

Matroids over rings

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4^o Dia de Combinatória, Aveiro

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This talk is on joint work with Luca Moci, [arXiv:1209.6571](https://arxiv.org/abs/1209.6571).

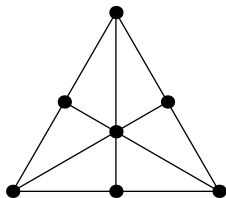
- ▶ Matroids
- ▶ Matroids over a ring
- ▶ Subtorus arrangements produce matroids over \mathbb{Z}
- ▶ Tropical geometry produces matroids over a valuation ring
- ▶ Duality and the Tutte invariant
- ▶ Speculations

Matroids

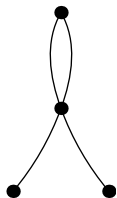
Matroids Whitney & others, ~'35 distil combinatorics from linear algebra.

An early perspective: axiomatize how (abstract) points can be contained in lines, planes, ...

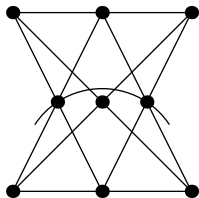
The pictures below are projective:



OK



Bad: $\{P, Q, R\}$ and $\{P, Q, S\}$ collinear \Rightarrow all four collinear.



OK, despite Pappus!
(nonrealizable)

Matroids **Whitney & others**, ~'35 distil combinatorics from linear algebra.
Many superficially unrelated definitions. (Birkhoff: “cryptomorphism”.)

Definition

A **matroid** M on the finite **ground set** E assigns to each subset $A \subseteq E$ a rank $\text{rk}(A) \in \mathbb{Z}_{\geq 0}$, such that: [...]

Main example: **realizable matroids**

Let v_1, \dots, v_n be vectors in a vector space V .

$$\text{rk}(A) := \dim \text{span}\{v_i : i \in A\}$$

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$$(0) \text{rk}(\emptyset) = 0$$

$$(1) \text{rk}(A) \leq \text{rk}(A \cup \{b\}) \leq \text{rk}(A) + 1 \quad \forall A \not\ni b$$

$$(2) \text{rk}(A) + \text{rk}(A \cup \{b, c\}) \leq \text{rk}(A \cup \{b\}) + \text{rk}(A \cup \{c\}) \quad \forall A \not\ni b, c$$

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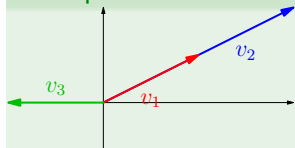
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Example: a realizable matroid in full



A	\emptyset	1	2	12	3	13	23	123
$\text{rk}(A)$	0	1	1	1	1	2	2	2

Enriched variants of matroids

You might want to capture more than just the linear dependences:

Oriented matroids come from **real** vector configurations, and remember signs (e.g. in circuits). [Bland-Ias Vergnas]

Complex matroids come from **complex** configurations, and remember phases. [Anderson-Delucchi]

Valuated matroids come from configs over a **field with valuation**, and remember valuations. [Dress-Wenzel]

Arithmetic matroids come from configurations over \mathbb{Z} , and remember indices of sublattices. [D'Adderio-Moci]

Matroids over rings encompass these latter two.

(Compare **matroids with coefficients** [Dress].)

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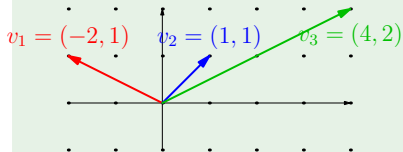
Matroids over rings

Let R be a commutative ring.

Let v_1, \dots, v_n be a configuration of vectors in an R -module N .

We would like a system of axioms for the **quotients** $N/\langle v_i : i \in A \rangle$.

Realizable example



A	\emptyset	1	2	12
$M(A)$	\mathbb{Z}^2	\mathbb{Z}	\mathbb{Z}	$\mathbb{Z}/3$
A	3	13	23	123
$M(A)$	$\mathbb{Z} \oplus \mathbb{Z}/2$	$\mathbb{Z}/8$	$\mathbb{Z}/2$	1

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Main definition [F-Moci]

A **matroid over R** on the finite ground set E assigns to each subset $A \subseteq E$ a f.g. R module $M(A)$ up to \cong , such that

for all $A \subseteq E$ and $b, c \notin A$, there are elements

$$x = x(b, c), \quad y = y(b, c) \in M(A)$$

with

$$\begin{aligned} M(A) &= M(A), & M(A \cup \{b\}) &\cong M(A)/\langle x \rangle, \\ M(A \cup \{c\}) &\cong M(A)/\langle y \rangle, & M(A \cup \{b, c\}) &\cong M(A)/\langle x, y \rangle. \end{aligned}$$

The maps between the modules $M(A)$ are not data!
This allows nonrealizability.

