n \in \mathbb{N} \mid a_n \neq b_n\} & \text{if } \alpha \neq \beta \\ \infty & \text{if } \alpha = \beta \end{cases}$$

and $d(\alpha, \beta) = 2^{-r(\alpha, \beta)}$

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and $d(\alpha, \beta) = 2^{-r(\alpha, \beta)}$

- Then (A_R^∞, d) is the completion of (A_R^*, d) and it is compact

The geodesic metric

- The Cayley graph $\Gamma_A(M)$ has vertex set A_R^* and edges

$$u \xrightarrow{a} \overline{ua} \quad (u \in A_R^*, a \in A)$$

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- The geodesic metric s in A_R^* is defined by the length of the shortest path in the undirected Cayley graph
- Then A_R^ω turns out to be the space of ends of A_R^* :

The hyperbolic topology

Theorem (Cassaigne and Silva 2006)

- (i) (A_R^*, s) is a hyperbolic metric space and we can therefore define a metric on its space of ends by means of the Gromov product to get the hyperbolic topology \mathcal{G}
- (ii) The prefix metric d on A_R^ω induces the hyperbolic topology \mathcal{G}
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...so we can get away with undirected Cayley graphs (!)

Continuous extensions

Theorem (Cassaigne and Silva 2006)

Let φ be a nontrivial endomorphism of A_R^* . Then the following conditions are **equivalent** and **decidable**:

- (i) φ can be extended to a **continuous mapping** $\Phi : A_R^\infty \rightarrow A_R^\infty$
- (ii) φ is **uniformly continuous** for the prefix metric
- (iii) $w\varphi^{-1}$ is finite for every $w \in A_R^*$

- $\text{Fix}\varphi = \{u \in A_R^* \mid u\varphi = u\} \leq A_R^*$
- $\text{Fix}\Phi = \{\alpha \in A_R^\infty \mid \alpha\Phi = \alpha\}$

The bounded reduction property

Proposition

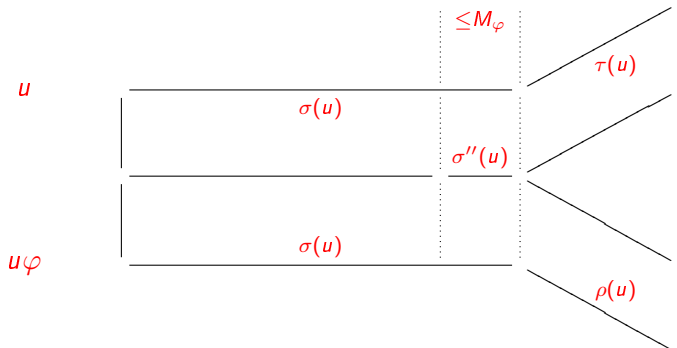
Let $\varphi \in \text{End}A_R^*$ be **uniformly continuous** for the prefix metric. Then there exists some $M_\varphi > 0$ such that, whenever $uv \in A_R^*$, the reduction of $(u\varphi)(v\varphi)$ involves at most M_φ letters from $u\varphi$ and M_φ letters from $v\varphi$.

| | | | |
|------------|------------------|------------------|---------|
| $u\varphi$ | | $v\varphi$ | |
| remains | $\leq M_\varphi$ | $\leq M_\varphi$ | remains |

Proved by Cooper (1987) for **free group automorphisms**

Defining a quotient

- Fix $\varphi : A_R^* \rightarrow A_R^*$ uniformly continuous
- Ladra and Silva 2007:



Defining a quotient

- $\lambda(u)\sigma''(u)\tau(u)$ is the **shortest suffix** of u satisfying

$$|\lambda(u)\sigma''(u)\tau(u)| \geq t_R - 1 \quad \text{or} \quad \lambda(u)\sigma''(u)\tau(u) = u$$

- ...so that $\lambda(u)$ is a suffix of $\sigma'(u)$ of **bounded length**

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- write $C(u) = (\lambda(u), \sigma''(u), \tau(u), \rho(u))$

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Lemma

Let $u, v \in A_R^*$ be such that $C(u) = C(v)$ and $ua \in A_R^*$. Then $va \in A_R^*$ and $C(ua) = C(va)$.

An automaton for $\text{Fix}\Phi$...

We build a **deterministic** A -automaton $\mathcal{A}'_\varphi = (Q', q_0, T', E')$ by taking

- $Q' = \{C(u) \mid u \leq \alpha \text{ for some } \alpha \in \text{Fix}\Phi\}$
- $q_0 = C(1)$
- $T' = \{C(u) \in Q' \mid \tau(u) = \rho(u) = 1\}$
- $E' = \{(C(u), a, C(v)) \in Q' \times A \times Q' \mid v = ua\}$

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Proposition

$$\text{Fix}\Phi = L_\infty(\mathcal{A}'_\varphi)$$

...where $L_w(\mathcal{A}'_\varphi)$ is the set of labels of **infinite paths** $q_0 \xrightarrow{\alpha} \dots$ and
 $L_\infty(\mathcal{A}'_\varphi) = L(\mathcal{A}'_\varphi) \cup L_w(\mathcal{A}'_\varphi)$

