Renormalization in Lorenz maps – completely invariant sets and periodic orbits

Łukasz Cholewa

Kraków University of Economics

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The talk is based on a joint work with Piotr Oprocha

Ł. Cholewa, P. Oprocha, Renormalization in Lorenz maps – completely invariant sets and periodic orbits, preprint, arXiv:2104.00110.

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Introduction



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- Counterexample
- Factorization and basic renormalizations

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 s.t. F is continuous and strictly
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- $\lim_{x\to c^-} F(x) = b$ and $\lim_{x\to c^+} F(x) = a;$
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Remark

The last condition implies that the set $\bigcup_{n \in \mathbb{N}_0} F^{-n}(c)$ is dense in [a, b].



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Remark

We assume that $F(c) \in \{a, b\}$.

Motivation: Geometric models of Lorenz attractor

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- Poincaré maps in geometric models of Lorenz attractor.
 - V. S.Afraĭmovich, V. V. Bykov, L. P. Shil'nikov, On attracting structurally unstable limit sets of Lorenz attractor type. (in Russian) Trudy Moskov. Mat. Obshch. 44 (1982), 150–212.
 - J. Guckenheimer, *A strange, strange attractor*, in: J. E. Marsden and M. McCracken (eds.), The Hopf Bifurcation Theorem and its Applications, Springer, 1976, pp. 368–381.
 - R. F. Williams, *The structure of Lorenz attractors*. Inst. Hautes Ètudes Sci. Publ. Math. No. 50, (1979), 73–99.

Motivation: Number theory

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- Expansions of real numbers in non-integer bases.
 - W. Parry, On the β-expansions of real numbers. Acta Math. Acad. Sci. Hungar. 11 (1960), 401–416.
 - A. Rényi, Representations for real numbers and their ergodic properties. Acta Math. Acad. Sci. Hungar. 8 (1957), 477–493.

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- Dynamics of map-based neuron models.
 - P. Bartłomiejczyk, F. Llovera-Trujillo,
 J. Signerska-Rynkowska, Analysis of dynamics of a map-based neuron model via Lorenz maps. Chaos 34 (2024), 043110.
 - P. Bartłomiejczyk, F. Llovera Trujillo, J. Signerska-Rynkowska, *Spike patterns and chaos in a map-based neuron model*, Int. J. Appl. Math. Comput. Sci., **33** (2023), no.3, 395–408.

Standard doubling points construction: Phase space $\mathbb X$

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Standard doubling points construction: Phase space $\mathbb X$

- Let $F: [a, b] \rightarrow [a, b]$ be an expanding Lorenz map.
- Elements in C := (U[∞]_{n=0} F⁻ⁿ({c})) \ {a, b} are doubled (we perform a kind of Denjoy extension).



Standard doubling points construction: Map $\hat{F} : \mathbb{X} \longrightarrow \mathbb{X}$



Standard doubling points construction: Map $\hat{F} : \mathbb{X} \to \mathbb{X}$



Standard doubling points construction: Map $\hat{F} \colon \mathbb{X} \to \mathbb{X}$



Standard doubling points construction

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• (\mathbb{X}, \hat{F}) is a topological dynamical system.

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- More details:
 - P. Raith, Continuity of the Hausdorff dimension for piecewise monotonic maps. Israel J. Math. 80 (1992), 97–133.

Renormalization of Lorenz maps

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is itself a Lorenz map (on the interval [u, v]), then we say that F is **renormalizable** or that G is a **renormalization** of F and write shortly $G = (F^I, F^r)$. The interval [u, v] is called the **renormalization interval**.

Example: Expanding Lorenz map T

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 Consider an expanding Lorenz map T: [0, 1] → [0, 1] defined by T(x) = βx + α (mod 1), where

$$\beta := \frac{9\sqrt[5]{2}}{10} \approx 1.03383, \quad \alpha := \frac{\sqrt[5]{2}}{3} \approx 0.38289.$$

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• Denote $p_i := T^i(0)$ and $q_i := T^i(1)$.

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Example: Graph of the renormalization $G = (T^3, T^2)$



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Definition

A nonempty set $E \subset [a, b]$ is said to be **completely invariant** under F, if $F(E) = E = F^{-1}(E)$.

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A nonempty set $E \subset [a, b]$ is said to be **completely invariant** under F, if $F(E) = E = F^{-1}(E)$.

Let $U \subset [a, b]$ be an open set. By N(U) we denote the smallest integer $n \ge 0$ such that $c \in F^n(U)$.

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Then ${\sf F}^{{\sf I}}(e_-)=e_-,\;{\sf F}^{{\sf r}}(e_+)=e_+$ and the following map

$$R_E F(x) = egin{cases} F'(x), & x \in [F^{r-1}(a), c) \ F^r(x), & x \in (c, F^{l-1}(b)] \end{cases}$$

is a renormalization of F.

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• Let $G: [u, v] \rightarrow [u, v]$ be a renormalization of F. Denote

$$F_G := \{ x \in [a, b] : \operatorname{Orb}(x) \cap (u, v) \neq \emptyset \}$$

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It may happen that the set J_G is empty or not completely invariant!

- Let $G: [u, v] \rightarrow [u, v]$ be a renormalization of F.
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Proposition

If there exists a proper completely invariant closed set E such that $R_E F = G$, then $E = J_G$.

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Example: The map T again

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- $J_G = \{x \in [0,1] : \operatorname{Orb}(x) \cap (p_1,q_2) = \emptyset\} = \emptyset.$
- There is no proper, closed and completely invariant set that defines the renormalization *G*.

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Ding's Theorem

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Theorem (Ding, 2011)

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Question

Which renormalizations can be obtained from the procedure described in the Ding's theorem?