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- (1) For all $A \not\ni b$, there is a surjection $M(A) \twoheadrightarrow M(A \cup \{b\})$ with cyclic kernel.
- (2) For all $A \not\ni b, c$, there are four such maps forming a **pushout**

$$\begin{array}{ccc} M(A) & \longrightarrow & M(A \cup \{b\}) \\ \downarrow & \lrcorner & \downarrow \\ M(A \cup \{c\}) & \longrightarrow & M(A \cup \{b, c\}) \end{array}$$

(i.e. the square commutes and $\ker \searrow = \ker \downarrow + \ker \rightarrow$)

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Matroids are matroids over fields

Theorem 1 (F-Moci)

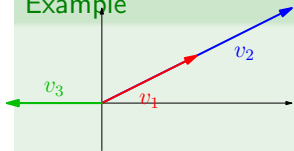
Matroids over a field k are equivalent to matroids*.

*if $M(E) = \emptyset$.

A f.g. k -module is determined by its **dimension** $\in \mathbb{Z}$.

If v_1, \dots, v_n are vectors in k^r ,
the dimension of $k^r / \langle v_i : i \in N \rangle$ is $r - \text{rk}(A)$, the **corank** of A .

Example



A	\emptyset	1	2	12	3	13	23	123
$M(A)$	\mathbb{R}^2	\mathbb{R}	\mathbb{R}	\mathbb{R}	\mathbb{R}	0	0	0

Note: The definition of matroids over k is blind to which field k is.
For realizability the choice matters.

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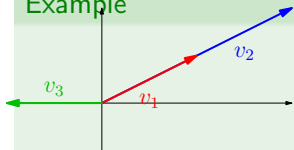
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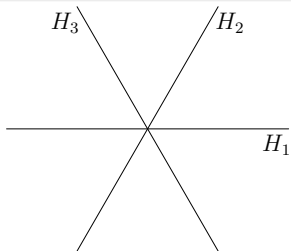
Application 1: hyperplane arrangement comb. & top.

Let $\mathcal{H} = \{H_1, \dots, H_n\}$ be hyperplanes in a vector space W , $\dim W = r$.

\mathcal{H} has a matroid: $\text{rk}(A) = \text{codim} \bigcap_{i \in A} H_i$.

This is also the matroid of any **dual** vector configuration: $(v_i \in W^\vee)$ such that

$$H_i = \{x : \langle x, v_i \rangle = 0\}.$$



From the **characteristic polynomial** of \mathcal{H} , we get a lot of topology:

$$\chi_{\mathcal{H}}(q) = \sum_{A \subseteq E} (-1)^{|A|} q^{r - \text{rk}(A)}$$

- ▶ $\sum_k \dim H^k(W_{\mathbb{C}} \setminus \bigcup \mathcal{H}) q^k = (-q)^r \chi_{\mathcal{H}}(-1/q)$.
- ▶ $W_{\mathbb{R}} \setminus \bigcup \mathcal{H}$ has $(-1)^r \chi_{\mathcal{H}}(-1)$ components.

Etc.

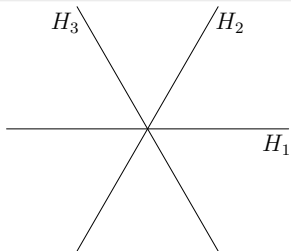
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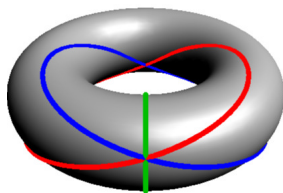
Subtorus arrangements

Now let $\mathcal{H} = \{H_1, \dots, H_n\}$ be codimension one tori in an r -dimensional torus T .

[De Concini-Procesi '10]

Subtori are dual to **characters** $u_i \in \text{Char}(T)$:

$$H_i = \{x : u_i(x) = 1\}.$$



There is again a characteristic polynomial:

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Here

$$\begin{aligned} \text{rk}(A) &= \text{codim} \bigcap_{i \in A} H_i = && \dim \text{span}\{u_i : i \in A\} \\ m(A) &= \# \text{ components } \bigcap_{i \in A} H_i = && |\mathbb{R}\{u_i\} \cap \text{Char}(T) : \mathbb{Z}\{u_i\}| \end{aligned}$$

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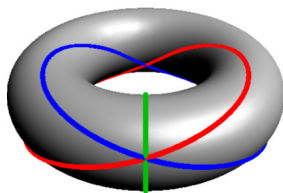
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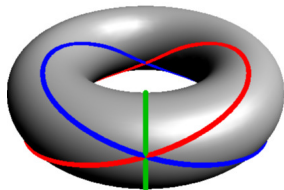
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The characteristic polynomial, again

