# A walk through down and up operators in search of deformation

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- Differential posets are a familly of structures introdused by Richard Stanley in 1988 in a paper with the title "Differential posets".

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- If in addition *P* is locally finite and each element of *P* is a member of only finitely many cover relations, we may define two linear transformations *d* and *u* on  $\mathbb{F}P$  as follows:

$$dx = \sum_{y \leqslant x} y$$
 and  $ux = \sum_{x \leqslant y} y$  (0.1)

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• The operators *u* and *d* in (0.1) will be called up and down operators which keep track of all possible steps "up" and "down" in the Hasse diagram from *x* and have been introduced as Schur operators by Fomin.

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An element  $z \in P$  appears in this sum exactly k times, where k is the number of elements of P which are covered by both x and z.

 Thus, we see that (du – ud)x = x if and only if x is covered by exactly one more element than it covers and for each z ≠ x ∈ P, the number of elements covering both x and z is equal to the number of elements covered by both x and z.

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### Proposition

For a differential poset P, we have  $du^n = nu^{n-1} + u^n d$  for all  $n \ge 1$ .

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- Stanley also considered more general posets which satisfy the relation du ud = rl for some fixed positive integer r and he referred to these kind of posets as "r-differential".

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- In his study of uniform posets, Paul Terwilliger considered finite ranked posets *P* whose down and up operators satisfy the following relation

$$d_{i}d_{i+1}u_{i} = \alpha_{i}d_{i}u_{i-1}d_{i} + \beta_{i}u_{i-2}d_{i-1}d_{i} + \gamma_{i}d_{i}, \qquad (0.4)$$

where  $d_i$  and  $u_i$  denote the restriction of d and u to the elements of rank i.

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• There is an analogous second relation,

$$d_{i+1}u_{i}u_{i-1} = \alpha_{i}u_{i-1}d_{i}u_{i-1} + \beta_{i}u_{i-1}u_{i-2}d_{i-1} + \gamma_{i}u_{i-1}, \quad (0.5)$$

which holds automatically because  $d_{i+1}$  and  $u_i$  are adjoint operators relative to a certain bilinear form.

 In many classical cases the constants in the above relations (relations (0.4) and (0.5)) do not depend on the rank of the poset.
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#### Definition

A partially ordered set whose down and up operators satisfy

$$d^{2}u = q(q+1)dud - q^{3}ud^{2} + rd$$
  

$$du^{2} = q(q+1)udu - q^{3}u^{2}d + ru,$$
(0.7)

where q and r are fixed complex numbers is said to be "(q, r)-differential poset".

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• Examples of (q, r)-differential posets include the posets of alternating forms, quadratic forms, or Hermitian forms over a finite field.

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We say a unital associative algebra  $A = A(\alpha, \beta, \gamma)$  over the complex numbers  $\mathbb{C}$  with generators u, d and defining relations

$$d^{2}u = \alpha dud - \beta ud^{2} + \gamma d$$
  

$$du^{2} = \alpha udu - \beta u^{2}d + \gamma u,$$
(0.9)

where  $\alpha, \beta, \gamma$  are fixed but arbitrary elements of  $\mathbb{C}$ , is a down-up algebra.

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#### Definition

Let  $f \in \mathbb{F}[h]$ . Define  $L := L(f, r, s, \gamma)$  to be the unital associative  $\mathbb{F}$ -algebra generated by x, y and h with relations

$$yh - rhy = \gamma y$$
,  $hx - rxh = \gamma x$ ,  $yx - sxy + f(h) = 0$ ;

Then L is called a generalized down-up algebra (GDUA).

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Then *L* is called a generalized down-up algebra (*GDUA*).

• Over an algebraically closed field, generalized down-up algebras include all down-up algebras.

Working over an arbitrary field  $\mathbb{F}$  (unless otherwise stated), we introduce a generalization of the class of generalized Heisenberg algebras by deforming and generalizing the relation yx - xy = f(h) - h, turning it into a skew-commutation relation and allowing the skew-commutator to equal a generic polynomial, independent of f. Working over an arbitrary field  $\mathbb{F}$  (unless otherwise stated), we introduce a generalization of the class of generalized Heisenberg algebras by deforming and generalizing the relation yx - xy = f(h) - h, turning it into a skew-commutation relation and allowing the skew-commutator to equal a generic polynomial, independent of f.

