

# Approximating and decomposing clutters with matroids

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# The question

Let  $\Lambda$  be a clutter

(aka antichain: a family of mutually incomparable subsets of  $\Omega$ )

How does  $\Lambda$  resemble a matroid? *or* How far is  $\Lambda$  from being a matroid? *or* Which is the matroid closest to  $\Lambda$ ?

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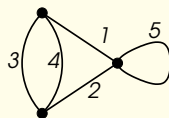
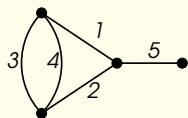
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**Example:** let  $\Lambda = \{123, 124, 345\}$

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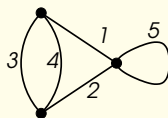
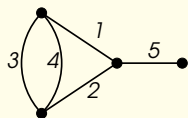
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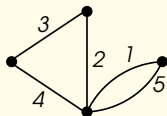
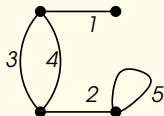
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- Both  $\{123, 124\}$  and  $\{123, 124, 345, 134, 235, 245\}$  are clutters of bases of a matroid:



# What's ahead

- ▶ State of the art
- ▶ Some definitions and notations
- ▶ Our results (joint with **Jaume Martí-Farré** from UPC)
  - ▶ Existence theorems
  - ▶ Constructive algorithms
- ▶ Perspective

## Previous efforts/interest in linking clutters and matroids

- ▶ Vaderlind, 1986: *Clutters and semimatroids*
- ▶ Dress and Wenzel, 1990: *Matroidizing set systems: a new approach to matroid theory*
- ▶ Cordovil, Fukuda, and Moreira, 1991: *Clutters and matroids*
- ▶ Traldi, 1997-2003: *Clutters and circuits I, II, III*
- ▶ Blasiak, Rowe, Traldi, and Yacobi, 2005: *Several definitions of matroids*
- ▶ Ford, 2012: *Question on mathoverflow.net*: I have a bunch of  $k$ -element subsets of  $\{1, \dots, n\}$ . Call a matroid good if all of these  $k$ -element sets are not bases. I want to find all the matroids  $M$  which are minimal among the good ones, in the sense that there is no good matroid whose independent sets are a proper subset of those of  $M$ .

## Definitions: matroids

A **matroid** is a pair  $(\Omega, \mathcal{C})$  where  $\Omega$  is a finite set and  $\mathcal{C}$  is a family of subsets of  $\Omega$ , called **circuits**, satisfying

- $\mathcal{C}$  is a clutter different from  $\{\emptyset\}$
- for distinct  $C_1, C_2 \in \mathcal{C}$  and  $e \in C_1 \cap C_2$ , there is  $C_3 \in \mathcal{C}$  such that  $C_3 \subseteq (C_1 \cup C_2) - e$

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Subsets of  $\Omega$  that do not contain any circuit are called **independent** and subsets that do contain some circuit are **dependent**

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Moreover,

**Fact:** a family  $\mathcal{B} \subseteq 2^\Omega$  is the set of bases of some matroid if, and only if,

- $\mathcal{B} \neq \emptyset$
- for  $B_1, B_2 \in \mathcal{B}$  and  $x \in B_1 - B_2$ , there is  $y \in B_2 - B_1$  such that  $(B_1 - x) \cup y \in \mathcal{B}$

## Definitions: clutters

Denote by  $\text{Clutt}(\Omega)$  the set of all clutters on  $\Omega$

For  $\Lambda \in \text{Clutt}(\Omega)$ , let

$$\Lambda^+ = \{B \subseteq \Omega : B \supseteq A \text{ for some } A \in \Lambda\}$$

$$\Lambda^- = \{B \subseteq \Omega : B \subseteq A \text{ for some } A \in \Lambda\}$$

Hence

$$\Lambda = \text{minimal}(\Lambda^+) = \text{maximal}(\Lambda^-)$$

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Define the following two partial orders on  $\text{Clutt}(\Omega)$

$$\begin{aligned}\Lambda_1 \leq^+ \Lambda_2 &\iff \Lambda_1^+ \subseteq \Lambda_2^+ \\ &\iff \forall A \in \Lambda_1 \exists A' \in \Lambda_2 \text{ s.t. } A \supseteq A'\end{aligned}$$

$$\begin{aligned}\Lambda_1 \leq^- \Lambda_2 &\iff \Lambda_1^- \subseteq \Lambda_2^- \\ &\iff \forall A \in \Lambda_1 \exists A' \in \Lambda_2 \text{ s.t. } A \subseteq A'\end{aligned}$$

## Definitions: clutters

Ex: for matroids  $M_1, M_2$

$\mathcal{C}(M_1) \leq^+ \mathcal{C}(M_2) \Leftrightarrow$  every circuit of  $M_1$  contains a circuit of  $M_2$   
 $\Leftrightarrow (M_1 \text{ is above } M_2 \text{ in the weak order})$