In terms of the characteristic polynomial

$$\chi_{\mathcal{H}}(q) = \sum_{A \subseteq E} (-1)^{|A|} m(A) q^{r - \text{rk}(A)},$$



- ▶ The complex cohomology of a toric arrangement is given by

$$\sum_k \dim H^k(T \setminus \bigcup \mathcal{H}) q^k = (-q)^r \chi_{\mathcal{H}}(-q-1/q).$$

- ▶ $T \setminus \bigcup \mathcal{H}$ has $(-1)^r \chi_{\mathcal{H}}(0)$ components over the reals.

Etc.

Definition ([Moci-D'Adderio])

An **arithmetic matroid** is a pair (M, m) , where M is a matroid and $m : 2^E \rightarrow \mathbb{Z}_{>0}$ a *multiplicity function*, such that [complicated axioms]

We have a configuration $u_i \in \text{Char}(T) \cong \mathbb{Z}^r$, and:

Theorem 2 (F-Moci)

Arithmetic matroids are matroids over \mathbb{Z} .

... almost. Arithmetic matroids forget the torsion structure:

$$\mathbb{Z}^r / \langle u_A \rangle = \mathbb{Z}^{r-d} \oplus F \quad \implies \quad (M(A), m(A)) = (d, |F|)$$

where F is finite.

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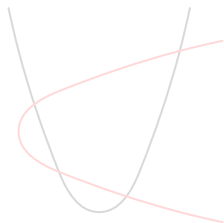
In topology:

- ▶ Homology groups in quotients of spheres by finite groups [Hughes-Swartz].
- ▶ Maybe flows on simplicial complexes [Chmutov et al]?

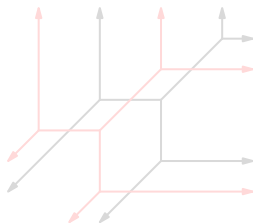
Application 2: tropical geometry

This lies among many algebro-geometric applications of matroids: moduli of hyp arrs [Hacking-Keel-Tevelev], compactifying fine Schubert cells [Lafforgue], classes of T -orbits on Grassmannians [F-Speyer], ...

Tropical geometry studies combinatorial “shadows” of algebraic varieties.



Two conics over \mathbb{C} meet in four points [Bézout]

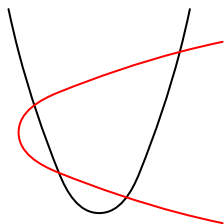


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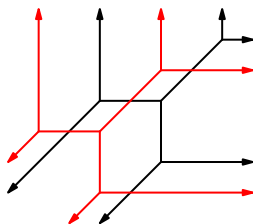
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Tropicalization

An algebraic variety $X \subseteq (\mathbf{k}^\times)^n$ has a **tropicalization** $\text{Trop } X \subseteq \mathbb{R}^n$.

Easy case: If (\mathbf{k}, ν) has **nontrivial** valuation $\nu : \mathbf{k}^\times \rightarrow \mathbb{R}$, and $\mathbf{k} = \bar{\mathbf{k}}$, then $\text{Trop } X = \overline{\nu(X)}$, coordinatewise.

A linear space $L \subseteq \mathbf{k}^n$ meets the torus in the hyperplane arrangement complement

$$L \cap (\mathbf{k}^\times)^n \subseteq (\mathbf{k}^\times)^n.$$

If ν is trivial, then $\text{Trop } L$ is the fan whose cones are spanned by chains of *flats* of M .

Theorem (Speyer, '04)

There is a bijection

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Proposition (Speyer, '04)

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Definition; proposition (Dress-Wenzel, '91)

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The main axiom is a tropical **Plücker relation** for a Grassmannian: in

$$\{m(ABC) + m(ADE), m(ABD) + m(ACE), m(ACD) + m(ABE)\},$$

the minimum is attained twice or more.

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Let (R, ν) be a valuation ring.

Theorem 3 (F-Moci)

A matroid over R gives a valuated matroid, i.e. a tropical linear space.

The values of m are the lengths over R/\mathfrak{m} of the modules $M(A)$ with $|A| = d$.

But there's lots more data than that.

E.g. the whole list of lengths gives a point on the tropical full flag variety (for which [Haque]).

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$$\{\text{matroids over } R\} \xrightarrow{-\otimes_R S} \{\text{matroids over } S\}$$

Strategy

To understand matroids over a ring R (e.g. \mathbb{Z}):

1. What can their **localizations** be like? (\Rightarrow valuation ring case)
2. When does a family of localizations come from a **global** matroid?

