

# Pervin spaces

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# Outline

- (1) Motivations
- (2) Pervin spaces
- (3) Complete Pervin spaces
- (4) Completion of a Pervin space
- (5) Quotient maps
- (6) Duality results
- (7) Back to languages

**Disclaimer.** Most of the results of this lecture are standard, only the perspective might be new.

# References

Inspiring articles include:

- Several articles by J. ALMEIDA and by A. COSTA
- Á. CSÁSZÁR, *D*-completions of Pervin-type quasi-uniformities (1993)
- M. ERNÉ, Ideal Completions and Compactifications (2001)
- H.-P. A. KÜNZI, An introduction to quasi-uniform spaces (2009)
- W. J. PERVIN, Quasi-uniformization of topological spaces (1962)

# Part I

## Motivations

# Dual spaces in language theory

The set of **finite or infinite words** on  $A$  is

- The **dual space** of the Boolean algebra generated by the languages of the form  $uA^*$  where  $u$  is a word.
- The **completion** of the metric space  $A^*$ , equipped with the **prefix metric**.

The **free profinite monoid** on  $A$  is

- The **dual space** of the Boolean algebra of **regular languages**.
- The **completion** of the metric space  $A^*$ , equipped with the **profinite metric**.

# Dual spaces in language theory

The space  $A^* \cup \{\infty\}$  is

- The **dual space** of the Boolean algebra of **finite or cofinite languages**.
- The **completion** of the metric space  $A^*$ , equipped with  $d(u, v) = 2^{-\min\{|u|, |v|\}}$ .

The **Stone-Čech compactification**  $\beta A^*$  of  $A^*$  is

- The **dual space** of the Boolean algebra of **all languages**.
- The **completion** of the uniform space  $A^*$ , equipped with the **discrete uniform structure**.

# Equational description of languages

The **Boolean algebra** generated by the languages of the form  $uA^*$  can be defined by the profinite equations  $x^\omega y = x^\omega$ .

**Finite-cofinite languages** can be defined by the profinite equations  $x^\omega y = x^\omega = yx^\omega$ .

and many more...

▷ In the profinite monoid,  $x^\omega$  is defined as the limit of the Cauchy sequence  $x^n$ .

## Theorem (GGP 08)

Every *Boolean algebra* of *regular* languages of  $A^*$  can be defined by a set of equations of the form  $u = v$ , where  $u, v$  are *profinite words*.

## Theorem (GGP 10)

Every *Boolean algebra* of  $A^*$  can be defined by a set of equations of the form  $u = v$ , where  $u, v \in \beta A^*$ .



# Motivations

Is it possible to extend these results to **concrete Boolean algebras**? More precisely:

- Can one obtain the dual of a **Boolean algebra** as a **completion** of some sort?
- What about **distributive lattices** and **Stone-Priestley duality**?
- Is there a way to extend the **equational approach** to the lattice case?

# Motivations

Is it possible to extend these results to **concrete Boolean algebras**? More precisely:

- Can one obtain the dual of a **Boolean algebra** as a **completion** of some sort?
- What about **distributive lattices** and **Stone-Priestley duality**?
- Is there a way to extend the **equational approach** to the lattice case?

**Answer:** yes, use the **completion** of a **Pervin space**!

# Part II

## Pervin spaces



A **Pervin space** is a pair  $(X, \mathcal{L})$  where  $\mathcal{L}$  is a lattice of subsets of  $X$ .

The elements of  $\mathcal{L}$  are called the **blocks** of the Pervin space.

A **morphism** of Pervin spaces is a map between two Pervin spaces such that the **inverse image** of each **block** is a **block**.

# Examples of Pervin spaces

Examples on  $X = \{0, 1\}$

- Boolean space  $\mathbb{B}$ :  $\mathcal{L} = \{\emptyset, \{0\}, \{1\}, \{0, 1\}\}$
- Sierpiński space  $\mathbb{S}$ :  $\mathcal{L} = \{\emptyset, \{1\}, \{0, 1\}\}$ .

