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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications (ℵ₀ and ℵ₁)

# Spectra and subspectra arising from *l*-groups and commutative rings

### Friedrich Wehrung

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### TACL 2022, June 2022

### A picture for the problem

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Remaining identifications (ℵ₀ and ℵ₁) ■ A subset *I*, in an Abelian *l*-group *G*, is an *l*-ideal if it is an order-convex subgroup closed under ∨ (equivalently, ∧).

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- It is prime if  $I \neq G$  and  $x \wedge y \in I \Rightarrow \{x, y\} \cap I \neq \emptyset$ .

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- It is prime if  $I \neq G$  and  $x \wedge y \in I \Rightarrow \{x, y\} \cap I \neq \emptyset$ .
- Spec<sub>ℓ</sub> G <sup>def</sup> = {prime ℓ-ideals of G}, topologized by the closed sets the {P ∈ Spec<sub>ℓ</sub> G | X ⊆ P} for X ⊆ G (hull-kernel topology).

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- The topological space  $\operatorname{Spec}_{\ell} G$  is called the  $\ell$ -spectrum of G.

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  ■ A subset, in a commutative unital ring A, is a cone if it is both an additive and a multiplicative submonoid of A, containing {x<sup>2</sup> | x ∈ A}.

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- A cone P is prime if  $A = P \cup (-P)$  and the "support"  $P \cap (-P)$  is a prime ideal of the ring A.

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- Spec<sub>r</sub> A <sup>def</sup> {prime cones of A}, endowed with the topology generated by all open subsets {P ∈ Spec<sub>r</sub> A | a ∉ P} for a ∈ A, and we call Spec<sub>r</sub> A the real spectrum of A.

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- Spec<sub>r</sub> *A* is homeomorphic to the Zariski spectrum of the real closure (Schwartz 1989) of the ring *A*.

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  • Specialization preorder on a topological space  $X: x \leq y$  if  $y \in \overline{\{x\}}$ .

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications (ℵ₀ and ℵ₁) Specialization preorder on a topological space  $X: x \leq y$  if  $y \in \overline{\{x\}}$ .

• A topological space X is spectral if it is  $T_0$  (i.e.,  $\leq$  is antisymmetric), every irreducible closed set is some  $\overline{\{x\}}$ , and  $\overset{\circ}{\mathcal{K}}(X) \stackrel{\text{def}}{=} \{\text{compact open subsets of } X\}$  is a basis of open sets in X, closed under finite intersections (thus X is compact).

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- Specialization preorder on a topological space  $X: x \leq y$  if  $y \in \overline{\{x\}}$ .
- A topological space X is spectral if it is T<sub>0</sub> (i.e., ≤ is antisymmetric), every irreducible closed set is some {x}, and <sup>°</sup>/<sub>K</sub>(X) <sup>def</sup>/<sub>=</sub> {compact open subsets of X} is a basis of open sets in X, closed under finite intersections (thus X is compact).
- A spectral space X is completely normal if (X, ≤) is a root system, that is, each {x} is a chain wrt ≤.

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- A spectral space X is completely normal if (X, ≤) is a root system, that is, each {x} is a chain wrt ≤.

### Proposition (Keimel 1971; Coste and Roy 1981)

All  $\text{Spec}_{\ell} G$ , for an Abelian  $\ell$ -group G with unit, and  $\text{Spec}_{r} A$ , for a commutative unital ring A, are completely normal spectral spaces.

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  • A map  $f: X \to Y$  (between spectral spaces) is spectral if  $f^{-1}[V] \in \overset{\circ}{\mathcal{K}}(X)$  whenever  $V \in \overset{\circ}{\mathcal{K}}(Y)$ .

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- A spectral subspace of Y is  $X \subseteq Y$  such that the inclusion map  $X \hookrightarrow Y$  is spectral.

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- A spectral subspace of Y is X ⊆ Y such that the inclusion map X ↔ Y is spectral.

### Theorem (Stone 1933)

The category of all spectral spaces, with spectral maps, is dual to the category of all bounded distributive lattices, with 0, 1-lattice homomorphisms.