...and another for $\text{Fix}\varphi$

- Let $S = \{q \in Q' \mid q \text{ has outdegree } \geq 2\}$
- Let $Q = \{q \in Q' \mid \exists \text{ a path } q \rightarrow p \in S \cup T'\}$
- We define $\mathcal{A}_\varphi = (Q, q_0, T, E)$ by taking

$$T = T' \cap Q, \quad E = E' \cap (Q \times A \times Q)$$

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Proposition

$$\text{Fix}\varphi = L(\mathcal{A}_\varphi)$$

Finite-splitting

We say that φ is **finite-splitting** if S is finite

Theorem FIN

If φ is a **finite-splitting** uniformly continuous endomorphism, then
Fix φ is **rational**

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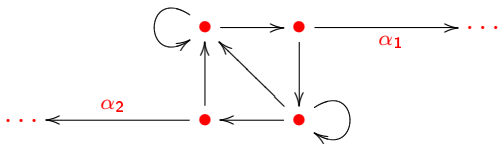
If φ is a **finite-splitting** uniformly continuous endomorphism, then there exist $L, L_1, \dots, L_s \in \text{Rat } A^*$ and $\alpha_1, \dots, \alpha_s \in A_R^\omega$ such that

$$\text{Fix } \Phi = L^c \cup L_1\alpha_1 \cup \dots \cup L_s\alpha_s,$$

where L^c denotes the **topological closure** of L

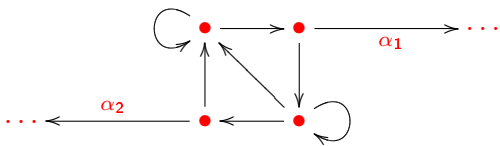
A'_φ and A_φ

- A'_φ is a finite automaton with a few infinite hairs adjoined



\mathcal{A}'_φ and \mathcal{A}_φ

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- To get \mathcal{A}_φ , we remove the hairs from the terminal vertices onwards and eventually a few other vertices
- The (infinite) hairs correspond to (Lyapunov stable) attractors or repellers

What is finite-splitting anyway?

- **Finite-splitting** is a property of infinite automata which are **not constructible**...
- ...so who can tell when does such a property occur?

What is finite-splitting anyway?

- **Finite-splitting** is a property of infinite automata which are **not constructible**...
- ...so who can tell when does such a property occur?
- **We can't!**
- So the plan is to identify **nice subclasses** of finite-splitting endomorphisms with **good properties**

Boundary-injectivity

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If φ is **boundary-injective**, then it is uniformly continuous and **finite-splitting**

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Theorem

Given a **uniformly continuous** endomorphism φ of A_R^* , it is **decidable** whether or not φ is injective or boundary-injective

Bounded length decrease

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$$\exists d_\varphi \in \mathbb{N} \forall u \in A_R^* \quad |u| - |u\varphi| \leq d_\varphi$$

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- d_φ can be **arbitrarily large**

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This subclass is even nicer!

Theorem

Given a **uniformly continuous** endomorphism φ of A_R^* , it is **decidable** whether or not φ has **bounded length decrease**. If this is the case, d_φ can be **effectively computed**.

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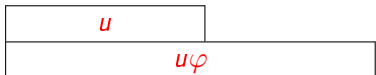
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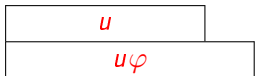
Given an endomorphism φ of A_R^* having **bounded length decrease**, we can **effectively construct** finite A -automata $\mathcal{A}, \mathcal{A}_1, \dots, \mathcal{A}_s$ and $\alpha_1, \dots, \alpha_s \in A_R^\omega$ such that

- (i) $\text{Fix } \varphi = L(\mathcal{A})$
- (ii) $\text{Fix } \Phi = L_\infty(\mathcal{A}) \cup L(\mathcal{A}_1)\alpha_1 \cup \dots \cup L(\mathcal{A}_s)\alpha_s$

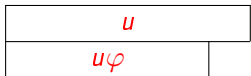
How do we get finite-splitting?



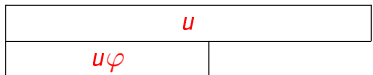
no elements in S



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decider

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Not too many...

Proposition

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Proposition

The **SC groups** are precisely the **free products of cyclic groups**

...but still we can get some **new group theory results**

An alternative proof...

We can get an **alternative proof** for

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Theorem FIN (Sykiotis 2006)

Let φ be a **monomorphism** of a f.g. **SC group**. Then **Fix φ** is f.g.

We use the following:

- every **monomorphism** of such a group is **boundary-injective**
- every rational subgroup of a group is f.g. (**Anisimov and Seifert's Theorem**)

...and a new result

Theorem INF

Let φ be a **monomorphism** of a f.g. **SC group**. Then
 $\text{Fix } \Phi = (\text{Fix } \varphi)^c \cup (\text{Fix } \varphi)X$ for some **finite** $X \subseteq \text{Fix } \Phi$.