Examples on  $X = \mathbb{N}$

- $\mathcal{L} = \{\emptyset\} \cup \{\text{cofinite subsets of } \mathbb{N}\}$ .
- $\mathcal{L} = \{\mathbb{N}\} \cup \{\text{finite subsets of } \mathbb{N}\}$ .
- $\mathcal{L} = \{\text{finite/cofinite subsets of } \mathbb{N}\}$ .

# The three faces of a Pervin space



- Partially ordered set
- Topological space
- Quasi-uniform space

# The three faces

**Partially ordered set.**  $x \leq y$  iff, for each block  $L$ ,

$$x \in L \Rightarrow y \in L$$

**Topological space.** The blocks of a Pervin space form a basis of its topology. Therefore, the open sets are the (possibly infinite) union of blocks.

**Quasi-uniform space.** The sets

$$U_L = \{(x, y) \in X \times X \mid x \in L \Rightarrow y \in L\}$$

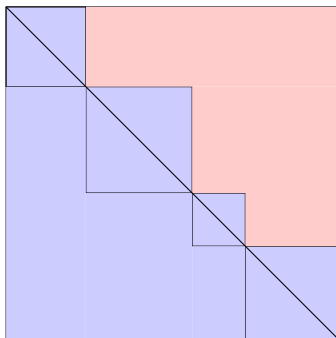
form a subbasis of its quasi-uniformity.

# Quasi-uniform spaces

A **quasi-uniformity** on a set  $X$  is a nonempty set  $\mathcal{U}$  of subsets of  $X \times X$  (the **entourages**) satisfying the following properties:

- (1) Every **subset** of  $X \times X$  containing an entourage is an entourage,
- (2) the **intersection** of any two entourages contains an entourage,
- (3) each entourage contains the **diagonal** of  $X \times X$ ,
- (4) for each entourage  $U$ , there exists an entourage  $V$  such that  $V \circ V \subseteq U$ .

# The entourages of a Pervin space





## Theorem

A quasi-uniform space is a *Pervin space* iff it is *transitive* and *totally bounded*.

- A quasi-uniformity is *transitive* if it has a basis consisting of transitive entourages.
- A quasi-uniform space  $X$  is *totally bounded* if, for each entourage  $U$ , there exists a *finite cover*  $\mathcal{C}$  of  $X$  such that  $C \times C \subseteq U$  for each  $C \in \mathcal{C}$ .

# The category of Pervin spaces

**Definition.** A function  $f : X \rightarrow Y$  is **uniformly continuous** if, for each block  $L$  of  $Y$ ,  $f^{-1}(L)$  is a block of  $X$ .

Any **uniformly continuous** map is **continuous** and **increasing**, but the converse is not true.

Pervin spaces together with uniformly continuous maps form the **category Perv** of Pervin spaces.

**Most** standard categorical notions extend smoothly from topological spaces to Pervin spaces (products, coproducts, etc.).

## Preorders on a Pervin space $(X, \mathcal{L})$

Preorder:  $x \leq y$  iff, for each block  $L$ ,

$$x \in L \Rightarrow y \in L$$

Specialisation preorder:  $x \leq_s y$  if and only if  $y$  belongs to every open set that contains  $x$ .

Uniform preorder:  $x \leq_u y$  iff  $(x, y)$  belongs to all the entourages.

In a Pervin space, these three preorders coincide.

Equivalence relation:  $x \sim y$  iff, for each block  $L$ ,

$$x \in L \Leftrightarrow y \in L$$

# The Kolmogorov quotient of a Pervin space $(X, \mathcal{L})$

## Proposition (trivial)

*The following conditions are equivalent:*

- (1)  $\leq$  is an order,
- (2)  $\sim$  is the equality relation,
- (3)  $X$  is a *Kolmogorov* ( $T_0$ ) space.