$\mathcal{B}(M_1) \leq^- \mathcal{B}(M_2) \Leftrightarrow$  every basis of  $M_1$  is contained in a basis of  $M_2$   
 $\Leftrightarrow (M_1 \text{ is below } M_2 \text{ in the weak order})$

(Note:  $\mathcal{B}(M_1) \leq^+ \mathcal{B}(M_2)$  can also be interpreted in terms of the weak order, but  $\mathcal{C}(M_1) \leq^- \mathcal{C}(M_2)$  cannot)

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The **blocker** of a clutter is

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**Lem**  $\Lambda_1 \leq^+ \Lambda_2 \Leftrightarrow b(\Lambda_2) \leq^+ b(\Lambda_1)$

**Facts:**

$\mathcal{B}(M)^c$  is the clutter of bases of a matroid  $M^*$ , called the **dual** matroid of  $M$

$$b(\mathcal{C}(M)) = \mathcal{B}(M^*)$$

## Answering our initial question

Given  $\Lambda \in \text{Clutt}(\Omega)$ :

- ▶ We want it close to a matroid clutter. Do we choose circuit clutters or basis clutters? Let's say we choose  $\Sigma \subseteq \text{Clutt}(\Omega)$

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- ▶ And we want our clutter  $\Lambda$  to be above or below with respect to ORDER? Let's say we take SIDE.

**Thm** (Informal)

For any choice of ORDER and SIDE, there is a family of clutters  $\mathcal{F} \subset \text{Clutt}(\Omega)$  such that:

If  $\mathcal{F} \subseteq \Sigma$ , then there exist  $\Lambda_1, \dots, \Lambda_s$  in  $\Sigma$  that are closest to  $\Lambda$  with respect to ORDER and SIDE. Moreover,  $\Lambda$  can be recovered from  $\Lambda_1, \dots, \Lambda_s$



## Decomposition theorems: in general

**Thm** Let  $\Lambda \in \text{Clutt}(\Omega)$  and  $\Sigma \subseteq \text{Clutt}(\Omega)$

If for all  $S = \{x_1, \dots, x_r\} \subseteq \Omega$  the clutter  $\Lambda_S = \{\{x_1\}, \dots, \{x_r\}\}$  belongs to  $\Sigma$ , then

- (1) there exists some  $\Lambda' \in \Sigma$  such that  $\Lambda \leq^+ \Lambda'$
- (2) if  $\Lambda_1, \dots, \Lambda_s \in \Sigma$  are the minimal clutters in (1) then  $\Lambda = \text{minimal}\{A_1 \cup \dots \cup A_s : A_i \in \Lambda_i\}$

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Consider the clutter  $\tilde{\Lambda} = \{\{x\} : x \notin A_0\}$

As for all  $A \in \Lambda$  we have  $A \setminus A_0 \neq \emptyset$ , we deduce  $\Lambda \leq^+ \tilde{\Lambda}$

By minimality, there is some  $k$  such that  $\Lambda_k \leq^+ \tilde{\Lambda}$

As  $A_0 = A_1 \cup \dots \cup A_s$  with  $A_i \in \Lambda_i$ , the set  $A_k \in \Lambda_k$  must contain  $\{x\}$  for some  $x \notin A_0$ , a contradiction

# Decomposition theorems: in general

For each choice of ORDER and SIDE, we have a “special family of clutters”  $\mathcal{F}$  and a decomposition formula

## Thm

$\leq^+$ , above

$$\mathcal{F} = \{ \{ \{x_1\}, \dots, \{x_r\} \} : x_1, \dots, x_r \in \Omega \}$$
$$\Lambda = \text{minimal} \{ A_1 \cup \dots \cup A_s : A_i \in \Lambda_i \}$$

$\leq^-$ , above

$$\mathcal{F} = \{ \{ \Omega \setminus x_1, \dots, \Omega \setminus x_r \} : x_1, \dots, x_r \in \Omega \}$$
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## Decomposition theorems: matroids

Recall the “special families”:

$$\mathcal{F}_1 = \{\{\{x_1\}, \dots, \{x_r\}\} : x_1, \dots, x_r \in \Omega\}$$

$$\mathcal{F}_2 = \{\{\Omega \setminus x_1, \dots, \Omega \setminus x_r\} : x_1, \dots, x_r \in \Omega\}$$

$$\mathcal{F}_3 = \{\{x_1 \dots x_r\} : x_1, \dots, x_r \in \Omega\}$$

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$$\mathcal{F}_2 = \{ \{ \Omega \setminus x_1, \dots, \Omega \setminus x_r \} : x_1, \dots, x_r \in \Omega \}$$