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### Theorem (Stone 1933)

The category of all spectral spaces, with spectral maps, is dual to the category of all bounded distributive lattices, with 0, 1-lattice homomorphisms.

Extended to generalized spectral spaces, with spectral maps, and distributive 0-lattices, with cofinal 0-lattice homomorphisms (Rump and Yang 2009).

# Stone duality (cont'd)

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Remaining identifications (ℵ₀ and ℵ₁) • The dual of a spectral space X is the lattice  $\overset{\circ}{\mathcal{K}}(X) \stackrel{\text{def}}{=} \{ \text{compact opens of } X \}.$ 

# Stone duality (cont'd)

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The dual of a bounded distributive lattice D is Spec D = {prime ideals of D}.

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# Stone duality (cont'd)

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$ 

- The dual of a spectral space X is the lattice  $\overset{\circ}{\mathcal{K}}(X) \stackrel{\text{def}}{=} \{ \text{compact opens of } X \}.$
- The dual of a bounded distributive lattice *D* is Spec *D* <sup>def</sup> {prime ideals of D}.
- Spectral subspaces are dual to surjective lattice homomorphisms.

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  For a class X of spectral spaces, denote by SX the class of all spectral subspaces of members of X.

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•  $\mathbf{CN} \stackrel{\text{def}}{=} \{ \text{completely normal spectral spaces} \}.$ 

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- $\mathbf{CN} \stackrel{\text{def}}{=} \{ \text{completely normal spectral spaces} \}.$
- $\ell \stackrel{\text{def}}{=} \{X \mid (\exists G \text{ Abelian } \ell \text{-group})(X \cong \operatorname{Spec}_{\ell} G)\}.$

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- $\mathbf{CN} \stackrel{\text{def}}{=} \{ \text{completely normal spectral spaces} \}.$
- $\ell \stackrel{\text{def}}{=} \{X \mid (\exists G \text{ Abelian } \ell \text{-group})(X \cong \operatorname{Spec}_{\ell} G)\}.$
- $\mathbf{R} \stackrel{\text{def}}{=} \{X \mid (\exists A \text{ commutative unital ring})(X \cong \operatorname{Spec}_{\mathrm{r}} A)\}.$

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  - $\mathbf{CN} \stackrel{\text{def}}{=} \{ \text{completely normal spectral spaces} \}.$
  - $\ell \stackrel{\text{def}}{=} \{X \mid (\exists G \text{ Abelian } \ell \text{-group})(X \cong \operatorname{Spec}_{\ell} G)\}.$

•  $\mathbf{R} \stackrel{\text{def}}{=} \{X \mid (\exists A \text{ commutative unital ring})(X \cong \operatorname{Spec}_{\mathrm{r}} A)\}.$ 

#### Problem

Determine all possible containments and non-containments between  $\mathbf{CN} = \mathbf{SCN}$ ,  $\ell$ ,  $\mathbf{S\ell}$ ,  $\mathbf{R}$ ,  $\mathbf{SR}$ , in every cardinality (i.e., according to card  $\overset{\circ}{\mathcal{K}}(X)$ ).

### $\subseteq$ between **CN**, $\ell$ , **R**, **S** $\ell$ , **SR**: the SPANNER

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$   $\kappa \stackrel{\text{def}}{=} \operatorname{card} \overset{\circ}{\mathcal{K}}(X); \text{ red line} \leftrightarrows \text{sharp bound};$ 

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# $\subseteq$ between **CN**, $\ell$ , **R**, **S** $\ell$ , **SR**: the SPANNER

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$$\mathfrak{c} \stackrel{\text{def}}{=} \operatorname{card} \mathcal{K}(X)$$
; red line  $\leftrightarrows$  sharp bound;  
- black hole (SR -  $\ell$  - R - S $\ell$  - SR)

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# $\subseteq$ between **CN**, $\ell$ , **R**, **S** $\ell$ , **SR**: the SPANNER

