

Semidefinite lifts of polytopes

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Semidefinite Representations

A semidefinite representation of size k of a polytope P is a description

$$P = \left\{ x \in \mathbb{R}^n \mid \exists y \text{ s.t. } A_0 + \sum A_i x_i + \sum B_i y_i \succeq 0 \right\}$$

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This tells us how hard it is to optimize over P using semidefinite programming.

The Square

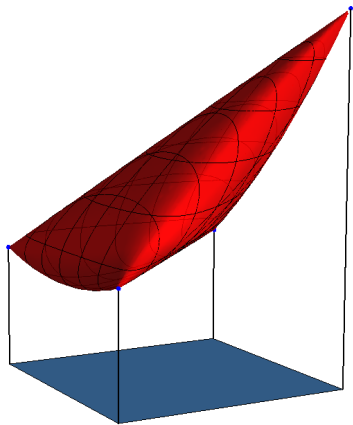
The 0/1 square is the projection onto x_1 and x_2 of

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Slack Matrix

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$$\begin{array}{l} x \geq 0 \\ y \geq 0 \\ z \geq 0 \\ 1 - x \geq 0 \\ 1 - y \geq 0 \\ 1 - z \geq 0 \end{array} \left[\begin{array}{c} \\ \\ \\ \\ \\ \\ \end{array} \right]$$

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Semidefinite Factorizations

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$$\begin{bmatrix} 2 & 0 \\ 0 & 0 \end{bmatrix} \quad \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \quad \begin{bmatrix} 2 & 1 \\ 1 & 1 \end{bmatrix} \quad \begin{bmatrix} 1 & & & \\ & 1 & & \\ & & 1 & \\ & & & 1 \end{bmatrix}$$

Additional matrices shown above the main equation:

$$\begin{bmatrix} 1/2 & -1/2 \\ -1/2 & 1 \end{bmatrix} \quad \begin{bmatrix} 1/2 & 0 \\ 0 & 0 \end{bmatrix} \quad \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$$

Semidefinite Yannakakis Theorem

Theorem (G.-Parrilo-Thomas 2011)

A polytope P has a semidefinite representation of size k if and only if its slack matrix has a PSD_k -factorization.

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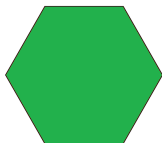
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The psd rank of a polytope P is defined as

$$\text{rank}_{\text{psd}}(P) := \text{rank}_{\text{psd}}(S_P).$$

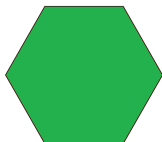
The Hexagon

Consider the regular hexagon.



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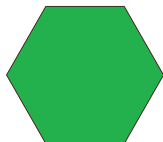


It has a 6×6 slack matrix.

$$\begin{bmatrix} 0 & 0 & 2 & 4 & 4 & 2 \\ 2 & 0 & 0 & 2 & 4 & 4 \\ 4 & 2 & 0 & 0 & 2 & 4 \\ 4 & 4 & 2 & 0 & 0 & 2 \\ 2 & 4 & 4 & 2 & 0 & 0 \\ 0 & 2 & 4 & 4 & 2 & 0 \end{bmatrix}$$

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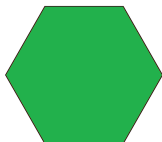
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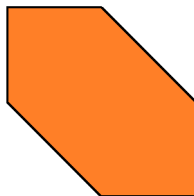
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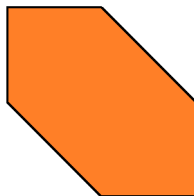
Consider the affinely equivalent hexagon H with vertices $(\pm 1, 0)$, $(0, \pm 1)$, $(1, -1)$ and $(-1, 1)$.



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$$H = \left\{ (x_1, x_2) : \begin{bmatrix} 1 & x_1 & x_2 & x_1 + x_2 \\ x_1 & 1 & y_1 & y_2 \\ x_2 & y_1 & 1 & y_3 \\ x_1 + x_2 & y_2 & y_3 & 1 \end{bmatrix} \succeq 0 \right\}$$

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We want to make “small” = $d + 1$.

