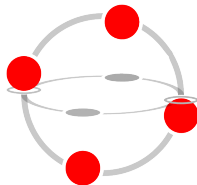


# First order doctrines as finitely bipresentable 2-categories

Axel Osmond (joint work with Ivan Di Liberti)

TACL, June 2022



# Introduction

# Varieties of propositional algebras

Fragments of propositional logic correspond to varieties of propositional algebras:

- $\wedge$ -**SLat** for propositional cartesian logic
- **DLat** for propositional coherent logic
- **Heyt** for propositional first order logic
- **Bool** for propositional classical logic
- also diverse varieties of residuated lattices for substructural logics:  
**ResLat**, **FL**, **FL<sub>0</sub>**, **BL**, **GBL**, **MV**...

Those varieties are often studied in the context of *universal algebra*.

But from the point of view of *categorical model theory*, they are also instances of *locally finitely presentable categories*.

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# Filteredness and finitely accessible categories

Locally finitely presentable categories are categories having:

- small colimits (in particular, filtered colimits)
- an essentially small subcategory of finitely presented objects such that any object is a filtered colimits of finitely presented objects

(Requiring existence only of filtered colimits gets the more general notion of *finitely accessible categories*)

Filtered colimits: those indexed by category  $I$  where



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# Locally finitely presentable categories

Locally finitely presentable enjoy a lot of pleasant properties:

- completeness and commutation of finite limits with filtered colimits,
- well (co)poweredness,
- special small object argument,
- good interactions of monomorphisms and colimits...

They also encompass actually far more examples, as:

- sets, posets,
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# First order doctrines

While fragments of propositional logic correspond to varieties of propositional algebras, fragments of first order logic correspond to *doctrines*:

Those are 2-categories whose objects are *syntactic categories* associated to first order theories and functors preserving the associated internal logic.

Some instance of first order doctrines include:

- **Lex** (lex categories) - for cartesian logic (categorifying  $\wedge$ -**S**Lat)
- **Prod** (categories with finite product) - for algebraic theories
- **Reg** (regular categories) - for regular logic
- **Coh** (coherent categories) for coherent logics (categorifying **D**Lat)

Other doctrines are classes of categories defined from exactness conditions:

- **Ex** (exact categories)
- **Ext <sub>$\omega$</sub>**  (laxextensives categories)
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## 2-dimensional accessibility and presentability ?

Are those doctrines finitely presentable in some 2-dimensional sense ?

When categorifying a notion, several degrees of strictness are possible.  
A first, *enriched* version of presentability was investigated in

Kelly. *Structures defined by finite limits in the enriched context*, 1982

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However our conjectured examples required a less strict framework.

We relied rather on the recent theory of *flat pseudofunctors* developed in  
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A short discussion on 2-dimensional filteredness

# 1-dimensional flatness

In 1-dimension, a key result is that finitely accessible categories corresponds are exactly categories of flat functors  $\mathbf{Flat}[\mathcal{C}, \mathbf{Set}]$  with  $\mathcal{C}$  small.

A functor  $F : \mathcal{C} \rightarrow \mathbf{Set}$  can be decomposed as conical colimit of representables, using its category of elements:

$$F \simeq \operatorname{colim}_{(C,a) \in (\int F)^{\text{op}}} \exists_C$$

(with  $\exists : \mathcal{C}^{\text{op}} \rightarrow [\mathcal{C}, \mathbf{Set}]$  the Yoneda embedding).

Then flat functors can be defined equivalently as:

- those  $F$  whose left Kan extension  $\operatorname{Lan}_{\exists} F : [\mathcal{C}^{\text{op}}, \mathbf{Set}] \rightarrow \mathbf{Set}$  is lex
- those  $F$  that are filtered colimits of representables

In particular when  $\mathcal{C}$  is lex, being flat amounts to being lex.

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# Decomposing pseudofunctors

In 2-dimension, this complicates a bit.

For pseudofunctors, we have a decomposition into a *weighted* bicolimit:

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However this expression is not equivalent to a conical bicolimit.

This makes impossible to detect any filteredness condition.

Is there a *conical* decomposition of pseudofunctors into representables, so we can detect eventual 2-dimensional filteredness in the indexing 2-category ?

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# Dubuc-Descotte-Szyld theory of flatness

$\sigma$ -colimits are intermediate between pseudocolimits and oplax colimits: here, only *some* transition 2-cells in the oplax cocone are invertible.

One can turn any weighted bicolimit into a conical  $\sigma$ -bicolimit.

D.D.S. developed a suited notion of  $\sigma$ -filteredness for  $\sigma$ -bicolimits.

Then they introduced a notion of *flat pseudofunctors*, equivalently:

- those whose *left biKan extension* preserves finitely weighted bilimits
- those that are  $\sigma$ -filtered  $\sigma$ -bicolimits of representable.