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 $\kappa \stackrel{\text{def}}{=} \operatorname{card} \overset{\circ}{\mathcal{K}}(X); \text{ red line} \leftrightarrows \text{sharp bound};$  $\bullet = \operatorname{black hole} (\mathbf{SR} = \ell = \mathbf{R} = \mathbf{S}\ell = \mathbf{SR})$ 



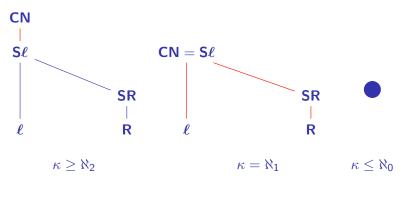
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# Preliminary steps (Stone duality)

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications (ℵ₀ and ℵ₁)  Using Stone duality, we reduce everything to problems about bounded distributive lattices (and bounded homomorphisms).

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# Preliminary steps (Stone duality)

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### Preliminary steps

Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications (ℵ₀ and ℵ₁)  Using Stone duality, we reduce everything to problems about bounded distributive lattices (and bounded homomorphisms).

 By Monteiro (1954), complete normality translates to the lattice-theoretical condition

 $(\forall a, b)(\exists x, y)(a \lor b = a \lor y = x \lor b \text{ and } x \land y = 0).$ 

# Preliminary steps (Stone duality)

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$ 

- Using Stone duality, we reduce everything to problems about bounded distributive lattices (and bounded homomorphisms).
- By Monteiro (1954), complete normality translates to the lattice-theoretical condition

 $(\forall a, b)(\exists x, y)(a \lor b = a \lor y = x \lor b \text{ and } x \land y = 0).$ 

That property is obviously closed under homomorphic images. Hence, CN = SCN.

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  ■ For an Abelian  $\ell$ -group G, the Stone dual of  $\operatorname{Spec}_{\ell} G$  is the distributive 0-lattice  $\operatorname{Id}_{c}^{\ell} G = \{\langle a \rangle^{\ell} \mid a \in G^{+}\}.$ 

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# Preliminary steps (*l*-spectrum)

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• Here  $\langle a \rangle^{\ell} = \{ x \in G \mid (\exists n \in \mathbb{N}) (|x| \le na) \}.$ 

# Preliminary steps (*l*-spectrum)

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Remaining identifications (ℵ₀ and ℵ₁)

- For an Abelian  $\ell$ -group G, the Stone dual of  $\text{Spec}_{\ell} G$  is the distributive 0-lattice  $\text{Id}_c^{\ell} G = \{\langle a \rangle^{\ell} \mid a \in G^+\}$ .
- Here  $\langle a \rangle^{\ell} = \{ x \in G \mid (\exists n \in \mathbb{N}) (|x| \le na) \}.$
- Thus questions about Spec<sub>ℓ</sub> G translate to questions about lattices Id<sup>ℓ</sup><sub>c</sub> G, for Abelian ℓ-groups G.

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  • *F-rings* are lattice-ordered rings satisfying  $(x \land y = 0 \text{ and } z \ge 0) \Rightarrow (x \land yz = x \land zy = 0).$ 

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$ 

# • *F-rings* are lattice-ordered rings satisfying $(x \land y = 0 \text{ and } z \ge 0) \Rightarrow (x \land yz = x \land zy = 0).$

■ Here, all *f*-rings will be commutative and unital.

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■ Here, all *f*-rings will be commutative and unital.

■ Points of the Brumfiel spectrum Spec<sub>B</sub> A of an *f*-ring A are *l*-ideals P (i.e., both additive *l*-ideals and ring ideals) that are also prime as ring ideals (thus also as *l*-ideals).

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Remaining identifications (ℵ₀ and ℵ₁) • *F*-rings are lattice-ordered rings satisfying  $(x \land y = 0 \text{ and } z \ge 0) \Rightarrow (x \land yz = x \land zy = 0).$ 

■ Here, all *f*-rings will be commutative and unital.