The quotient space  $(X/\sim, \mathcal{L}/\sim)$  is the *Kolmogorov quotient* of the Pervin space  $(X, \mathcal{L})$ .

## Proposition

Let  $\mathcal{L}$  be a *Boolean algebra* of subsets of  $X$ . Are equivalent:

- (1) *The associated Pervin space is metrizable,*
- (2) *The uniformity has a countable basis,*
- (3)  $\mathcal{L}$  *is countable.*

Similar results for *lattices/hemi-metrizability*.

Warning! Having a countable basis for the *topology* does not suffice.

# Part III

## Complete Pervin spaces

# The symmetrical topology

Let  $(X, \mathcal{L})$  be a Pervin space. Let  $\mathcal{L}^s$  be the Boolean algebra generated by  $\mathcal{L}$  and let

$$D(\mathcal{L}) = \{L_1 - L_0 \mid L_0, L_1 \in \mathcal{L}\}.$$

## Proposition (Hausdorff)

*The Boolean algebra  $\mathcal{L}^s$  consists of the finite unions of elements of  $D(\mathcal{L})$ .*

Then  $(X, \mathcal{L}^s)$  is a Pervin space. Its topology is the symmetrical topology on  $X$  defined by  $\mathcal{L}$ .

# Cauchy filters and complete Pervin spaces

Let  $(X, \mathcal{L})$  be a Pervin space.

**Definition.** A filter  $\mathcal{F}$  on  $X$  is **Cauchy** if, for every  $L \in \mathcal{L}$ , either  $L \in \mathcal{F}$  or  $L^c \in \mathcal{F}$ .

**Fact.** A **cluster** point of a Cauchy filter is a **limit** point.

**Definition.** A Pervin space is **complete** if every Cauchy filter converges in the **symmetrical topology**.



## Theorem

Let  $(X, \mathcal{L})$  be a Pervin space. Are equivalent:

- (1)  $(X, \mathcal{L})$  is *complete*,
- (2)  $(X, \mathcal{L}^s)$  is *complete*,
- (3)  $(X, \mathcal{L}^s)$  is *compact*.

If these conditions are satisfied, then  $(X, \mathcal{L})$  is *compact*.

However a compact Pervin space needs not to be complete: take  $\mathbb{N}$  with the lattice of *cofinite sets*.

# Specifications for the completion of a Pervin space

A **completion** of a Pervin space  $(X, \mathcal{L})$  is a **complete Kolmogorov Pervin space**  $(\hat{X}, \hat{\mathcal{L}})$  together with a uniformly continuous map  $\iota: X \rightarrow \hat{X}$  satisfying the following universal property:

for each uniformly continuous map  $\varphi: X \rightarrow Y$ , where  $Y$  is a complete Kolmogorov Pervin space, there exists a **unique** uniformly continuous map  $\hat{\varphi}: (\hat{X}, \hat{\mathcal{L}}) \rightarrow (Y, \mathcal{V})$  and such that  $\hat{\varphi} \circ \iota = \varphi$ .

This implies **unicity** (up to isomorphism), but not **existence**!

# Part IV

## Building the completion

## Valuations on a lattice of subsets $\mathcal{L}$

A **valuation** is a lattice morphism from  $\mathcal{L}$  to the Boolean algebra  $\{0, 1\}$ .

Thus a map  $v : \mathcal{L} \rightarrow \{0, 1\}$  is a valuation if, for all  $L_1, L_2 \in \mathcal{L}$ ,

- (1)  $v(\emptyset) = 0, v(X) = 1,$
- (2)  $v(L_1 \cap L_2) = v(L_1)v(L_2),$
- (3)  $v(L_1 \cup L_2) = v(L_1) + v(L_2).$

where the sum and the product are the Boolean operations.

# Valuations and prime filters are the same things

If  $v : \mathcal{L} \rightarrow \{0, 1\}$  is a **valuation**, then the set  $v^{-1}(1)$  is a **prime filter**.