Hence, at first sight, a theory of 2-dimensional accessibility in this framework would rely on  $\sigma$ -filteredness.

However, we proved that  $\sigma$ -filteredness simplified into a more practical notion of *bifilteredness*.

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# Bifilteredness

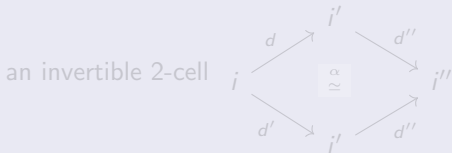
## Definition (Kennisson)

A 2-category  $I$  is said to be *bifiltered* if:

- for any  $i, i'$  in  $I$  there exists a span



- for a parallel pair  $d, d' : i \rightrightarrows i'$ , there exists  $f : i' \rightarrow i''$  together with



- for a pair of parallel 2-cells  $i \begin{array}{c} \xrightarrow{d} \\ \alpha \Downarrow \\ \xrightarrow{d'} \end{array} i'$  there exists  $f : i' \rightarrow i''$

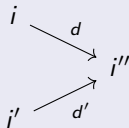
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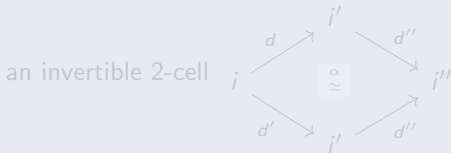
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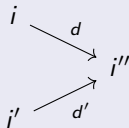
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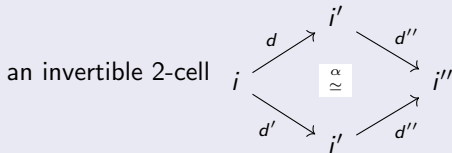
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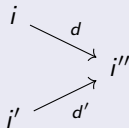
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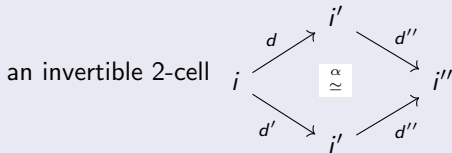
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# Bifiltered reformulation of D.D.S.

Using a suited 2-dimensional form of *cofinality*, we observed the following:

Lemma (D.L.O. 1.6.8)

*Any  $\sigma$ -filtered  $\sigma$ -bicolimit is equivalent to a conical bifiltered bicolimit.*

D.D.S. characterization of flat pseudofunctors could then be simplified:

Theorem (D.L.O. 3.1.6)

*Let  $\mathcal{C}$  be a small 2-category. Then for a pseudofunctor  $F : \mathcal{C} \rightarrow \mathbf{Cat}$  we have the following equivalences*

- *$F$  is flat, that is,  $\text{biLan}_x F$  is biles*
- *$F$  decomposes as a bifiltered bicolimit of representables.*

Knowing this, it appear we can ground a theory of 2-dimensional accessibility on D.D.S. results but involving only bifiltered bicolimits.

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Knowing this, it appear we can ground a theory of 2-dimensional accessibility on D.D.S. results but involving only bifiltered bicolimits.

Bi-accessible and bipresentable 2-categories



# Bicomact objects

First, what should be the analogs of finitely presented objects ?

## Definition

An object  $K$  in a 2-category  $\mathcal{B}$  is *bicomact* if for any bifiltered 2-category  $I$  and any 2-functor  $F : I \rightarrow \mathcal{B}$ , we have an equivalence of categories

$$\mathcal{B}[K, \operatorname{bicolim}_I F] \simeq \operatorname{bicolim}_{i \in I} \mathcal{B}[K, F(i)]$$

(In fact they enjoy the same property against  $\sigma$ -filtered  $\sigma$ -colimits.)

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A 2-category  $\mathcal{B}$  will be said *finitely bi-accessible* if

- $\mathcal{B}$  has bifiltered bicolimits,
- there is an essentially small (1,2)-full sub-2-category  $\mathcal{B}_0 \hookrightarrow \mathcal{B}$  consisting of bicomact objects such that for any  $B$  in  $\mathcal{B}$  is a bifiltered bicolimit of objects in  $\mathcal{B}_0$ .

In fact, one can take the full sub-2-category of all bicomact objects.

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A 2-category is said to be *finitely bipresentable* if it is finitely bi-accessible and has all small weighted bicolimits.

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A 2-category is said to be *finitely bipresentable* if it is finitely bi-accessible and has all small weighted bicolimits.

# The canonical pseudococone

For  $B$  in  $\mathcal{B}$  finitely bi-accessible, one can consider the *canonical pseudocone* of  $B$  given by the pseudoslice  $\mathcal{B}_\omega \downarrow B$ .