#### Definition

For fixed and independent  $f, g \in \mathbb{F}[h]$  and  $q \in \mathbb{F}$ , we define  $\mathcal{H}_q(f, g)$  as the unital associative algebra over  $\mathbb{F}$  generated by x, y, and h subject to the following relations

$$yh = f(h)y, \quad hx = xf(h), \quad yx = qxy + g(h).$$
 (0.12)

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The GHA  $\mathcal{H}(f)$  is isomorphic to a generalized down-up algebra (GDUA) if and only if deg  $f \leq 1$ .

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The GHA  $\mathcal{H}(f)$  is isomorphic to a generalized down-up algebra (GDUA) if and only if deg  $f \leq 1$ .

• But not all (generalized) down-up algebras are *GHAs*. And it became an incentive to develop a generalization of the concept of *GHA* to a new class which includes all *GHAs* and all *GDUAs*.

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- The universal enveloping algebra of the 3-dimensional Heisenberg Lie algebra h₁ is the quotient of the free algebra 𝔅 ⟨X, Y, H⟩ modulo the two sided ideal I generated by elements XY – YX – H, XH – HX and YH – HY. It is easy to see that U(h₁) is isomorphic to the quantum generalized Heisenberg algebra H₁(h, -h) if we consider the correspondence X ↔ x, Y ↔ y and H ↔ h.

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- Consider the 3-dimensional Lie algebra  $\mathfrak{sl}_2$ , with basis elements x, y, h and Lie bracket given by [x, h] = 2x, [h, y] = 2y and [y, x] = h. We can view its enveloping algebra as qGHA $\mathcal{H}_1(h-2, h)$ .

• Fix  $g \in \mathbb{C}[x]$ . Define  $S = \mathbb{C}[A, B, H]$  subject to the relations

$$[H, A] = A, \qquad [H, B] = -B, \qquad AB - BA = g(H).$$

Then S will be called the Smith algebra due to Paul Smith, and it is easy to see that S is isomorphic to the quantum generalized Heisenberg algebra  $\mathcal{H}_1(h-1,g)$  if we consider the correspondence  $B \leftrightarrow x, A \leftrightarrow y$  and  $H \leftrightarrow h$ .

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 Fix g ∈ C[x] and ç ∈ C, ç ≠ 0. Define R = C[A, B, H] subject to the relations

 $[H, A] = A, \qquad [H, B] = -B, \qquad AB - \varsigma BA = g(H).$ 

Then R will be called the Rueda algebra due to Sonia Rueda, and it is easy to see that R is isomorphic to the quantum generalized Heisenberg algebra  $\mathcal{H}_{\varsigma}(h-1,g)$  if we consider the correspondence  $B \leftrightarrow x, A \leftrightarrow y$  and  $H \leftrightarrow h$ .

## Graphical view of subclasses



#### Proposition

For  $q \in \mathbb{F}$ ,  $f, g \in \mathbb{F}[h]$ , we construct  $\mathcal{H}_q(f, g)$  as the ambiskew polynomial ring  $R(\mathbb{F}[h], \sigma, g(h), q)$ , where  $\sigma$  is the endomorphism of  $\mathbb{F}[h]$  defined by  $\sigma(h) = f(h)$ .

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• And we have Poincare Birkhoff Witt type basis  $\{x^i h^j y^k \mid i, j, k \in \mathbb{Z}_{\geq 0}\}$  of  $\mathcal{H}_q(f, g)$ .

 Viewing H<sub>q</sub>(f,g) as a 2-step Ore extension (or as an ambiskew polynomial ring) also helps to determine when H<sub>q</sub>(f,g) is a domain.  Viewing H<sub>q</sub>(f,g) as a 2-step Ore extension (or as an ambiskew polynomial ring) also helps to determine when H<sub>q</sub>(f,g) is a domain.  Viewing H<sub>q</sub>(f,g) as a 2-step Ore extension (or as an ambiskew polynomial ring) also helps to determine when H<sub>q</sub>(f,g) is a domain.