- Points of the Brumfiel spectrum Spec<sub>B</sub> A of an *f*-ring A are *l*-ideals P (i.e., both additive *l*-ideals and ring ideals) that are also prime as ring ideals (thus also as *l*-ideals).
- The Stone dual of Spec<sub>B</sub> A is the lattice Id<sup>r</sup><sub>c</sub> A of all radical *ℓ*-ideals of A.

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  • *F-rings* are lattice-ordered rings satisfying  $(x \land y = 0 \text{ and } z \ge 0) \Rightarrow (x \land yz = x \land zy = 0).$ 

■ Here, all *f*-rings will be commutative and unital.

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- The Stone dual of Spec<sub>B</sub> *A* is the lattice Id<sup>r</sup><sub>c</sub> *A* of all radical *ℓ*-ideals of *A*.
- Brumfiel spectra are the same as real spectra (Spec<sub>r</sub> A ≅ Spec<sub>B</sub> F(A), where F(A) *f*-ring-envelope of A; Spec<sub>B</sub> A ≅ {Q ∈ Spec<sub>r</sub> A | A<sup>+</sup> ⊆ Q} via P → A<sup>+</sup> + P, closed subspace of a real spectrum, thus a real spectrum).

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- Here, all *f*-rings will be commutative and unital.
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- Brumfiel spectra are the same as real spectra
   (Spec<sub>r</sub> A ≅ Spec<sub>B</sub> F(A), where F(A) *f*-ring-envelope of A;

   Spec<sub>B</sub> A ≅ {Q ∈ Spec<sub>r</sub> A | A<sup>+</sup> ⊆ Q} via P ↦ A<sup>+</sup> + P,
   closed subspace of a real spectrum, thus a real spectrum).
- Thus questions about real spectra translate to questions about lattices Id<sup>r</sup><sub>c</sub> A, for f-rings A.

#### The other trivial spanner containment

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  • It is  $SR \subseteq S\ell$  (equivalently,  $R \subseteq S\ell$ ).

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  • It is  $SR \subseteq S\ell$  (equivalently,  $R \subseteq S\ell$ ).

■ This means that every Id<sup>r</sup><sub>c</sub> A (for a *f*-ring A) is a homomorphic image of Id<sup>ℓ</sup><sub>c</sub> G for some Abelian ℓ-group G with unit.

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- Take  $G \stackrel{\text{def}}{=} \{x \in A \mid (\exists n \in \mathbb{N})(|x| \le n \cdot 1)\}$  with induced  $\ell$ -group structure, and  $\mathsf{ld}_c^\ell G \twoheadrightarrow \mathsf{ld}_c^r A$ ,  $\langle a \rangle^\ell \mapsto \langle a \rangle^r$ .

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  • We are given a homomorphism  $\varphi: A \to B$  of first-order structures (over a vocabulary **v**).

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications (ℵ₀ and ℵ₁)

- We are given a homomorphism  $\varphi: A \to B$  of first-order structures (over a vocabulary **v**).
- The condensate construction on  $\varphi$  means to concentrate in a single object the "repetition" of  $\varphi$ ,  $\kappa$  times where  $\kappa$  is an infinite cardinal.

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- The condensate construction on  $\varphi$  means to concentrate in a single object the "repetition" of  $\varphi$ ,  $\kappa$  times where  $\kappa$  is an infinite cardinal.
- **\blacksquare** Formally, Cond( $\varphi, \kappa$ ) is the **v**-structure with universe

 $\{(x,y) \in A \times B^{\kappa} \mid y \text{ is almost constant and } y_{\infty} = \varphi(x)\}.$ 

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- We are given a homomorphism  $\varphi: A \to B$  of first-order structures (over a vocabulary **v**).
- The condensate construction on  $\varphi$  means to concentrate in a single object the "repetition" of  $\varphi$ ,  $\kappa$  times where  $\kappa$  is an infinite cardinal.
- Formally,  $Cond(\varphi, \kappa)$  is the **v**-structure with universe

 $\{(x, y) \in A \times B^{\kappa} \mid y \text{ is almost constant and } y_{\infty} = \varphi(x)\}.$ 

Under quite general conditions, if κ is large enough and the arrow φ is not representable wrt a given functor, then neither is the object Cond(φ, κ).