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**Lem** All clutters in  $\mathcal{F}_1, \mathcal{F}_2, \mathcal{F}_3$  are clutters of bases

$\mathcal{F}_1$ :  $r$  elements in parallel

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**Lem** All clutters in  $\mathcal{F}_1, \mathcal{F}_3$  are clutters of circuits, but  $\mathcal{F}_2$  is not

$\mathcal{F}_1$ :  $r$  loops

$\mathcal{F}_3$ : a cycle on  $r$  elements

## Decomposition theorems: matroids

Obs:  $\{\Omega \setminus x_1, \dots, \Omega \setminus x_r\}$  is not a clutter of circuits if  $r \geq 2$ , as:  
for  $e \in (\Omega \setminus x_1) \cap (\Omega \setminus x_2)$ , we have

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We look for  $M$  such that  $\Lambda \leq^- \mathcal{C}(M)$ , that is, every subset of  $\Lambda$  is contained in a circuit of  $M$

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Two options:  $\mathcal{C}(M_1) = \{123\}$  and  $\mathcal{C}(M_2) = \{12, 13, 23\}$

As  $\mathcal{C}(M_2) \leq^- \mathcal{C}(M_1)$ , there is only one clutter of circuits above  $\Lambda$  in the order  $\leq^-$

But  $\Lambda \neq \mathcal{C}(M_2)$ , so there is no decomposition result

# Decomposition theorems: matroids

In summary, given  $\Lambda$  we can consider approximations for any combination of

CIRCUITS / BASES;  $\leq^+$  /  $\leq^-$ ; ABOVE / BELOW

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Now, how can we effectively compute these completions?

In principle we should consider 7 cases, but we will see that they actually reduce to only 3

## Finding the completions: reductions

Recall:

**Lem**  $\Lambda_1 \leq^+ \Lambda_2 \Leftrightarrow \Lambda_1^c \leq^- \Lambda_2^c$   
 $\Lambda_1 \leq^+ \Lambda_2 \Leftrightarrow b(\Lambda_2) \leq^+ b(\Lambda_1)$

**Facts:**  $\mathcal{B}(M)^c = \mathcal{B}(M^*)$ ,  $b(\mathcal{C}(M)) = \mathcal{B}(M^*)$

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By combining blockers and complements, it is enough to solve one of

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# Finding the completions: algorithms

We describe the case  $(\text{CIRCUITS}, \leq^+, \text{ABOVE})$

from Martí-Farré 2014

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For distinct  $A_1, A_2 \in \Lambda$ , define

$$I_\Lambda(A_1 \cup A_2) = \bigcap_{X \in \Lambda, X \subseteq A_1 \cup A_2} X$$

**Fact:**  $\Lambda$  is the clutter of circuits of some matroid if, and only if,  
 $I_\Lambda(A_1 \cup A_2) = \emptyset$  for all  $A_1 \neq A_2 \in \Lambda$

## Finding the completions: algorithms

We define three transformations of a clutter  $\Lambda$

( $\alpha$ ) take  $A_1 \neq A_2$  with  $I_\Lambda(A_1 \cup A_2) \neq \emptyset$  and set

$$\Lambda^\alpha = \text{minimal}(\Lambda \cup \{A_1 \cap A_2\})$$

( $\beta$ )  $\Lambda^\beta = \text{minimal}(\Lambda \cup \{(A_1 \cup A_2) \setminus I_\Lambda(A_1 \cup A_2) : A_1 \neq A_2 \in \Lambda\})$

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**Lem**  $\Lambda \leq^+ \Lambda^\alpha, \Lambda \leq^+ \Lambda^\beta, \Lambda \leq^+ \Lambda^\gamma$

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**Lem**  $\Lambda \leq^+ \Lambda^\alpha$ ,  $\Lambda \leq^+ \Lambda^\beta$ ,  $\Lambda \leq^+ \Lambda^\gamma$

**Lem** None of the transformations applied to  $\Lambda$  yields a different clutter if and only if  $\Lambda$  is the clutter of circuits of some matroid

## Finding the completions: algorithms

**Thm** Let  $\Lambda'$  be a completion of  $\Lambda$  for  $(\text{CIRCUITS}, \leq^+, \text{ABOVE})$ .  
There is a finite sequence  $(i_1, \dots, i_k) \in \{\alpha, \beta, \gamma\}^k$  such that

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So to obtain all completions, we apply the  $\alpha, \beta, \gamma$  transformations in all possible orders until no more applications are possible, and take the minimal clutters thus generated

We have similar looking algorithms for  $(\text{CIRCUITS}, \leq^+, \text{BELOW})$  and  $(\text{CIRCUITS}, \leq^-, \text{BELOW})$