#### Lemma

 $\mathcal{H}_q(f,g)$  is a domain if and only if  $q \neq 0$  and deg  $f \geq 1$ .

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### Proposition

For  $q \in \mathbb{F}$ , and  $f, g \in \mathbb{F}[h]$ ,  $\mathcal{H}_q(f, g)$  is isomorphic to the wGWA  $A(\sigma, \omega)$ for  $A = \mathbb{F}[h, \omega]$  and  $\sigma$  the endomorphism of A defined by  $\sigma(h) = f(h)$ and  $\sigma(\omega) = q\omega + g(h)$ .

# **Corollary** $\mathcal{H}_q(f,g)$ is isomorphic to a GDUA if and only if deg $f \leq 1$ .

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#### Proposition

The algebra  $\mathcal{H}_q(f,g)$  is right (resp. left) Noetherian if and only if deg f = 1 and  $q \neq 0$ .

Now set

$$S_f = \{\lambda : \mathbb{Z} \longrightarrow \mathbb{F} \mid f(\lambda(i)) = \lambda(i+1), \text{ for all } i \in \mathbb{Z}\}$$
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and for given  $\lambda \in S_f$ , we define

$$\mathsf{T}_{q,g,\lambda} = \{\mu: \mathbb{Z} \longrightarrow \mathbb{F} \mid \mu(i+1) = q\mu(i) + g(\lambda(i)), ext{ for all } i \in \mathbb{Z}\}.$$

• Next we will construct universal weight modules:

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### Definition

Given  $\lambda \in S_f$  and  $\mu \in T_{q,g,\lambda}$  we define the  $\mathcal{H}_q(f,g)$ -module  $A_{q,f,g}(\lambda,\mu)$ by setting  $A_{q,f,g}(\lambda,\mu) = \mathbb{F}[t^{\pm 1}]$ , as vector spaces, with action given by

$$ht^i = \lambda(i)t^i, \quad xt^i = t^{i+1}, \quad yt^i = \mu(i)t^{i-1}, \quad \text{for all } i \in \mathbb{Z}.$$
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We can identify  $B_{q,f,g}(\lambda,\mu)$  with  $\mathbb{F}[t^{\pm 1}]$ , so that the action will be given by:

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 (0.23)

Now fix  $\alpha \in \mathbb{F}$  and define  $\nu_{\alpha} : \mathbb{Z}_{\geq 0} \longrightarrow \mathbb{F}$ 

$$\nu_{\alpha}(i) = \sum_{j=0}^{i-1} q^{j} g(f^{(i-1-j)}(\alpha)), \text{ for all } i \ge 0.$$
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u_{\alpha}(i)t^{i-1}, \quad ext{for all } i \geq 0, \quad (0.26)$$

adopting the convention that  $yt^0 = 0$ .

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adopting the convention that  $yt^0 = 0$ .

 It is a routine and easy work to check that these structures indeed define H<sub>q</sub>(f, g)-modules. Next we will construct finite-dimensional simple  $\mathcal{H}_q(f,g)$ -modules as quotients of the modules  $A_{q,f,g}(\lambda,\mu)$ ,  $B_{q,f,g}(\lambda,\mu)$  and  $C_{q,f,g}(\alpha)$ .

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#### Lemma

Let  $\lambda \in S_f$ ,  $\mu \in T_{q,g,\lambda}$  such that  $|\lambda| = m \ge 1$  and assume there exists  $k \ge 1$  such that  $\mu(km) = \mu(0)$ . Let  $\ell = \min\{k \ge 1 : \mu(km) = \mu(0)\}$ . Then for any  $\gamma \in \mathbb{F}^*$ 

$$\mathsf{A}_{q,f,g}(\lambda,\mu)/\mathbb{F}[t^{\pm 1}](t^{\ell m}-\gamma) \text{ and } \mathsf{B}_{q,f,g}(\lambda,\mu)/\mathbb{F}[t^{\pm 1}](t^{|\lambda||\mu|}-\gamma)$$

are simple.

