Workshop on Dualities 2016

University of Coimbra, Portugal September 19–21, 2016



Marcel Erné DUALITIES EQUIVALENT TO THE ULTRAFILTER THEOREM

DUALITIES EQUIVALENT TO THE ULTRAFILTER THEOREM

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September 23, 2016

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Part I

Ultrafilters, compactness and sobriety

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Ultrafilters, compactness and sobriety



Order-theoretical and topological preliminaries

3 Concepts of compactness and sobriety

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The Banach Paradox



The Banach Paradox



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Weak choice principles Order-theoretical and topological preliminaries

Concepts of compactness and sobriety

No Choice!



No Choice!



If not otherwise stated, we do not assume the validity of any set-theoretical choice principles!

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Weak Axioms of Choice

Two weakenings of the Axiom of Choice (AC) are

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- **UP** The Ultrafilter Principle: every proper set-theoretical filter is contained in an ultrafilter.

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Weak Axioms of Choice

Two weakenings of the Axiom of Choice (AC) are

- **UP** The Ultrafilter Principle: every proper set-theoretical filter is contained in an ultrafilter.
- **DC** The Principle of Dependent Choices: if R is a relation on a set X such that for each $x \in X$ there is a $y \in X$ with x R y, then there is a sequence (x_n) in X with $x_n R x_{n+1}$ for all n.

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Theorem (Halpern and Levy 1964, Jech 1966, Pincus 1977) **UP** and **DC** are not only independent axioms in **ZF** or **NBG** set theory, but together they are still strictly weaker than **AC**.

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Lower sets, upper sets, cuts and feet

Let $P = (X, \leq)$ be a *quasiordered set* (qoset), with a reflexive and transitive relation \leq on X. If \leq is antisymmetric, P is a poset.

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• The lower set resp. upper set generated by $Y \subseteq X$ is $\downarrow Y = \{x \in X : \exists y \in Y \ (x \le y)\},$ $\uparrow Y = \{x \in X : \exists y \in Y \ (x \ge y)\}.$

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- A poset is up-complete, a dcpo or a domain if all its directed subsets have joins.

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Upper sets and (finite-bottomed) feet



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Upper sets and (finite-bottomed) feet



Lemma

(1) The upper sets form the (upper) Alexandroff topology αP .

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- (3) The feet are exactly the compact open sets w.r.t. αP .
- (4) The feet, ordered by \supseteq , form the free semilattice $F^{\uparrow}P$ over P.

The specialization order

Let X be a topological space.

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• The specialization order of X is given by

 $x \leq y \iff x \in \overline{\{y\}} \iff$ for all open $U(x \in U \Rightarrow y \in U)$.

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- The saturation of a subset Y ⊆ X is the intersection of all neighborhoods of Y; it is the upper set ↑Y generated by Y.
- The (neighborhood) core of a point x ∈ X is the principal filter ↑x, the intersection of all neighborhoods of x.

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- The (neighborhood) core of a point x ∈ X is the principal filter ↑x, the intersection of all neighborhoods of x.
- Dually, the point closure of x is the principal ideal $\downarrow x$.

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Monotonicity properties

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- X is a d-space if the closure of any directed subset is the closure of a unique point.
- X is monotone determined if a subset U is open whenever any monotone net converging to a point of U is eventually in U.

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A Min-Max characterization of Scott spaces

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A Min-Max characterization of Scott spaces

A subset U of a poset P = (X, ≤) is Scott open if for all directed sets D⊆P possessing a join, D ∩ U ≠ Ø ⇔ \/D ∈ U.

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Theorem (ME 2012)

On a domain, the Scott topology is the finest topology making it a monotone convergence space and the corsest topology making it a monotone determined space. Hence, the Scott spaces of domains are exactly the monotone determined monotone convergence spaces.

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Ideals, filters and prime elements

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- An element *p* of a qoset is prime if the complement of the principal ideal ↓*p* is a filter.
- A locale or frame is a complete lattice L satisfying the infinite distributive law a ∧ ∨ B = ∨ {a ∧ b : b ∈ B}.

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- A complete lattice is spatial if each of its elements is a meet of primes.

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Lemma

(1) Every spatial lattice is a frame, but not conversely.

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Lemma

- (1) Every spatial lattice is a frame, but not conversely.
- (2) The spatial lattices are, up to isomorphism, just the topologies.

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The Separation Lemma for Locales

• A complete lattice *L* enjoys the Strong Prime Element Theorem or the Separation Lemma (SL) if each element outside a Scott-open filter *U* in *L* is below a prime element outside *U*.