Its objects are pairs  $(K, a)$  with  $a : K \rightarrow B$ , and a morphism  $(K_1, a_1) \rightarrow (K_2, a_2)$  is a pair  $(k, \phi)$  coding for an invertible 2-cell

$$\begin{array}{ccc} K_1 & \xrightarrow[k, \phi]{\cong} & K_2 \\ & \searrow a_1 & \swarrow a_2 \\ & & B \end{array}$$

Its 2-cells are  $\alpha : k_1 \Rightarrow k_2$  such that  $\phi_2 a_2 * \alpha = \phi_1$ .

## Proposition

If  $\mathcal{B}$  is finitely bi-accessible, then for any  $B$  the canonical pseudocone  $\mathcal{B}_\omega \downarrow B$  is bifiltered and

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# The binerve embedding

The formula above says that the inclusion  $\iota_\omega : \mathcal{B}_\omega \hookrightarrow \mathcal{B}$  is *bidense*.

Equivalently, we have a 2-embedding into the pseudofunctors 2-category

$$\mathcal{B} \xrightarrow{\nu} \mathbf{ps}[(\mathcal{B}_\omega)^{\text{op}}, \mathbf{Cat}]$$

sending  $B$  to  $\mathcal{B}[\iota_\omega, B]$ , the restriction of the representable at  $B$  along  $\iota_\omega$ .

Moreover, for  $\mathcal{B}_\omega \downarrow B$  is bifiltered,  $\mathcal{B}[\iota_\omega, B]$  is flat. Hence :

Proposition (DL.O. 3.1.9)

*For any finitely accessible category  $\mathcal{B}$ ,  $\nu$  reduces to a biequivalence*

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# Characterization with bistrong generator

For finitely bipresentable 2-category, it can be sufficient to exhibit a weaker kind of generator containing just “enough” bicomcompact objects.

## Definition

A small sub 2-category  $\mathcal{G} \hookrightarrow \mathcal{B}$  is a *strong generator* if its binerve

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is biconservative, that is, reflects equivalences.

## Theorem (DL.O. 2.4.3)

Let  $\mathcal{B}$  be a 2-category with weighed bicolimits. Then the following are equivalent:

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## 2-categories of flat pseudofunctors

For a finitely accessible  $\mathcal{B}$ , the embedding  $\nu_{\mathcal{B}}$  restricts to an equivalence:

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When  $\mathcal{B}$  is finitely bipresentable,  $(\mathcal{B}_{\omega})^{\text{op}}$  is *bilex*, whence:

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What about the converse ? Exactly as in 1-dimension:

Theorem (D.L.O. 3.2.6)

For any small 2-category  $\mathcal{C}$ ,  $\mathbf{Flat}[\mathcal{C}, \mathbf{Cat}]$  is finitely bi-accessible.

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## 2-dimensional Gabriel-Ulmer duality

### Definition

The tricategory **biLex** has objects small 2-categories with weighed finite bilimits. 1-cells are pseudofunctors preserving finite bilimits, 2-cells are pseudonatural transformations and 3-cells are modifications.

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The tricategory **biP<sub>ω</sub>** has objects finitely bipresentable 2-categories. 1-cells are right biadjoints preserving bifiltered bicolimits, 2-cells are pseudonatural transformations and 3-cells are modifications.

### Theorem (D.L.O. 4.3.3)

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Examples



# Cat is finitely bipresentable

## Lemma

In **Cat**, finite categories are bicomact.

Not all bicomact objects in **Cat** are finite. For example, the monoid  $\mathbb{N}$  - seen as a 1-object category - is the coinserter of the diagram below and thus is bicomact:

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In fact coincide with Street notion of finitely presented category.

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# Bifinitary pseudomonads

A second class of examples will come from 2-categories of *pseudoalgebras*.

*Pseudomonads* are 2-functors equipped with pseudonatural unit and multiplication satisfying monad identities up to canonical invertible 2-cells.

Similarly, replacing strict equalities in the definition of algebras and morphisms of algebras by invertible 2-cells gives the notion of pseudoalgebras and pseudomorphisms.

A pseudomonad is said to be *bifinitary* if it preserves bifiltered bicolimits.

The following generalizes Blackwell, Kelly and Power result for 2-categories *strict* algebras and pseudomorphisms for *strict* 2-monad:

Theorem (O.)

Let  $T$  be a bifinitary pseudomonad on a bicomplete 2-category  $\mathcal{C}$ . Then  $T\text{-psAlg}$  is bicomplete. Moreover the forgetful functor  $U_T : T\text{-psAlg} \rightarrow \mathcal{C}$  creates bicolimits that  $T$  preserves.