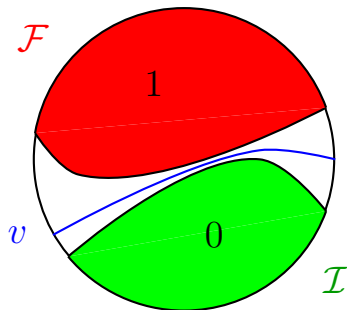
If  $\mathcal{P}$  is a **prime filter** of  $\mathcal{L}$ , then its characteristic function

$$v(L) = \begin{cases} 1 & \text{if } L \in \mathcal{P} \\ 0 & \text{otherwise} \end{cases}$$

is a **valuation**.

# All you need are separations results (M. Erné)

**Theorem.** Let  $\mathcal{I}$  be an ideal of  $\mathcal{L}$  and let  $\mathcal{F}$  be a filter of  $\mathcal{L}$  disjoint from  $\mathcal{I}$ . Then there is a valuation  $v$  on  $\mathcal{L}$  such that  $v = 1$  on  $\mathcal{F}$  and  $v = 0$  on  $\mathcal{I}$ .



## Other separations results

Let  $\mathcal{I}$  be an **ideal** of  $\mathcal{L}$  and let  $L$  be an element of  $\mathcal{L} - \mathcal{I}$ . Then there is a **valuation**  $v$  on  $\mathcal{L}$  such that  $v(L) = 1$  and  $v = 0$  on  $\mathcal{I}$ .

Let  $\mathcal{K}$  be a **sublattice** of a lattice  $\mathcal{L}$  and let  $v$  be a valuation on  $\mathcal{K}$ . Then there exists a **valuation** on  $\mathcal{L}$  whose restriction to  $\mathcal{K}$  coincides with  $v$ .

Let  $\mathcal{K}$  be a **sublattice** of a lattice  $\mathcal{L}$  and let  $L$  be an element of  $\mathcal{L} - \mathcal{K}$ . Then there exist **two valuations**  $v_0$  and  $v_1$  on  $\mathcal{L}$  such that  $v_0(L) = 0$ ,  $v_1(L) = 1$  and  $v_1 \leq v_0$  on  $\mathcal{K}$ . Moreover, if  $\mathcal{K}$  is a Boolean algebra, then  $v_0 = v_1$  on  $\mathcal{K}$ .

# Completion of a Pervin space $(X, \mathcal{L})$

Let  $\widehat{X}$  be the set of **all valuations** on  $\mathcal{L}$ .

For each  $L \in \mathcal{L}$ , let

$$\widehat{L} = \{v \text{ is a valuation such that } v(L) = 1\}$$

## Theorem

*The **completion** of a Pervin space  $(X, \mathcal{L})$  is the Pervin space  $(\widehat{X}, \widehat{\mathcal{L}})$ , where  $\widehat{\mathcal{L}}$  is the **lattice** of subsets of  $\widehat{X}$  defined by  $\widehat{\mathcal{L}} = \{\widehat{L} \mid L \in \mathcal{L}\}$ .*



## Embedding $(X, \mathcal{L})$ into $(\widehat{X}, \widehat{\mathcal{L}})$

For each  $x \in X$ , let  $v_x$  be the **valuation** defined by

$$v_x(L) = \begin{cases} 1 & \text{if } x \in L \\ 0 & \text{if } x \notin L \end{cases}$$

If  $\leq$  is an order (that is, if  $(X, \mathcal{L})$  is **Kolmogorov**), the map  $x \rightarrow v_x$  defines an **injective** and **uniformly continuous** embedding from  $(X, \mathcal{L})$  into  $(\widehat{X}, \widehat{\mathcal{L}})$ . Furthermore,  $X$  is **dense** in  $\widehat{X}$ .

