

# Towards a categorification of integers

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## Schanuel's question

"Where are negative sets?"

Though ill-posed, the question is suggestive; a good answer should complete the diagram

$$\begin{array}{ccc} \mathbb{S} & \hookrightarrow & \mathbb{E} \\ \downarrow & & \downarrow \\ \mathbb{N} & \hookrightarrow & \mathbb{Z} \end{array}$$

where  $\mathbb{S}$  is the category of finite sets; we seek an enlargement  $\mathbb{E}$ , the isomorphism classes of which should give rise to all integers, rather than just natural numbers."

# Schanuel's question

The answer is negative under some natural assumptions about products, coproducts, and the initial object.

Stephen H. Schanuel,  
*Negative sets have Euler characteristic and dimension*,  
*Category Theory, Como 1990*, Lecture Notes in Mathematics  
1488, Springer, Berlin 1991, 379–385.

# The aim

We would like to present a background for constructing a positive answer to Schanuel's question provided we loose only the assumption about the initial object.

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Multiset is a set with repeated elements.

Example – the multiset of prime factors of 360:

$$[2, 2, 2, 3, 3, 5].$$

Multisets were rediscovered for many times during the history of mathematics. For a survey see:

Wayne Blizard, *The development of multiset theory*, 1991, *Modern Logic* 1, 319 – 352.



## Generalized multisets

The first known observation that one can define a generalized multiset with arbitrary integer multiplicities, belongs to Hassler Whitney:

"Suppose we associate with each element of a set  $R'$  any integer, positive, negative or zero, instead of merely one or zero. The resulting function will not in general be the characteristic function of a real set; but we may consider it as the characteristic function of a *generalized set*, where each element is counted any number of times."

Hassler Whitney, *Characteristic functions and the algebra of logic*, Annals of Mathematics 34 (1933), 405 – 414.

## Generalized multisets

Systematic studies in this field started with the works of Wolfgang Reisig, Wayne D. Blizard and Daniel Loeb.

Wolfgang Reisig, *Petri nets, An introduction*, Chapter 9, EATCS Monographs on Theoretical Computer Science 4, Springer, Berlin 1985.

Wayne D. Blizard, *Negative membership*, Notre Dame Journal of Formal Logic 31 (1990), 346–368.

Daniel Loeb, *Sets with a negative number of elements*, Advances in Mathematics 91 (1992), 64 – 74.

## Example of a generalized multiset

We have:

$$\frac{360}{539} = \frac{2 \cdot 2 \cdot 2 \cdot 3 \cdot 3 \cdot 5}{7 \cdot 7 \cdot 11}.$$

The multiset of prime factors:

$$[2, 2, 2, 3, 3, 5 \mid 7, 7, 11].$$

## Definitions

A **multiset** in the space  $U$  is defined by its multiplicity function:

$$\nu: U \rightarrow \{0, 1, 2, 3, \dots\}.$$

A **generalized multiset** is defined by a function:

$$\nu: U \rightarrow \{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}.$$

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## Definition

When we restrict multiplicities to:

$$1, 0, -1,$$

we obtain a **generalized set**  $X$  which is a pair of disjoint sets  $(A, B)$ , where  $A$  is the positive part and  $B$  is the negative one:

$$A = \{z \in U : \nu_X(z) = 1\},$$

$$B = \{z \in U : \nu_X(z) = -1\}.$$

## Definition

If  $A = \{a_1, \dots, a_m\}$  and  $B = \{b_1, \dots, b_n\}$ , then we write

$$X = \{a_1, \dots, a_m \mid b_1, \dots, b_n\}.$$

The generalized number of elements:

$$|X|_g = m - n.$$

# Inclusion

Inclusion of generalized sets  $X \subset_g Y$  is defined by:

$$\forall z \in U \nu_X(z) \leq \nu_Y(z).$$

Equivalently:

$$\begin{cases} \forall z \in U (\nu_X(z) = 1 \Rightarrow \nu_Y(z) = 1) \\ \forall z \in U (\nu_Y(z) = -1 \Rightarrow \nu_X(z) = -1). \end{cases}$$



## Inclusion

$$\begin{cases} \forall z \in U (\nu_X(z) = 1 \Rightarrow \nu_Y(z) = 1) \\ \forall z \in U (\nu_Y(z) = -1 \Rightarrow \nu_X(z) = -1) \end{cases}$$