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- Apparently, this is new even for **free group monomorphisms**
- The **automorphism case** (for free products of cyclic groups) is due to Gaboriau, Jaeger, Levitt and Lustig (1998)

Embedding monoids into groups

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Proposition

The following conditions are equivalent for an SC monoid M :

- (i) M is **embeddable** into some group
- (ii) M contains no bicyclic submonoid
- (iii) M is directly finite
- (iv) M is a **free product** of a free monoid and cyclic groups

Introducing elements of finite order

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- The theorem follows also from the work of [Sykiotis](#), which used different techniques

Introducing elements of finite order

- We can adapt [Goldstein and Turner](#)'s automata-theoretic proof from free groups to SC groups
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- We may assume that $A = A_0 \cup A_1 \cup A_1^{-1}$ and there exist $m_a \geq 2$ for every $a \in A_0$ such that

$$R = \{(a^{m_a}, 1) \mid a \in A_0\} \cup \{(aa^{-1}, 1), (a^{-1}a, 1) \mid a \in A_1\}$$

- For every $a \in A_0$, write $a^{-1} = a^{m_a-1}$

Building automata

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$$Q = \{Q(g) \mid g \in G\}$$

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- Clearly, \mathcal{A}_φ is a **complete accessible deterministic** automaton and

$$L(\mathcal{A}_\varphi) = (\text{Fix}\varphi)\pi^{-1}$$

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- We define a subautomaton $\mathcal{A}'_\varphi = (Q, 1, 1, E')$ through

$$E' = \{(p, a, q) \in E \mid aq \text{ is irreducible}\}$$

The results

- Let $d_\varphi = \max\{|a\varphi|; a \in A\}$ and $m_R = \max\{m_a \mid a \in A_0\}$

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- If $(p, a, q) \in E \setminus E'$ and no path of length $\leq s - m_R$ connects 1 to p or q in \mathcal{A}_φ , then **there exists** a path $q \xrightarrow{a^{-1}} p$ in \mathcal{A}'_φ

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Theorem

Let φ be an endomorphism of a f.g. SC group. Then $\text{Fix}\varphi$ is f.g.

Corollary

Let φ be an endomorphism of a f.g. free product of a free monoid and cyclic groups. Then $\text{Fix}\varphi$ is **rational**.

Free monoid endomorphisms

- Given $\varphi \in \text{End}A^*$, write $m = |A|$ and define
$$A_2 = \{a \in A \mid a\varphi^n = 1 \text{ for some } n \geq 1\}$$
$$A_3 = A \setminus A_2$$
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- Let Γ be the **directed graph** with vertex set A and edges $a \longrightarrow b$ whenever b occurs in $a\varphi$
- Then $a \in A_2$ iff there exists no infinite path $a \longrightarrow \dots$ in Γ
- This is equivalent to say there is no path $a \longrightarrow \dots$ in Γ of length m , hence

$$A_2 = \{a \in A \mid a\varphi^m = 1\}$$

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- Let Γ be the **directed graph** with vertex set A and edges $a \longrightarrow b$ whenever b occurs in $a\varphi$
- Then $a \in A_2$ iff there exists no infinite path $a \longrightarrow \dots$ in Γ
- This is equivalent to say there is no path $a \longrightarrow \dots$ in Γ of length m , hence

$$A_2 = \{a \in A \mid a\varphi^m = 1\}$$

- Therefore A_2 is **effectively computable**, and so are A_3 and A_4

Free monoid endomorphisms

Given $B \subseteq A$, we denote by $\theta_{A,B}$ the **retraction** endomorphism $A^* \rightarrow B^*$ defined by

$$a\theta = \begin{cases} a & \text{if } a \in B \\ 1 & \text{otherwise} \end{cases}$$

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- Note that, given an endomorphism φ of $A^* * G$, where G is a group, the restriction $\varphi|_G$ is an endomorphism of G
- Clearly, G is the (unique) maximal subgroup of $A^* * G$

Computing the fixed points

Theorem

Let $M = A_0^* * G$ be f.g., where G is an SC group. Let $\varphi \in \text{End}M$ be such that the equation

$$x = v(x\varphi|_G)w \quad (x \in G)$$

has an effectively constructible rational solution set for all $v, w \in G$. Then $\text{Fix}\varphi$ is an **effectively constructible** rational submonoid of M .

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Corollary

Let $M = A_0^* * G$ be f.g., where G is a free group. Let $\varphi \in \text{End}M$ be such that $\varphi|_G$ is an automorphism. Then $\text{Fix}\varphi$ is an **effectively constructible** rational submonoid of M .

Final notes

- This result generalizes [Maslakova's Theorem](#) (2003): if φ is a free group automorphism, then $\text{Fix}\varphi$ is an effectively constructible f.g. subgroup

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- This result generalizes [Maslakova's Theorem](#) (2003): if φ is a free group automorphism, then $\text{Fix}\varphi$ is an effectively constructible f.g. subgroup
- If M is a f.g. free product of a free monoid and a free group, then $\text{Fix}\varphi$ is a rational submonoid but [not necessarily](#) a f.g. submonoid of M
- This contrasts the case of both free monoids and free groups, when $\text{Fix}\varphi$ is f.g.