# Uniformly continuous extensions

## Theorem

Every *uniformly continuous* map  $f : X \rightarrow Y$  admits a *unique uniformly continuous extension*  $\widehat{f} : \widehat{X} \rightarrow \widehat{Y}$ , given by  $\widehat{f}(v)(L) = v(f^{-1}(L))$ .

## Corollary

Let  $f_1$  and  $f_2$  be two *uniformly continuous* maps from  $X$  to  $Y$ . If  $f_1 \leq f_2$ , then  $\widehat{f}_1 \leq \widehat{f}_2$ .

## Towards duality

If  $\mathcal{K}$  is a **sublattice** of  $\mathcal{L}$ , then the identity on  $X$  is a uniformly continuous map from  $(X, \mathcal{L})$  to  $(X, \mathcal{K})$ .

**Highly wanted property:** the **completion** of the identity is a **quotient map** from  $\widehat{(X, \mathcal{L})}$  onto  $\widehat{(X, \mathcal{K})}$ .

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**Small problem.** According to the literature on quasi-uniform spaces, the **Sierpiński space** is **not** a quotient of the **Boolean space**.

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**Highly wanted property:** the **completion** of the identity is a **quotient map** from  $\widehat{(X, L)}$  onto  $\widehat{(X, K)}$ .

**Small problem.** According to the literature on quasi-uniform spaces, the **Sierpiński space** is **not** a quotient of the **Boolean space**.

**Solution.** Change the definition!

# Part V

## Quotient maps

# Final maps

The solution is to consider the category **Perv** of **Pervin spaces** over the category of **preordered sets**.

## Definition

A uniformly continuous map  $v : X \rightarrow Y$  in **Perv** is **final** if, for each Pervin space  $Z$ , any **increasing** map  $\psi : Y \rightarrow Z$  such that  $\psi \circ v$  is uniformly continuous is uniformly continuous.

A **quotient map** is a surjective final map.

# Characterizations of final maps

Let  $\varphi : X \rightarrow Y$  be a uniformly continuous map. Are equivalent:

- (1)  $\varphi$  is a **final map**,
- (2) for any **upset**  $L$  of  $Y$  such that  $\varphi^{-1}(L)$  is a block of  $X$ ,  $L$  is a block of  $Y$ .
- (3) every **increasing map**  $\psi$  from  $Y$  to the **Sierpiński space** such that  $\psi \circ \varphi$  is uniformly continuous is also uniformly continuous.

**Example:** The identity map is a **quotient map** from  $\mathbb{B}$  onto  $\mathbb{S}$ .



## Proposition

*A uniformly continuous map is **final** iff it is a **co-inequalizer** of some pair of uniformly continuous maps.*

# Co-inequalizers

Let  $Z \begin{matrix} \xrightarrow{f} \\ \xrightarrow{g} \end{matrix} Y$  be a pair of morphisms. A morphism  $h : Y \rightarrow X$  is called a **co-inequalizer** of  $f$  and  $g$  if the following conditions hold:

- (1)  $h \circ f \leq h \circ g$ ,
- (2) for every morphism  $h' : Y \rightarrow X'$  such that  $h' \circ f \leq h' \circ g$ , there exists a **unique** morphism  $\bar{h} : X \rightarrow X'$  such that  $h' = \bar{h} \circ h$ .

$$\begin{array}{ccccc} Z & \begin{matrix} \xrightarrow{f} \\ \xrightarrow{g} \end{matrix} & Y & \xrightarrow{h} & X \\ & & & & \downarrow \bar{h} \\ & & & \searrow h' & X' \end{array}$$

# The highly wanted result

## Theorem

If  $\mathcal{K}$  is a sublattice of  $\mathcal{L}$ , then the identity on  $X$  is a uniformly continuous map from  $(X, \mathcal{L})$  to  $(X, \mathcal{K})$  and its completion is a quotient map from  $\widehat{(X, \mathcal{L})}$  onto  $\widehat{(X, \mathcal{K})}$ .

