

Mixing composition operators and Kitai's criterion

Karl Grosse-Erdmann

Département de Mathématique
Université de Mons
Belgium

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**SUMTOPO
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University of Coimbra, Portugal

Joint work with Daniel Gomes (Campinas, Brazil)

Concepts in linear dynamics

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$$T : X \rightarrow X$$

a (continuous, linear) operator.

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A vector $x \in X$ is called **hypercyclic** for T if its **orbit**

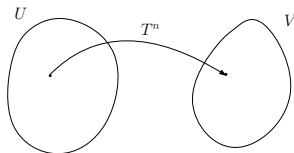
$$\text{orb}(x, T) = \{x, Tx, T^2x, \dots\} \text{ is dense in } X.$$

Then T is called a **hypercyclic** operator.

Concepts in linear dynamics

By **Birkhoff**, T is hypercyclic if and only if T is **topologically transitive**, that is, for any non-empty open sets $U, V \subset X$, there is $n \in \mathbb{N}$ such that

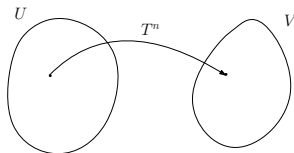
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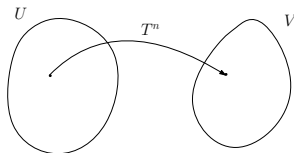


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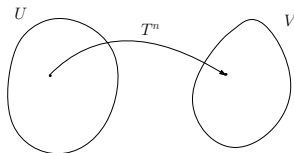
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It's equivalent to saying that each subsequence $(T^{n_k})_k$ admits a dense orbit.

Concepts in linear dynamics

The first sufficient condition for hypercyclicity:

Theorem (Kitai, thesis 1982)

Suppose that there are dense subsets $X_0, Y_0 \subset X$ and a mapping $S : Y_0 \rightarrow Y_0$ such that

- 1 $\forall x \in X_0, T^n x \rightarrow 0;$
- 2 $\forall y \in Y_0, S^n y \rightarrow 0;$
- 3 $\forall y \in Y_0, TSy = y.$

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S. Grivaux (2005): **NO!**

Classes of hypercyclic operators

The first hypercyclic operator on a Banach space is due to [Rolewicz](#):

$$T : X \rightarrow X, (x_n)_n \rightarrow (x_{n+1})_n$$

where

$$X = \ell^p_{(|\lambda|^{-n})_n} = \left\{ (x_n)_{n \geq 0} : \sum_{n=0}^{\infty} |x_n|^p \frac{1}{|\lambda|^n} < \infty \right\}, \quad |\lambda| > 1, 1 \leq p < \infty.$$

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Since then, many composition operators have turned out to be hypercyclic:

- backward shift operators on sequence spaces
- composition operators on spaces of analytic functions
- composition operators on spaces of continuous functions

And for many of these classes, hypercyclicity has been characterized.

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T_f is *hypercyclic* if and only if, for every measurable set A of finite measure there are $(n_k)_k$ and measurable subsets $B_k \subset A$ such that

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Answer: **NO!**

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Theorem (Gomes, G-E)

There is a σ -finite measure space (X, \mathcal{B}, μ) and a composition operator

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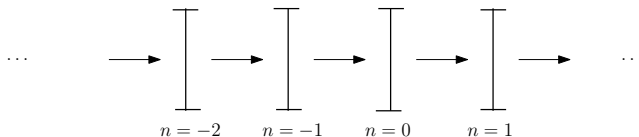
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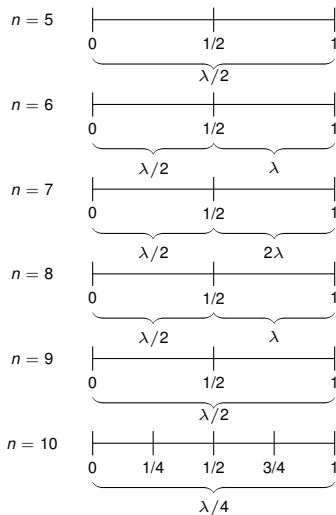
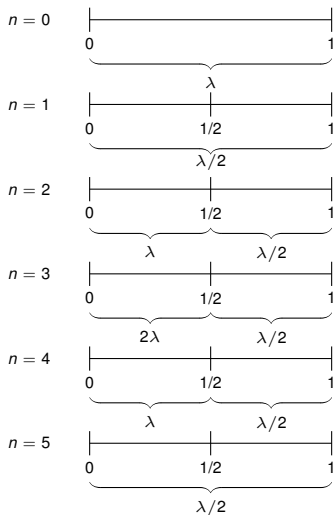
The space is