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$\sqrt[H^+]{M}$ is the nonnegative Hadamard square root of M .

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Corollary

For 0/1 matrices

$$\text{rank}_{\text{psd}}(M) \leq \text{rank}_H(M) \leq \text{rank}(M).$$

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Polytopes with minimal representations

We can already recover an older result obtained originally using sums of squares.

Theorem (G.-Parrilo-Thomas 2009)

Let P be a polytope with dimension d whose slack matrix S_P is 0/1. Then P has a semidefinite representation of size $d + 1$.

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But we can say much more.

Main Theorem

Let P have dimension d . Then

$$\text{rank}_{\text{psd}}(P) = d + 1 \Leftrightarrow \text{rank}_H(S_P) = d + 1.$$

Properties of SDP-minimal Polytopes

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Results in \mathbb{R}^2

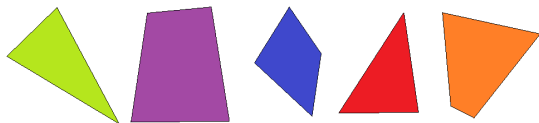
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Proposition

A convex polygon is **SDP-minimal** if and only if it is a triangle or a quadrilateral.



Octahedra

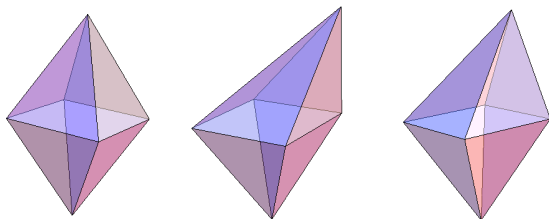
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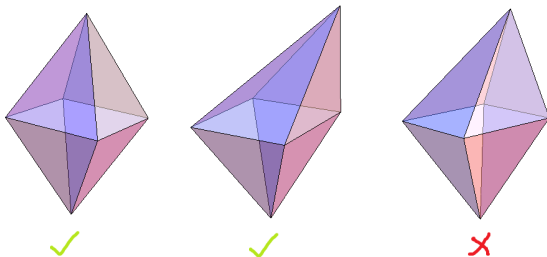
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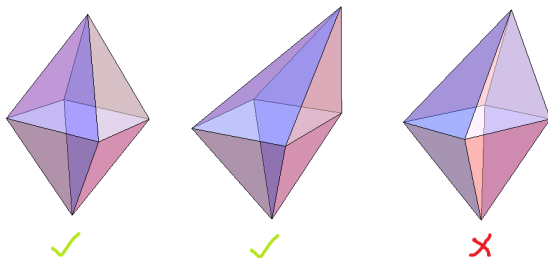
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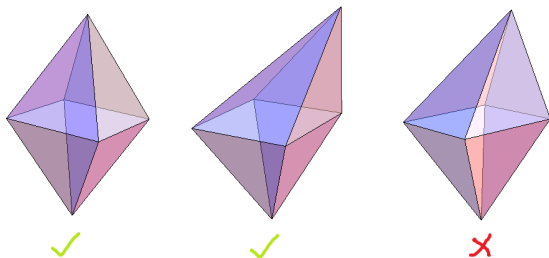


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It also suggests some underlying matroid characterization.

Open questions

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- ▶ Classification of SDP-minimal polyhedra.

Open questions

- ▶ Does $\text{rank}_H(S_P) = d + 1$ imply $\text{rank}(\sqrt[H^+]{S_P}) = d + 1$?
- ▶ Does $\text{rank}_H(S_P) = d + 1$ imply $\text{rank}(\text{supp}(S_P)) = d + 1$?
- ▶ Classification of SDP-minimal polyhedra.
- ▶ Better Algebraic/Geometrical characterization of SDP-minimality.

For more information

Polytopes of Minimum Positive Semidefinite Rank - Gouveia, Robinson and Thomas - arXiv:1205.5306

Lifts of convex sets and cone factorizations - Gouveia, Parrilo and Thomas - arXiv:1111.3164

Lifts and Factorizations of Convex Sets - Semiplenary talk by Rekha this afternoon.

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Thank you