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Remaining identifications (ℵ₀ and ℵ₁) The maps φ will be 0, 1-homomorphisms between bounded distributive lattices, best described by their Birkhoff dual maps (here, isotone maps between finite chains).

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$ 

- The maps φ will be 0, 1-homomorphisms between bounded distributive lattices, best described by their Birkhoff dual maps (here, isotone maps between finite chains).
- For  $\ell \subsetneq S\ell$ , consider Cond( $\varphi, \omega_1$ ) where  $\varphi$  is the dual map of  $\{1\} \rightarrow \{1, 2\}, 1 \mapsto 1$  (not closed).

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Remaining identifications (ℵ₀ and ℵ₁)

- The maps φ will be 0, 1-homomorphisms between bounded distributive lattices, best described by their Birkhoff dual maps (here, isotone maps between finite chains).
- For  $\ell \subsetneq S\ell$ , consider Cond( $\varphi, \omega_1$ ) where  $\varphi$  is the dual map of  $\{1\} \rightarrow \{1, 2\}, 1 \mapsto 1$  (*not closed*).
- For  $\mathbf{R} \subsetneq \mathbf{SR}$ , consider Cond( $\varphi, \omega_1$ ) where  $\varphi$  is the dual map of  $\{1, 2\} \rightarrow \{1, 2, 3\}, 1 \mapsto 1, 2 \mapsto 3$  (not convex).

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$ 

- The maps φ will be 0, 1-homomorphisms between bounded distributive lattices, best described by their Birkhoff dual maps (here, isotone maps between finite chains).
- For  $\ell \subsetneq \mathbf{S}\ell$ , consider Cond $(\varphi, \omega_1)$  where  $\varphi$  is the dual map of  $\{1\} \rightarrow \{1, 2\}, 1 \mapsto 1$  (not closed).
- For R ⊊ SR, consider Cond(φ, ω<sub>1</sub>) where φ is the dual map of {1,2} → {1,2,3}, 1 ↦ 1, 2 ↦ 3 (not convex). (Solves, in the negative, a 2012 problem by Mellor and Tressl, asking whether a spectral subspace of a real spectrum is a real spectrum).

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  Involves the lexicographical power  $\mathbb{Z}\langle \omega_1^{op} \rangle$  (a totally ordered Abelian group).

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■ The elements of Z⟨ω<sub>1</sub><sup>op</sup>⟩ are finite linear combinations x = ∑<sub>i < n</sub> x<sub>i</sub>t<sup>α<sub>i</sub></sup> where each x<sub>i</sub> ∈ Z, each α<sub>i</sub> < ω<sub>1</sub>, and the indeterminate t is "infinitely small".

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■ Then consider the Abelian ℓ-group F on generators a, b and relations a, b ≥ 0.

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■ Then consider the Abelian ℓ-group F on generators a, b and relations a, b ≥ 0.

• The desired counterexample is the Abelian  $\ell$ -group  $G \stackrel{\text{def}}{=} \mathbb{Z} \langle \omega_1^{\text{op}} \rangle \times_{\text{lex}} F$  (lexicographical product).

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The elements of Z⟨ω<sub>1</sub><sup>op</sup>⟩ are finite linear combinations x = ∑<sub>i<n</sub> x<sub>i</sub>t<sup>α<sub>i</sub></sup> where each x<sub>i</sub> ∈ Z, each α<sub>i</sub> < ω<sub>1</sub>, and the indeterminate t is "infinitely small".

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#### Theorem (W 2017)

There is no commutative unital ring A such that  $\text{Spec}_{\ell} G$  is a spectral subspace of  $\text{Spec}_r A$ .