## Finding the completions: example

$$\Lambda^\alpha = \text{minimal}(\Lambda \cup \{A_1 \cap A_2\}) \text{ if } I_\Lambda(A_1 \cup A_2) \neq \emptyset$$

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$$\Lambda = \{123, 124, 345\}:$$

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Like before,  $\Lambda^\beta \leq^+ \Lambda^{\gamma, \beta}$ , so no need to continue this path

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$$\Lambda^{\gamma, \alpha_2} = \text{minimal}(123, 124, 345, 234, 134, 34) = \{123, 124, 34\} = \Lambda^\beta$$

$$\Lambda^{\gamma, \alpha_3} = \text{minimal}(123, 124, 345, 234, 134, 34) = \{123, 124, 34\} = \Lambda^\beta$$

$$\Lambda^{\gamma, \beta} = \text{minimal}(123, 124, 345, 234, 134, 34, 25, 15)$$

$$= \{123, 124, 34, 15, 25\}$$

Like before,  $\Lambda^\beta \leq^+ \Lambda^{\gamma, \beta}$ , so no need to continue this path

$$\Lambda^{\gamma, \gamma} = \{123, 124, 345, 234, 134, 235, 245, 135, 145\}$$

## Finding the completions: example

$$\Lambda^\alpha = \text{minimal}(\Lambda \cup \{A_1 \cap A_2\}) \text{ if } I_\Lambda(A_1 \cup A_2) \neq \emptyset$$

$$\Lambda^\beta = \text{minimal}(\Lambda \cup \{(A_1 \cup A_2) \setminus I_\Lambda(A_1 \cup A_2) : A_1 \neq A_2 \in \Lambda\})$$

$$\Lambda^\gamma = \text{minimal}(\Lambda \cup \{(A_1 \cup A_2) \setminus x : x \in A_1 \cap A_2, A_1 \neq A_2 \in \Lambda\})$$

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$$\Lambda^{\gamma, \gamma} = \{123, 124, 345, 234, 134, 235, 245, 135, 145\}$$

...

$$\Lambda^{\gamma, \gamma, \gamma} = \{123, 124, 345, 234, 134, 235, 245, 135, 145, 125\}$$

## Finding the completions: example

Hence, the smallest circuit clutters that are larger than  $\{123, 124, 345\}$  with respect to the order  $\leq^+$  are

$$\Lambda_1 = \{12, 345\}$$

$$\Lambda_2 = \{123, 124, 34\}$$

$$\Lambda_3 = \{123, 124, 345, 234, 134, 235, 245, 135, 145, 125\}$$



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But actually  $\Lambda = \text{minimal}(A_1 \cup A_2, A_i \in \Lambda_i)$

It does happen very often that not all the clutters of the completion are needed for the decomposition to hold

## Other families of clutters

Let  $\mathcal{N}$  be a class of matroids (as binary, graphic, transversal, ...)

Define:

$$\Sigma(\mathcal{C}, \mathcal{N}) = \{\mathcal{C}(M) : M \in \mathcal{N}\}$$

$$\Sigma(\mathcal{B}, \mathcal{N}) = \{\mathcal{B}(M) : M \in \mathcal{N}\}$$

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For each ORDER and SIDE, if  $\Sigma(\mathcal{C}, \mathcal{N})$  or  $\Sigma(\mathcal{B}, \mathcal{N})$  contain all clutters in the corresponding “special family”, then we can approximate any clutter  $\Lambda$  with matroids in the class  $\mathcal{N}$

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**Fact:** for all “usual” classes of matroids, all combinations work except (CIRCUITS,  $\leq^-$ , ABOVE)

## Other families of clutters: examples

With respect to  $(\text{CIRCUITS}, \leq^+, \text{ABOVE})$

- ▶ The matroid  $U_{2,4}$  with circuits  $\{123, 124, 134, 234\}$  has 6 binary completions:

$$\{i_1 i_2, i_1 i_3 i_4, i_2 i_3 i_4\} \quad \text{for } \{i_1, i_2, i_3, i_4\} = \{1, 2, 3, 4\}$$

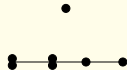
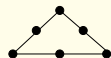
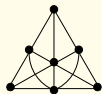
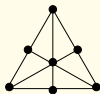
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- ▶ The non-Fano matroid has 9 completions in the class of matroids representable over a field of characteristic 2



# To be done

- ▶ Improve the algorithms to compute completions
- ▶ Find algorithms to compute completions directly with respect to BASES
- ▶ Find algorithms to compute completions in subclasses of matroids
- ▶ Study the relationship between the number of completions and the number needed for the decomposition formula to work
- ▶ Study the behaviour of deletion and contraction
- ▶ Answer the question on [mathoverflow.net](https://mathoverflow.net)
- ▶ Apply the results!