$$X = \bigcup_{n=-\infty}^{\infty} (\{n\} \times [0, 1])$$

and the map is

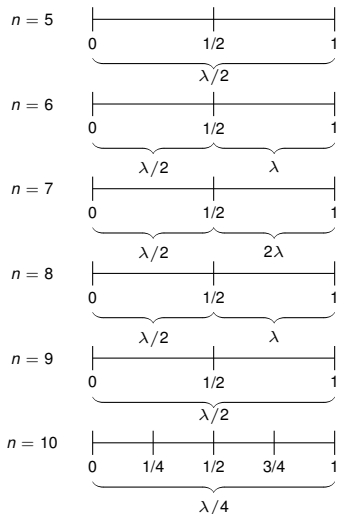
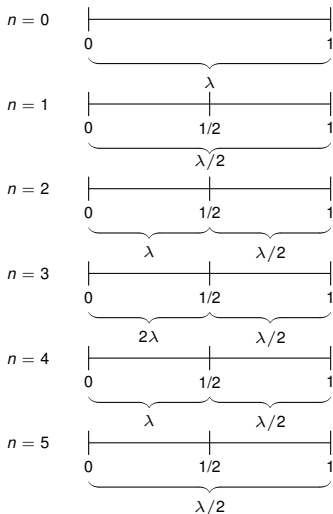
$$f : (n, x) \rightarrow (n+1, x).$$





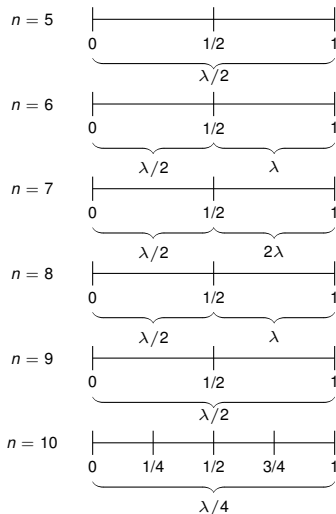
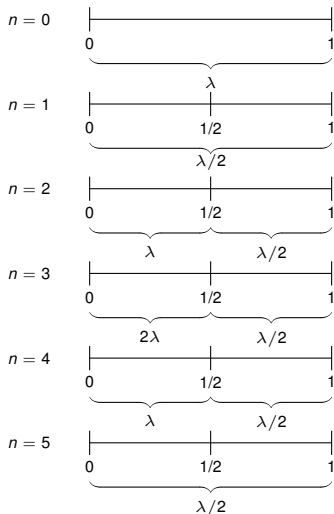
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T_f does **not** satisfy **Kitai**: $\forall A, \mu(A) < \infty, \forall \varepsilon > 0 \exists B \subset A$

$$\mu(A \setminus B) < \varepsilon, \quad \mu(f^{-n}(B)) \rightarrow 0 \quad \text{and} \quad \mu(f^n(B)) \rightarrow 0.$$



Final remarks:

- Our counterexample works for **any** L^p (and for any reasonable function space).
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- **Grivaux’s example**: $I + B_W$ on ℓ^p – the proof is short, but uses an operator theoretic result.
- Our result seems to be the **first** characterization of when Kitai holds (where Kitai \neq mixing).
- **Darji, Pires (2021)** characterize when the **Frequent Hypercyclicity Criterion = Chaoticity Criterion** holds ($p \geq 2$).



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C. Kitai, *Invariant closed sets for linear operators*, Ph.D. thesis, University of Toronto, Toronto, 1982.