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Theorem (ME 1986, BB & ME 1993)

The Ultrafilter Principle (UP) and the Prime Ideal Theorem (PIT) are equivalent to the Separation Lemma for Locales (or Quantales).

The ultrafilter paradox



We shall not talk about ultrafilters, but only about the Separation Lemma!

Hyper- and supercompactness

• A subset C of a space X is hypercompact if $\uparrow C$ is a foot $\uparrow F$.

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- A subset C of a space X is hypercompact if $\uparrow C$ is a foot $\uparrow F$.
- A subset C of a space X is supercompact if $\uparrow C$ is a core $\uparrow x$.
- A space is compactly based resp. hypercompactly based resp. supercompactly based if it has a base of compact resp. hypercompact resp. supercompact open sets.

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- A space is compactly based resp. hypercompactly based resp. supercompactly based if it has a base of compact resp. hypercompact resp. supercompact open sets.
- A space is locally compact resp. locally hypercompact resp. locally supercompact if each point has a neighborhood base of compact resp. hypercompact resp. supercompact sets.

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Sober spaces

• A topological space is sober if each irreducible closed set is the closure of a unique point.

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Lemma

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(2) Scott spaces of domains are d-spaces but not always sober.
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The power of sobriety



A sober space and a non-sober neighborhood

Sobrification

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δ -sobriety and well-filtration

• The Scott-open filters of a poset P form the Lawson dual δP .

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δ -sobriety and well-filtration

- The Scott-open filters of a poset *P* form the Lawson dual δP .
- A T₀-space X is
 - δ -sober if each Scott-open filter of open sets (that is, each $\mathcal{V} \in \delta \mathcal{O}X$) contains all open neighborhoods of its intersection.

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 - well-filtered (resp. *H*-well-filtered) if for any filter base *B* of compact (resp. hypercompact) saturated sets, each open neighborhood of the intersection ∩ *B* contains a member of *B*.

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Theorem (ME 1979/2005, Hofmann & Mislove 1981)

(1) Every δ -sober space is sober and well-filtered.

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Theorem (ME 1979/2005, Hofmann & Mislove 1981)

- (1) Every δ -sober space is sober and well-filtered.
- (2) Every locally compact well-filtered space is δ -sober.

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Theorem (ME 1979/2005, Hofmann & Mislove 1981)

- (1) Every δ -sober space is sober and well-filtered.
- (2) Every locally compact well-filtered space is δ -sober.
- (3) X is δ -sober iff the locale OX enjoys the Separation Lemma.

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The power of δ -sobriety and Tychonoff's Theorem

Theorem (ME 2012)

Each of the following statements is equivalent to UP:

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- Every filter base of compact saturated sets in a sober space has a nonempty intersection.
- Every filter base of compact saturated sets in a sober space has a compact intersection.
- Tychonoff's Product Theorem for any class of sober spaces containing a two-element discrete space.

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Tychonoff's Product Theorem



Compact unit cube with a partial open covering

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The power of Rudin's Lemma

• For any system \mathcal{Y} of sets, each member of the system $\mathcal{Y}^{\#} = \{Z \subseteq \bigcup \mathcal{Y} : \forall Y \in \mathcal{Y} (Y \cap Z \neq \emptyset)\}$ is a transversal of \mathcal{Y} .

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- Theorem (ME 2012)

Each of the following statements is equivalent to **UP**:

• Every system of compact sets whose saturations form a filter base has an irreducible transversal.

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Theorem (ME 2012)

Each of the following statements is equivalent to **UP**:

- Every system of compact sets whose saturations form a filter base has an irreducible transversal.
- Rudin's Lemma: Every system of finite sets generating a filter base of feet has a directed transversal.

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- Every d-space is H-well-filtered.

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- Rudin's Lemma: Every system of finite sets generating a filter base of feet has a directed transversal.
- Every d-space is H-well-filtered.
- Every locally hypercompact d-space space is well-filtered.

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The power of Rudin's Lemma



A transversal for a filter base of feet

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Part II

Order-topological dualities

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Nets and filters



Coffee break?

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Order-topological dualities



Order-theoretical compactness and continuity

(5) Dualities for spaces and domains



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Compactness properties in posets

Let P be a poset and c an element of P.

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Let P be a poset and c an element of P.

• c is compact if $P \setminus \uparrow c$ is Scott closed.

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These definitions generalize the corresponding topological ones.

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• *P* is quasialgebraic if each principal filter is the intersection of a filterbase of Scott-open feet.

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- *P* is algebraic resp. hyperalgebraic if each of its elements is a directed join of compact resp. hypercompact elements.

