Betweenness and equidistance in metric spaces Summer Topology and its Applications

Paul Bankston, Aisling McCluskey

University of Coimbra

July 8, 2024

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# The building blocks

Given a metric space  $X = \langle X, \rho \rangle$  and points  $a, b \in X$ , we make the following basic definitions.

• The **interval** I(a, b), with *bracket points a* and *b*, is the set  $\{x \in X : \rho(a, b) = \rho(a, x) + \rho(x, b)\}$ .

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 The equiset E(a, b), with cocenters a and b, is the set {x ∈ X : ρ(x, a) = ρ(x, b)} of points equidistant from a and b.

Recall:  $x \in I(a, b)$  means  $\rho(a, x) + \rho(x, b) = \rho(a, b)$ .

#### Example

Define for the unit circle S in  $\mathbb{R}^2$  with  $a, b \in S$  a metric  $\rho$  as follows:

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If a and b are two antipodal points on S, then I(a, b) = S

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If a and b are two antipodal points on S, then I(a, b) = S while for any third point c on S,  $I(a, c) \cup I(c, b)$  is a proper subset of S.

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If instead we use the usual Euclidean metric on S, then  $I(a, b) = \{a, b\}$  for any  $a, b \in S$ .

### Metric matters

Given  $(x, y), (u, v) \in \mathbb{R}^2$ , define the taxicab metric  $\rho$  on  $\mathbb{R}^2$  as follows:

$$\rho((x, y), (u, v)) = |x - u| + |y - v|.$$

## Normed vector spaces $\langle X, \| \cdot \| \rangle$ over $\mathbb{R}$

Here the metric on X is induced by the norm in the usual way:

$$p(x,y) := \|x - y\|$$

Define, for points *a* and *b*, the **linear interval** bracketed by these points to be the line segment  $[a, b] := \{ta + (1-t)b : 0 \le t \le 1\}$ .

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The metric interval I(a, b) is called **linear** if it equals  $[\![a, b]\!]$ .

## Betweenness and nearness

Each equiset E(a, b) gives rise to a division of X into two subsets, called *comparative nearness regions*.

The comparative nearness region R(a, b), with center a and off-center b, is the set {x ∈ X : ρ(x, a) ≤ ρ(x, b)} of points at least as near to a as to b.

Then  $E(a, b) = R(a, b) \cap R(b, a)$ .

Metric intervals and nearness regions are closed subsets of the given metric space.

## An axiomatic perspective

We single out a first-order predicate language whose atomic formulas are equalities and formulas of the form I(y, x, z) and R(x, y, z), where I and R are ternary relation symbols.

Interpret I(y, x, z) as  $x \in I(y, z)$ 

and R(x, y, z) as  $x \in R(y, z)$ .

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We define an **IR-structure** to be a triple  $\langle X, I, R \rangle$ , where I and R are arbitrary ternary relations on X.

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 as  $x \in I(y, z)$ 

and R(x, y, z) as  $x \in R(y, z)$ .

We define an **IR-structure** to be a triple  $\langle X, I, R \rangle$ , where *I* and *R* are arbitrary ternary relations on *X*. An IR-structure is **metric** if its *I*- and *R*-relations arise from a metric as described above.

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- (I1, Inclusivity)  $I(x, x, y) \wedge I(x, y, y) : a, b \in I(a, b)$
- (I2, Symmetry)  $I(y, x, z) \rightarrow I(z, x, y)$  : I(a, b) = I(b, a)

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(I3, Uniqueness)  $I(y, x, y) \rightarrow x = y$  :  $I(a, a) = \{a\}$ 

(11, Inclusivity)  $I(x, x, y) \land I(x, y, y) : a, b \in I(a, b)$ (12, Symmetry)  $I(y, x, z) \rightarrow I(z, x, y) : I(a, b) = I(b, a)$ (13, Uniqueness)  $I(y, x, y) \rightarrow x = y : I(a, a) = \{a\}$ (14, Antisymmetry)  $(I(y, x, z) \land I(y, z, x)) \rightarrow x = z : c \in I(a, b)$  and  $b \in I(a, c) \rightarrow b = c$ 