# Part VI

## Duality results

## Theorem

Let  $(X, \mathcal{L})$  be Kolmogorov Pervin space that is compact for the symmetrical topology and let  $L$  be a subset of  $X$ . Then the following conditions are equivalent:

- (1)  $L$  is a *block* of  $\mathcal{L}$ ,
- (2)  $L$  is *compact open* in  $(X, \mathcal{L})$ ,
- (3)  $L$  is an *upset* in  $(X, \mathcal{L})$  and is *clopen* in  $(X, \mathcal{L}^s)$ ,
- (4)  $L$  is an *upset* in  $(X, \mathcal{L})$  and a *block* in  $(X, \mathcal{L}^s)$ .

## Theorem

Let  $(X, \mathcal{L})$  be a *Kolmogorov Pervin space*. If  $(X, \mathcal{L}^s)$  is *compact*, then  $(X, \mathcal{L})$  is *spectral*.

A partial preorder is *directed-complete* if each of its directed subsets has a supremum.

## Theorem

Let  $(X, \mathcal{L})$  be a *Kolmogorov Pervin space*. If  $(X, \mathcal{L}^s)$  is *compact*, then the preorder of  $(X, \mathcal{L})$  is *directed-complete*.

# Duality results

## Theorem (Duality theorem)

The lattice  $\widehat{\mathcal{L}}$  is the set of all *compact open* subsets of  $\widehat{X}$ . In particular,  $\widehat{X}$  is *compact*.

Moreover, the maps  $L \mapsto \widehat{L}$  and  $K \mapsto K \cap X$  are *mutually inverse lattice isomorphisms* between  $\mathcal{L}$  and  $\widehat{\mathcal{L}}$ .

## Corollary

The *completion* of the Pervin space  $(X, \mathcal{L})$  is equal to the *Stone dual* of  $\mathcal{L}$ .

# Inequations

Let  $(X, \mathcal{L})$  be a Pervin space, let  $L$  be a block of  $X$  and let  $(v, w)$  be a pair of valuations on  $\mathcal{L}$ . Then  $L$  satisfies the  $\mathcal{L}$ -inequation  $v \leq w$  if  $v(L) \leq w(L)$ .

Given a set  $S$  of inequations,  $L$  satisfies  $S$  if it satisfies all the inequations of  $S$ .

A set of blocks  $\mathcal{K}$  satisfies the inequation  $v \leq w$  if  $v(K) \leq w(K)$  for all  $K \in \mathcal{K}$ . Similarly,  $\mathcal{K}$  satisfies  $S$  if it satisfies all the inequations of  $S$ .

Finally, the set of all blocks satisfying  $S$  is called the set of blocks defined by  $S$ .



## Theorem

Let  $(X, \mathcal{L})$  be a Pervin space. A set of blocks of  $X$  is a *sublattice* of  $\mathcal{L}$  iff it can be *defined* by a *set of  $\mathcal{L}$ -inequations*.

# Part VII

## Back to languages

## Theorem (GGP 08)

A set of *regular* languages of  $A^*$  is a *lattice* iff it can be defined by a set of inequations of the form  $u \leq v$ , where  $u, v$  are *profinite words*.

## Theorem (GGP 10)

A set of languages of  $A^*$  is a *lattice* iff it can be defined by a set of equations of the form  $u \leq v$ , where  $u, v \in \beta A^*$ .

# Syntactic preorder

The **syntactic preorder** of a language  $L$  of  $A^*$  is the relation  $\leq_L$  defined on  $A^*$  by  $u \leq_L v$  iff, for every  $x, y \in A^*$ ,  $xy \in L \Rightarrow xvy \in L$ .

Let  $\mathcal{L}$  be the **lattice** generated by the **quotients** of a language  $L$ .

The preorder of the Pervin space  $(A^*, \mathcal{L})$  is the **syntactic preorder** of  $L$  and  $\sim$  is its **syntactic congruence**.