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These definitions generalize the corresponding topological ones.

- *P* is quasialgebraic if each principal filter is the intersection of a filterbase of Scott-open feet.
- *P* is algebraic resp. hyperalgebraic if each of its elements is a directed join of compact resp. hypercompact elements.
- *P* is superalgebraic if it is complete and each of its elements is a join of supercompact elements.

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Continuity properties of domains

A domain P is

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Continuity properties of domains

- A domain P is
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A domain P is

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- quasicontinuous if each principal filter $\uparrow x$ is the intersection of a filterbase of feet having x in their Scott-interior.
- continuous if each principal filter ↑x is the intersection of a filterbase of cores having x in their Scott-interior.
- hypercontinuous if each element x is the directed join of $\{y \in P : \exists F \subset_{\omega} P \ (x \in L \setminus \downarrow F \subseteq \uparrow y)\}.$

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- hypercontinuous if each element x is the directed join of $\{y \in P : \exists F \subset_{\omega} P \ (x \in L \setminus \downarrow F \subseteq \uparrow y)\}.$
- supercontinuous if it is complete and each $x \in P$ is the join of $\{y \in P : \exists z \in P \ (x \in P \setminus \downarrow z \subseteq \uparrow y)\}.$

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$\delta\text{-continuous}$ domains

To avoid choice, we consider a variant of continuous domains:

 A poset P is called δ-continuous if each of its elements y is the directed join of all elements x for which there exists a U ∈ δP with y ∈ U ⊆ ↑x.

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Theorem (ME 2012; in **ZFC**, no δ : Lawson 1979)

The category of δ -continuous domains and δ -continuous maps is self-dual under the functor δ .

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Theorem (ME 2012; in **ZFC**, no δ : Lawson 1979)

The category of δ -continuous domains and δ -continuous maps is self-dual under the functor δ .

Remark (ME 2009) In a suitable terminology, the δ -continuous domains are the sober enveloped locally compact preframes.

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Some elementary order-theoretical facts

Lemma

(1) For domains, one has the following implications: $superalgebraic \Rightarrow hyperalgebraic \Rightarrow algebraic \Rightarrow quasialgebraic$ $\downarrow \qquad \downarrow \qquad \downarrow \qquad \downarrow \qquad \downarrow$ $supercontinuous \Rightarrow hypercontinuous \Rightarrow continuous \Rightarrow quasicontinuous.$
Some elementary order-theoretical facts

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Lemma

(1) For domains, one has the following implications: superalgebraic \Rightarrow hyperalgebraic \Rightarrow algebraic \Rightarrow quasialgebraic supercontinuous \Rightarrow hypercontinuous \Rightarrow continuous \Rightarrow quasicontinuous. (2) Every completely distributive complete lattice, satisfying $\bigwedge \{ \bigvee Y_i : i \in I \} = \bigvee \{ \bigwedge_{i \in I} x_i : x \in \prod_{i \in I} Y_i \}$ for arbitrary families of subsets Y_i , is supercontinuous. The converse is equivalent to **AC**.

(3) **DC** implies that every supercontinuous lattice is spatial.

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The converse is equivalent to **AC**.

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(4) Algebraic posets are δ -continuous.

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Order-theoretical compactness and continuity Dualities for spaces and domains Stone-type dualities

Mile-Stones of duality



Theorem (ME 2015)

Via the open set functor and the spectrum functor,

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- (1) the category of δ -continuous frames enjoying **SL** is dual to the category of δ -sober locally compact spaces,
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Replacing ' δ -sober' with 'sober' and 'prime ideal separated' with 'distributive' makes these facts equivalent to **UP**.

In **ZFC**, without δ and **SL**, (1) is due to Hofmann & Lawson (1978).

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Theorem (ME 1991/2012)

(1) In **ZF**, the category of algebraic domains is isomorphic to the category of supercompactly based sober spaces and dual to the category of superalgebraic frames.

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- (2) UP ⇔ the category of continuous domains is isomorphic to the category of locally supercompact δ-sober spaces and dual to the category of supercontinuous spatial frames.
- (3) UP ⇔ the category of quasialgebraic domains is isomorphic to the category of hypercompactly based δ-sober spaces and dual to the category of hyperalgebraic frames.
- (4) UP ⇔ the category of quasicontinuous domains is isomorphic to the category of locally hypercompact δ-sober spaces and dual to the category of hypercontinuous frames.

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The following hold in **ZFC** (set theory with the Axiom of Choice):

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- (3) The hypercompactly based sober spaces are exactly the compactly based Scott spaces of domains.