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(I1, Inclusivity)  $I(x, x, y) \land I(x, y, y) : a, b \in I(a, b)$ (I2, Symmetry)  $I(y, x, z) \rightarrow I(z, x, y) : I(a, b) = I(b, a)$ (I3, Uniqueness)  $I(y, x, y) \rightarrow x = y : I(a, a) = \{a\}$ (I4, Antisymmetry)  $(I(y, x, z) \land I(y, z, x)) \rightarrow x = z : c \in I(a, b)$  and  $b \in I(a, c) \rightarrow b = c$ (I5, Transitivity)  $(I(y, w, x) \land I(y, x, z)) \rightarrow I(y, w, z) : I(a, c) \subseteq I(a, b)$  whenever  $c \in I(a, b)$ 

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(I1, Inclusivity)  $I(x, x, y) \wedge I(x, y, y) : a, b \in I(a, b)$ (12, Symmetry)  $I(y, x, z) \rightarrow I(z, x, y)$  : I(a, b) = I(b, a)(13, Uniqueness)  $I(y, x, y) \rightarrow x = y$  :  $I(a, a) = \{a\}$ (I4, Antisymmetry)  $(I(y, x, z) \land I(y, z, x)) \rightarrow x = z$ :  $c \in I(a, b)$  and  $b \in I(a, c) \rightarrow b = c$ (I5, Transitivity)  $(I(y, w, x) \land I(y, x, z)) \rightarrow I(y, w, z)$ :  $I(a, c) \subseteq I(a, b)$  whenever  $c \in I(a, b)$ (16, Concentration)  $(I(y, x, z) \land I(y, w, x) \land I(x, w, z)) \rightarrow w = x : \text{if } c \in I(a, b)$ then  $I(a, c) \cap I(c, b) = \{c\}$ 

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### The order within

Write  $x \leq_{y} z$  for I(y, x, z): then I4 looks like usual antisymmetry,

 $(x \leqslant_y z \land z \leqslant_y x) \to x = z,$ 

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$$(w \leqslant_y x \land x \leqslant_y z) \to w \leqslant_y z.$$

Moreover, if  $\langle X, I, R \rangle$  satisfies l1–l5, then each binary relation  $\leq_a$ ,  $a \in X$ , is a partial ordering on X, with unique least element a.

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Axioms emphasising betweenness (continued)

(I7, Convexity)

 $I(c,d) \subseteq I(a,b)$  for all  $c,d \in I(a,b)$ 



Axioms emphasising betweenness (continued)

(I7, Convexity)

 $I(c,d) \subseteq I(a,b)$  for all  $c,d \in I(a,b)$ 

(18, Weak Disjunctivity)

 $I(a,b) \subseteq I(a,c) \cup I(c,b)$  for  $c \in I(a,b)$ 

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Theorem (D. Anderson, P. Bankston, Mc C 2023) In an IR-structure satisfying I1–I5, every weakly disjunctive interval is convex.

## Strict convexity in normed vector spaces

#### Definition

A normed vector space  $\langle X, \| \cdot \| \rangle$  is said to be *strictly convex* if the unit sphere  $S_X = \{x \in X : \|x\| = 1 \text{ has 'no flat spots' i.e. contains no line segment <math>[a, b]$  for any  $a, b \in S_X$ .

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#### Example

Fix  $1 \leq p < \infty$ , and let  $\mathbb{R}_p^2$  be the vector space  $\mathbb{R}^2$ , equipped with the *p*-norm

$$\|\langle x, y \rangle\|_{p} := (|x|^{p} + |y|^{p})^{\frac{1}{p}}.$$

We also define the  $\infty$ -norm, given by

$$\|\langle x,y \rangle\|_{\infty} := \max\{|x|,|y|\}$$

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## Convexity in normed vector spaces

Theorem (P. Bankston, R. Smyth, McC 2018)

A normed vector space X is strictly convex if and only if all (metric) intervals are linear.