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Question

Which renormalizations can be obtained from the procedure described in the Ding's theorem? In particular, when the set J_G is nonempty and completely invariant?

Definition

Let $F: [a, b] \rightarrow [a, b]$ be an expanding Lorenz map.

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Let $F : [a, b] \rightarrow [a, b]$ be an expanding Lorenz map. If there is a subinterval $(u, v) \ni c$ of (a, b) such that for (l, r) = (2, 1) or (l, r) = (1, 2) the map $G : [u, v] \rightarrow [u, v]$ defined by

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Remark

In the above definition we do not assume that the map G is proper renormalization, i.e. it may happen that [u, v] = [a, b].

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An algorithm to detect "good" and "bad" renormalizations

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An algorithm to detect "good" and "bad" renormalizations

Theorem (Ch., Oprocha, 2024)

Let $F_0: [a, b] \to [a, b]$ be an expanding Lorenz map and $n \in \mathbb{N}$.

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Let F_0 : $[a, b] \rightarrow [a, b]$ be an expanding Lorenz map and $n \in \mathbb{N}$. Assume that there is a sequence of Lorenz maps $\{F_i\}_{i=1}^n$ such that for every i = 1, 2, ..., n the map F_i : $[u_i, v_i] \rightarrow [u_i, v_i]$ has one of the following forms:

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Then for every i = 1, 2, ..., n there exist numbers l_i , $r_i \in \mathbb{N}$ such that $F_i = (F_0^{l_i}, F_0^{r_i})$. Moreover, the following trichotomy holds.

Let F_0 : $[a, b] \rightarrow [a, b]$ be an expanding Lorenz map and $n \in \mathbb{N}$. Assume that there is a sequence of Lorenz maps $\{F_i\}_{i=1}^n$ such that for every i = 1, 2, ..., n the map F_i : $[u_i, v_i] \rightarrow [u_i, v_i]$ has one of the following forms:

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Then for every i = 1, 2, ..., n there exist numbers l_i , $r_i \in \mathbb{N}$ such that $F_i = (F_0^{l_i}, F_0^{r_i})$. Moreover, the following trichotomy holds.

The map F_n is the composition of n trivial renormalizations, i.e. F_i ≠ (F²_{i-1}, F²_{i-1}) for every i = 1, 2, ..., n. Then J_{F_n} ⊂ {a, b} and I_n ≠ r_n.

Let $F_0: [a, b] \to [a, b]$ be an expanding Lorenz map and $n \in \mathbb{N}$. Assume that there is a sequence of Lorenz maps $\{F_i\}_{i=1}^n$ such that for every i = 1, 2, ..., n the map $F_i: [u_i, v_i] \to [u_i, v_i]$ has one of the following forms:

$$F_i = (F_{i-1}, F_{i-1}^2), \quad F_i = (F_{i-1}^2, F_{i-1}) \quad or \quad F_i = (F_{i-1}^2, F_{i-1}^2).$$

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- We have F_n = (F²_{n-1}, F²_{n-1}). Then the set J_{F_n} contains a periodic orbit, R<sub>J_{F_n}F = F_n and I_n = r_n.
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Then for every i = 1, 2, ..., n there exist numbers $l_i, r_i \in \mathbb{N}$ such that $F_i = (F_0^{l_i}, F_0^{r_i})$. Moreover, the following trichotomy holds. We have $F_n \neq (F_{n-1}^2, F_{n-1}^2)$ and $F_i = (F_{i-1}^2, F_{i-1}^2)$ for some i < n. Then the set J_{F_n} contains a periodic orbit, but $R_{J_{F_n}}F \neq F_n$ and $l_n \neq r_n$. In fact $R_{J_{F_n}}F = F_j$, where 0 < j < n is the largest index for which the map F_j is neither trivial nor special trivial renormalization of F_{j-1} .

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Basic renormalizations

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Definition

The renormalizations (F, F^2) , (F^2, F) and (F^2, F^2) of an expanding Lorenz map F are called **basic renormalizations**.

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Corollary

Let F be an expanding Lorenz map and $G = (F^{l}, F^{r})$ be a renormalization of F that can be factorized into basic renormalizations. Then the set J_{G} is nonempty and defines the renormalization G, i.e. $R_{J_{G}}F = G$, if and only if l = r.






































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- The renormalization $G = (T^3, T^2)$ is a composition of two trivial renormalizations $T_1 = (T, T^2)$, $T_2 = (T_1^2, T_1)$ and $J_G = \emptyset$.
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- The renormalization $\tilde{G} = (T^5, T^5)$ is a composition of two trivial renormalizations T_1 , T_2 and the renormalization $T_3 = (T_2^2, T_2^2)$. Moreover $J_{\tilde{G}} \neq \emptyset$ and $R_{J_{\tilde{G}}}T = \tilde{G}$.

Basic renormalizations

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P. Glendinning, C. Sparrow, Prime and renormalizable kneading invariants and the dynamics of expanding Lorenz maps, Physica D, 62 (1993), 22–50. P. Glendinning, C. Sparrow, Prime and renormalizable kneading invariants and the dynamics of expanding Lorenz maps, Physica D, 62 (1993), 22–50.

Remark

The kneading invariant of an expanding Lorenz map with a constant slope is finitely ($0 \le n < \infty$) renormalizable with each of the n renormalizations being either trivial or by words $(w_+, w_-) = (10, 01)$.

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Question

What about the expanding Lorenz maps that do not have a constant slope? Is it possible to obtain a renormalization $G = (F^{I}, F^{r})$ with $I \neq r$ using the procedure from the Ding's theorem?

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Thank you for your attention!

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