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Dual matroids arise from dual planar graphs, perpendicular subspaces, **Gale dual** vector configurations . . .

The dual M^* of a matroid M is given by

$$\text{cork}_{M^*}(E \setminus A) = \text{cork}_M(A) - r + |A|.$$

Let R be one of the following:

- ▶ a **Prüfer domain**, i.e. all localizations are 1-dim'l valuation rings (includes **Dedekind domains**);
- ▶ a local Noetherian ring.

Theorem (F-Moci)

Matroids over R have well-defined duals.

The construction is by dualizing a resolution of $\ker(M(\emptyset) \rightarrow M(A))$.

In the Dedekind case, $M^*(E \setminus A) \cong \text{Ext}^1(M(A), R)$

up to projective modules of rank difference $-r + |A|$.

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The construction is by dualizing a resolution of $\ker(M(\emptyset) \rightarrow M(A))$.

In the Dedekind case, $M^*(E \setminus A) \cong \text{Ext}^1(M(A), R)$

up to projective modules of rank difference $-r + |A|$.

Dual matroids arise from dual planar graphs, perpendicular subspaces, **Gale dual** vector configurations . . .

The dual M^* of a matroid M is given by

$$\text{cork}_{M^*}(E \setminus A) = \text{cork}_M(A) - r + |A|.$$

Let R be one of the following:

- ▶ a **Prüfer domain**, i.e. all localizations are 1-dim'l valuation rings (includes **Dedekind domains**);
- ▶ a local Noetherian ring.

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The Tutte polynomial (after [Brylawski])

The **deletion** $M \setminus i$ is the restriction of M to sets $A \not\ni i$, and the **contraction** M/i is the restriction of M to sets $A \ni i$.

Define the **Tutte-Grothendieck** ring to be the free group on $\{T_M : M \text{ a matroid}\}$ modulo relations

$$T_M = T_{M \setminus i} + T_{M/i},$$

and product $T_M T_{M'} = T_{M \oplus M'}$.

T_M is the **Tutte polynomial** of M , with many important evaluations (e.g. characteristic poly, chromatic poly).

Theorem (Crapo, Brylawski)

The Tutte-Grothendieck ring is $\mathbb{Z}[x - 1, y - 1]$, with

$$T_M = \sum_{A \subseteq E} (x - 1)^{\text{corank}_M(A)} (y - 1)^{\text{corank}_{M^*}(E \setminus A)}$$

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The Tutte polynomial for matroids over R

Let R be a Dedekind domain.

Let $\mathbb{Z}[R\text{-Mod}]$ be the monoid ring of fin. gen. R -modules up to \cong under direct sum. $u^N u^{N'} = u^{N \oplus N'}$

Theorem (F-Moci)

The Tutte-Grothendieck ring of matroids over R injects into $\mathbb{Z}[R\text{-Mod}] \otimes \mathbb{Z}[R\text{-Mod}]$, with

$$\text{class of } M = \sum_{A \subseteq E} X^{M(A)} Y^{M^*(E \setminus A)}$$

It's a proper injection since $M(A)$ and $M^*(E \setminus A)$ have the same torsion part.

Some specializations:

- ▶ The characteristic polynomial of a subtorus arrangement
- ▶ The Tutte quasipolynomial of [Brändén-Moci]

- ▶ Other axiom systems: polytopes, bases, circuits, ...?
- ▶ Are duals always well-defined?
- ▶ Which rings have good characterizations of realizability?
- ▶ What's the extra data over a DVR?
(maybe: convex hulls in buildings [Joswig-Sturmfels-Yu])
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Thank you!

A bit more on DVRs

There is a bijection between
finitely generated modules over a DVR
& partitions allowing infinite parts.

Example

$$N_\lambda = R \oplus R/\mathfrak{m}^3 \oplus R/\mathfrak{m}$$

$$\lambda = \begin{array}{cccccccc} \square & \square & \square & \square & \square & \square & \square & \square & \dots \\ \square & \square & & & & & & & \\ \square & & & & & & & & \end{array}$$

Theorem (Hall, ...)

The number of exact sequences

$$0 \rightarrow N_\lambda \rightarrow N_\nu \rightarrow N_\mu \rightarrow 0$$

up to \cong of sequences is the LR coeff $c_{\lambda\mu}^\nu$ (or its infinite-rows analog).

So, quotients by one element give the Pieri rule.

Lemma, en route to Theorem 3

M is a 1-element matroid over $R \iff$

$M(\emptyset)$ has at most one box more in each column than $M(1)$.