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Order-theoretical compactness and continuity Dualities for spaces and domains Stone-type dualities

Coherence for spaces

- A topological space is
 - coherent if finite intersections of compact upper sets are compact.

Order-theoretical compactness and continuity Dualities for spaces and domains Stone-type dualities

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- A topological space is
 - coherent if finite intersections of compact upper sets are compact.
 - open coherent if finite intersections of compact open sets are compact.
 - A spectral (hyperspectral, superspectral) space is a compactly (hypercompactly, supercompactly) based coherent sober space.

Lemma

For Stone spaces, i.e. compactly based sober spaces, the above two notions of coherence are equivalent.

Coherence for domains and lattices

- A poset *P* is quasicoherent if it is quasialgebraic and the Scott space Σ*P* is coherent.
- A complete lattice is coherent (hypercoherent, supercoherent) if it is algebraic (hyperalgebraic, superalgebraic) and finite meets of compact elements are compact.

Lemma

Not only every coherent, but even every algebraic complete lattice is quasicoherent.

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Order-theoretical compactness and continuity Dualities for spaces and domains Stone-type dualities

Finite prime decompositions

Let S be a meet-semilattice.

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• A prime ideal of S is a directed proper lower set whose complement is a subsemilattice.

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- *S* is an **fp** semilattice if each element is a finite meet of primes.
- *S* is an fpi semilattice if each principal ideal is a finite meet of prime ideals.
- A ring or semilattice S has property M_f if for any x ∈ S the set of prime ideals not containing x has only finitely many maximal members.

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Order-theoretical compactness and continuity Dualities for spaces and domains Stone-type dualities

Hyperspectral spaces and their duals

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- X is the spectrum of a hypercoherent frame.

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- X is the prime ideal spectrum of a ring with property M_{f} .

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In **ZFC**, the following statements are equivalent for a space X:

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- X is the spectrum of a hypercoherent frame.
- X is the prime ideal spectrum of a ring with property M_{f} .
- X is the prime ideal spectrum of a distributive lattice with M_f.
- X is the prime filter spectrum of an fpi lattice.

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Duality for quasicoherent domains

Theorem (ME 2009)

UP is equivalent to the following statements: The category of quasicoherent domains is isomorphic to the category of hyperspectral spaces and to the category of Priestley spaces with the Lawson topology.

These categories are dual to the category of hypercoherent frames (via the open set functor) and to the category of fpi lattices (via Priestley duality).

A similar isomorphism and duality holds for the categories of quasicoherent algebraic domains and superspectral spaces, with supercoherent frames and fp lattices as duals.

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Order-theoretical compactness and continuity Dualities for spaces and domains Stone-type dualities

Stone-type dualities



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Order-theoretical compactness and continuity Dualities for spaces and domains Stone-type dualities

Some classical dualities

Theorem Each of the following dualities is equivalent to **UP**:

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Each of the following dualities is equivalent to UP:

• The Hofmann-Lawson duality between δ -continuous frames and locally compact sober spaces.

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Theorem

Each of the following dualities is equivalent to UP:

- The Hofmann-Lawson duality between δ -continuous frames and locally compact sober spaces.
- The Hofmann-Lawson-Stralka duality between algebraic frames and Stone spaces (compactly based sober spaces).

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Theorem

Each of the following dualities is equivalent to UP:

- The Hofmann-Lawson duality between δ -continuous frames and locally compact sober spaces.
- The Hofmann-Lawson-Stralka duality between algebraic frames and Stone spaces (compactly based sober spaces).
- The Grätzer duality between distributive semilattices and Stone spaces.

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Theorem

Each of the following dualities is equivalent to UP:

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- The Hofmann-Lawson-Stralka duality between algebraic frames and Stone spaces (compactly based sober spaces).
- The Grätzer duality between distributive semilattices and Stone spaces.
- The Stone duality between bounded distributive lattices and spectral spaces.

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Theorem

Each of the following dualities is equivalent to UP:

- The Hofmann-Lawson duality between δ -continuous frames and locally compact sober spaces.
- The Hofmann-Lawson-Stralka duality between algebraic frames and Stone spaces (compactly based sober spaces).
- The Grätzer duality between distributive semilattices and Stone spaces.
- The Stone duality between bounded distributive lattices and spectral spaces.
- The Stone duality between boolean algebras and boolean spaces.

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The two categories in the upper row are equivalent and dual to the isomorphic categories in the lower row



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Order-theoretical compactness and continuity Dualities for spaces and domains Stone-type dualities

Open End: Miraculous topology everywhere



Thanks for your careful attention!

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