#### Corollary

Let X be a normed vector space. The following three conditions are equivalent.

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- (a) The norm of X arises from an inner product.
- (b) For each  $x, y \in X$ , E(x, y) is convex.
- (c) For each  $x, y \in X$ , R(x, y) is convex.

## Special case of dimension two

In dimension two we can be quite explicit about the shape of intervals.

#### Theorem

Let  $X = \langle \mathbb{R}^2, \| \cdot \| \rangle$  be a normed plane that is not strictly convex, with p, q distinct extreme points of  $B_X$ , such that  $\llbracket p, q \rrbracket \subseteq S_X$ . Fix  $a \in \llbracket p, q \rrbracket$ , and fix unique  $\alpha, \beta \in [0, \infty)$  so that  $\alpha + \beta = 1$  and  $a = \alpha p + \beta q$ . Let P be the parallelogram  $\{\alpha' p + \beta' q : 0 \leq \alpha' \leq \alpha, 0 \leq \beta' \leq \beta\}$  (a line segment if and only if  $a \in \{p, q\}$ ).

Then I(0, a) = P; in particular, when  $a \notin \{p, q\}$  then I(0, a) is a parallelogram with  $[\![0, a]\!]$  as one of its two diagonals. Furthermore, if  $a \in [\![p, q]\!] \setminus \{p, q\}$  then M(0, a) is a nondegenerate line segment parallel to  $[\![p, q]\!]$ .

# Some general results for normed vector spaces

Theorem Every normed plane is I-convex.



# Some general results for normed vector spaces

#### Theorem

Every normed plane is I-convex.

The following is one of several characterisations of normed vector space properties purely in terms of abstract betweenness, equidistance and comparative nearness:

#### Theorem

Equisets and nearness regions in a normed vector space are convex precisely when the norm arises from an inner product.

# Where next? Intervals in metric hyperspaces

Notions of linear and metric betweenness make sense for sets as well as for points.

#### Definition

Let A and B be nonempty subsets of a vector space X. Then  $C \subseteq X$  is linearly between A and B if there is a scalar  $0 \leq t \leq 1$  such that C = (1 - t)A + tB.

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In the metric case, if A, B and C are also compact, the metric  $\rho$  on X gives rise to the Hausdorff metric  $\rho_H$  for such sets, and we say that a compact set C is metrically between A and B if  $\rho_H(A, C) + \rho_H(C, B) = \rho_H(A, C)$ .

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• Inclusivity:  $[\![A, A]\!] = \{A\}$  if and only if A is linearly convex.

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- Inclusivity:  $[\![A, A]\!] = \{A\}$  if and only if A is linearly convex.
- Transitivity: note that if A = {a<sub>1</sub>, a<sub>2</sub>} and B = {b} are subsets of the real plane such that a<sub>1</sub>, a<sub>2</sub>, b are distinct, then each C ∈ [A, B] \ B is a doubleton set;

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- Transitivity and weak disjuntivity (+ basic) imply convexity.

Comparing linear intervals with metric intervals in the hyperspace KL(X)

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Recall:  $C \in \llbracket A, B \rrbracket$  if there is a scalar  $0 \le t \le 1$  such that C = (1 - t)A + tB.

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Recall:  $C \in \llbracket A, B \rrbracket$  if there is a scalar  $0 \le t \le 1$  such that C = (1 - t)A + tB.

#### Theorem

Let X be a normed vector space, with A a singleton set and B compact. Then  $[\![A, B]\!] \subseteq [\![A, B]\!]$ .

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Theorem (D. Anderson, P. Bankston, McC 2023) Let X be a normed vector space, with A,  $B \subseteq X$ . Then  $\llbracket A, B \rrbracket \subseteq \llbracket A, B \rrbracket$ . Obrigado

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Thank you very much

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