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# Bipresentability of 2-categories of pseudo-algebras

As well as algebras for a finitary monad over a finitely presentable category are finitely presentable, we have the following:

Theorem (DL.O. 5.2.2)

*Let  $\mathcal{B}$  be a finitely bipresentable 2-category and  $T$  a bifinitary pseudomonad on  $\mathcal{B}$ . Then  $T\text{-psAlg}$  is also finitely bipresentable. Moreover  $U_T : T\text{-psAlg} \rightarrow \mathcal{B}$  preserves bifiltered bicolimits.*

Sketch of the proof :

- $T\text{-psAlg}$  has bifiltered bicolimits preserved by  $U_T$  for  $T$  is bifinitary.
- Prove that free pseudo-algebras on bicomponents form a strong generator.
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# The case of **Lex**

**Lex** is the 2-category of small categories with finite limits.  
It is pseudomonadic on **Cat** through the free lex completion.

## Lemma

*Lex is closed in **Cat** under bifiltered bicolimits.*

This uses the description of pseudocolimits in **Cat** as localization of oplax-colimit; the argument is that the finiteness of the diagram interacts well with the filteredness condition.

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**Lex** is closed in **Cat** under bfiltered bicolimits.

This uses the description of pseudocolimits in **Cat** as localization of oplax-colimit; the argument is that the finiteness of the diagram interacts well with the filteredness condition.

## Theorem (DL.O. 5.3.3)

**Lex** is finitely bipresentable.

Morally, **Lex** is the 2-category of “models” for a 2-sketch whose projective part includes all finite diagrams. Those can be also thought as arities for 2-dimensional function symbols coding finite limits.

## Further exactness conditions

What about other doctrines as **Reg**, **Coh**, **Ex**... ?

And other categories defined through exactness conditions, **Ext**, **Pretop** ?

Those examples can be captured at once through the theory of *lex colimits*.

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# Exactness conditions through lex-colimits

In the following,  $\Phi$  denotes a class of finite weights  $W : I^{\text{op}} \rightarrow \mathbf{Set}$ .

For  $\Phi$  and a category  $\mathcal{C}$ , consider the full subcategory  $\Phi_I(\mathcal{C}) \hookrightarrow \widehat{\mathcal{C}}$  consisting of all  $\Phi$ -weighted colimits of representables.

Definition (Garner, Lack)

A small  $\mathcal{C}$  is  $\Phi$ -lex-cocomplete if it is lex and has all  $\Phi$ -weighted colimits.

This amounts to requiring the existence of a left adjoint

$$\begin{array}{ccc} & L_{\mathcal{C}} & \\ \mathcal{C} & \xleftarrow{\quad} & \Phi_I(\mathcal{C}) \\ & \iota_{\mathcal{C}} \xrightarrow{\quad} & \\ & \perp & \end{array}$$

A  $\Phi$ -lex-cocomplete category is  $\Phi$ -exact if this left adjoint is lex.

This amounts to saying that  $(\mathcal{C}, L_{\mathcal{C}})$  bears a structure of pseudo-algebra for the pseudomonad  $\Phi_I$  on  $\mathbf{Lex}$ .



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# Any $\Phi$ -Ex is finitely bipresentable

## Theorem (DL.O. 5.4.5)

For  $\Phi$  a class of finite weights, the 2-category of  $\Phi$ -exact categories and  $\Phi$ -exact functors is finitely bipresentable.

- The 2-category  $\Phi$ -**Ex** is the 2-category of pseudo-algebras  $\Phi_I$ -**psAlg**.
- It suffices to prove  $\Phi_I$  to be finitary, which amounts to proving the forgetful functor  $U_\Phi : \Phi_I$ -**psAlg**  $\rightarrow$  **Lex** to be finitary.
- Using that all weights in  $\Phi$  are finite, we show that  $U_\Phi$  preserves bifiltered bicolimits of free  $\Phi_I$  pseudo-algebras

$$U_\Phi \Phi_I(\text{bicolim}_{i \in I} F(i)) \simeq \text{bicolim}_{i \in I} U_\Phi \Phi_I F(i)$$

- For a bifiltered  $F : I \rightarrow \Phi_I$ -**psAlg** the adjunctions  $L_{F(i)} \dashv \iota_{F(i)}$  induce an adjunction in **Lex** between the bifiltered bicolimits

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# Examples amongst $\Phi$ -exact categories

## Corollary

*The following 2-categories are finitely bipresentable:*

- **Reg**: *small regular categories and regular functors;*
- **Ex**: *small (Barr)-exact categories and exact functors;*
- **Coh**: *small coherent categories and coherent functors;*
- **Ext <sub>$\omega$</sub>** : *small finitely-extensive categories and functors preserving finite coproducts;*
- **Adh**: *small adhesive categories and adhesive functors;*
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Thank you !

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