Given generalized sets

$$X = (A, B), \quad Y = (C, D),$$

where  $A \cap B = \emptyset$ ,  $C \cap D = \emptyset$ , then:

$$(A, B) \subset_g (C, D) \Leftrightarrow A \subset C \wedge D \subset B.$$

## Intersection

Intersection of generalized sets  $X, Y$ :

$$\nu_{X \cap_g Y}(z) = \min(\nu_X(z), \nu_Y(z)), \quad z \in U.$$

We have a table:

$\nu_X(z)$	$\nu_Y(z)$	-1	0	1
-1		-1	-1	-1
0		-1	0	0
1		-1	0	1

## Intersection

$\nu_X(z)$	$\nu_Y(z)$	-1	0	1
-1	-1	-1	-1	-1
0	-1	-1	0	0
1	-1	-1	0	1

Given  $X = (A, B)$ ,  $Y = (C, D)$ , where  $A \cap B = \emptyset$ ,  $C \cap D = \emptyset$ , then:

$$(A, B) \cap_g (C, D) = (A \cap C, B \cup D).$$

# Union

Union of generalized sets  $X, Y$ :

$$\nu_{X \cup_g Y}(z) = \max(\nu_X(z), \nu_Y(z)), \quad z \in U.$$

A table:

$\nu_X(z)$	$\nu_Y(z)$	-1	0	1
-1		-1	0	1
0		0	0	1
1		1	1	1

# Union

	$\nu_Y(z)$	-1	0	1
$\nu_X(z)$				
-1		-1	0	1
0		0	0	1
1		1	1	1

Given  $X = (A, B)$ ,  $Y = (C, D)$ , where  $A \cap B = \emptyset$ ,  $C \cap D = \emptyset$ , then:

$$(A, B) \cup_g (C, D) = (A \cup C, B \cap D).$$

## Three-valued logic

Such pairs of sets are studied in the context of three-valued logic and are called inexact classes or orthopairs.

Grzegorz Malinowski, *Kleene logic and inference*, Bulletin of the Section of Logic, University of Łódź, 43 (2014), 43–52.

Davide Ciucci, Didier Dubois, Jonathan Lawry, *Borderline vs. unknown: comparing three-valued representations of imperfect information*, International Journal of Approximate Reasoning 55 (2014), 1866–1889.

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## Easy way – $\mathbb{N} \times \mathbb{N}$

Recall the inclusion:

$$(A, B) \subset_g (C, D) \Leftrightarrow A \subset C \wedge D \subset B.$$

If we define maps between finite generalized sets  $X = (A, B)$ ,  $Y = (C, D)$ , where  $A \cap B = \emptyset$ ,  $C \cap D = \emptyset$ , as pairs of maps

$$A \rightarrow C, \quad D \rightarrow B,$$

then the equivalence classes will be  $\mathbb{N} \times \mathbb{N}$ .



## Instantiation of Schanuel's question

Is it possible to define in some natural way maps between finite generalized sets in order to obtain a category extending the category of finite sets, where  $(A, B)$  are  $(C, D)$  isomorphic if and only if

$$|A| - |B| = |C| - |D|?$$

## Attention!

If we define maps between finite generalized sets  $X = (A, B)$ ,  
 $Y = (C, D)$ , where  $A \cap B = \emptyset$ ,  $C \cap D = \emptyset$ , as

$$A \sqcup C \rightarrow B \sqcup D,$$

then there arise problems with compositions.

## A hint?

A natural candidate for a direct product of generalized sets  $(A, B)$  and  $(C, D)$  is

$$(A \times C \sqcup B \times D, A \times D \sqcup B \times C).$$

A natural candidate for a direct sum is

$$(A \sqcup C, B \sqcup D).$$

## Two questions

1. Do you know any similar construction in some category, where two pairs of objects  $(A, B)$  and  $(C, D)$  are isomorphic (as objects of the new category) if and only if  $A \oplus D$  and  $B \oplus C$  are isomorphic in the old category?
2. Do you know any construction in some category, where a morphism between pairs of objects  $(A, B)$  and  $(C, D)$  is defined as a morphism between  $A \oplus D$  and  $B \oplus C$ ?

Thank you very much  
for your attention!!!