#### Lemma

Let  $\alpha \in \mathbb{F}$  and  $\nu_{\alpha}$  be given as before. Suppose that  $\nu_{\alpha}(n) = 0$ , for some  $n \geq 1$ . Then  $\mathbb{F}[t]t^n$  is a submodule of  $C_{q,f,g}(\alpha)$  and the quotient module  $C_{q,f,g}(\alpha)/\mathbb{F}[t]t^n$  is simple if and only if  $\nu_{\alpha}(1)\cdots\nu_{\alpha}(n-1)\neq 0$ .

• Next, we characterize the modules just obtained above.

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### Proposition

Let V be a simple  $\mathcal{H}_q(f,g)$ -module with dim V = n such that  $x^n V \neq 0$ . Then there are  $m, \ell \geq 1, \gamma \in \mathbb{F}^*, \lambda \in S_f$  and  $\mu \in T_{q,g,\lambda}$  such that

$$V \simeq A_{q,f,g}(\lambda,\mu)/\mathbb{F}[t^{\pm 1}](t^{\ell m}-\gamma)$$

and  $n = \ell m$ ,  $\lambda(0) = \alpha$ ,  $|\lambda| = m$ ,  $f^{(m)}(\alpha) = \alpha$  and  $\mu(\ell m) = \mu(0)$ .

• The analogous result for *n*-dimensional simple modules V such that  $y^n V \neq 0$  uses the dual modules  $B_{q,f,g}(\lambda, \mu)$ .

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### Proposition

Assume  $\mathbb{F} = \overline{\mathbb{F}}$ . Let V be a simple  $\mathcal{H}_q(f,g)$ -module with dim<sub> $\mathbb{F}$ </sub> V = n and  $x^n V = 0 = y^n V$ . Then there is  $\alpha \in \mathbb{F}$  such that  $\nu_\alpha(n) = 0$  and  $V \simeq C_{q,f,g}(\alpha)/\mathbb{F}[t]t^n$ .

# Simple $\mathcal{H}_q(f,g)$ -modules

• We can finally state our main result which classifies, up to isomorphism, all finite-dimensional simple  $\mathcal{H}_q(f,g)$ -modules.
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Assume  $\mathbb{F} = \overline{\mathbb{F}}$  and  $q \neq 0$ . Then any simple n-dimensional  $\mathcal{H}_q(f,g)$ -module is isomorphic to exactly one of the following simple modules:

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(1)  $A_{q,f,g}(\lambda,\mu)/\mathbb{F}[t^{\pm 1}](t^{|\lambda||\mu|} - \gamma)$ , for some  $\lambda \in S_f$ ,  $\mu \in T_{q,g,\lambda}$  and  $\gamma \in \mathbb{F}^*$  such that  $n = |\lambda||\mu|$ .

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(2)  $\mathsf{B}_{q,f,g}(\lambda,\mu)/\mathbb{F}[t^{\pm 1}](t^{|\lambda||\mu|} - \gamma)$ , for some  $\lambda \in \mathsf{S}_f$ ,  $\mu \in \mathsf{T}_{q,g,\lambda}$  and  $\gamma \in \mathbb{F}^*$  such that  $n = |\lambda||\mu|$  and  $\mu(i) = 0$  for some  $0 \le i < |\lambda||\mu|$ .

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(3)  $C_{q,f,g}(\alpha)/\mathbb{F}[t]t^n$ , for some  $\alpha \in \mathbb{F}$  such that  $\nu_{\alpha}(n) = 0$  and  $\nu_{\alpha}(i) \neq 0$  for all  $1 \leq i \leq n-1$ .

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- Studying possible correspondences between the properties of the Poisson *GHA*s algebras and the properties of *qGHA*s.
- Considering *qGHA*s defined over more general rings than  $\mathbb{F}[h]$ .

Thank you! I would be happy to answer your questions.