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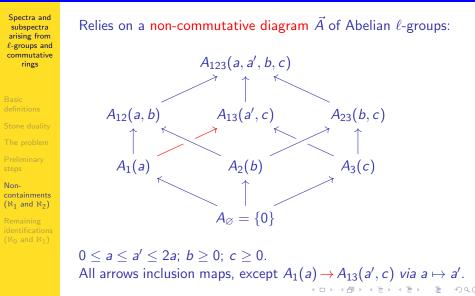
# An example for $S\ell \subsetneq CN$ (and more, e.g. CBD)

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Relies on a non-commutative diagram  $\vec{A}$  of Abelian  $\ell$ -groups:

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# An example for $S\ell \subsetneq CN$ (and more, e.g. CBD)



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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  ■ For every set *I*, Id<sup>ℓ</sup><sub>c</sub> *A*<sup>*I*</sup> is a commutative diagram (indexed by {0,1}<sup>3×1</sup>) of completely normal distributive 0-lattices.

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  For every set *I*, Id<sup>ℓ</sup><sub>c</sub> *A<sup>I</sup>* is a commutative diagram (indexed by {0,1}<sup>3×I</sup>) of completely normal distributive 0-lattices.
 For every {0,1}<sup>3</sup>-indexed commutative diagram *G* of Abelian ℓ-groups, Id<sup>ℓ</sup><sub>c</sub> *A* ≆ Id<sup>ℓ</sup><sub>c</sub> *G*.

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- For every {0,1}<sup>3</sup>-indexed commutative diagram G of Abelian ℓ-groups, Id<sup>ℓ</sup><sub>c</sub> A ≇ Id<sup>ℓ</sup><sub>c</sub> G.
- By using the condensate machinery (Gillibert and W 2011; here not just for one arrow, but for the whole {0,1}<sup>3</sup>-indexed diagram Id<sup>ℓ</sup><sub>c</sub> A), this enables to construct

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  , this enables to construct a completely normal distributive 0-lattice (very roughly speaking, "ω<sub>2</sub> ⊗ Id<sup>ℓ</sup><sub>c</sub> A
  "), of cardinality ℵ<sub>2</sub>, which is not a homomorphic image of Id<sup>ℓ</sup><sub>c</sub> G for any Abelian ℓ-group G.

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- By using the condensate machinery (Gillibert and W 2011; here not just for one arrow, but for the whole
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   "), of cardinality ℵ<sub>2</sub>, which is not a
   homomorphic image of Id<sup>ℓ</sup><sub>c</sub> G for any Abelian ℓ-group G.
- Further extensions of the condensate construction (W 2021), together with Tuuri's Interpolation Theorem (1992), then make it possible to prove that  $\operatorname{Id}_{c}^{\ell} \mathcal{G} \stackrel{\text{def}}{=} \{D \mid (\exists G \text{ Abelian } \ell\operatorname{-group})(D \cong \operatorname{Id}_{c}^{\ell} G)\}$  is not co-projective over  $\mathscr{L}_{\infty\infty}$ .



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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$ 

#### Theorem (W 2019)

Every (at most) countable completely normal distributive 0-lattice is isomorphic to  $Id_c^{\ell} G$  for some Abelian  $\ell$ -group G with unit.

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  Theorem (W 2019)

Every (at most) countable completely normal distributive 0-lattice is isomorphic to  $Id_c^\ell G$  for some Abelian  $\ell$ -group G with unit.

• Hence every second countable, completely normal spectral space is homeomorphic to  $\text{Spec}_{\ell} G$  for some Abelian  $\ell$ -group G with unit (i.e., " $\ell = \text{CN}$  on countable").



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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  Theorem (W 2019)

Every (at most) countable completely normal distributive 0-lattice is isomorphic to  $Id_c^\ell G$  for some Abelian  $\ell$ -group G with unit.

- Hence every second countable, completely normal spectral space is homeomorphic to  $\text{Spec}_{\ell} G$  for some Abelian  $\ell$ -group G with unit (i.e., " $\ell = \text{CN}$  on countable").
- In fact, G can be taken a vector lattice over any given countable totally ordered division ring k (ℓ-ideals then need be closed under scalar multiplication; the countability assumption on k cannot be dispensed with).

### Idea of the proof ( $\ell = CN$ on countable)

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  • A lattice homomorphism  $\varphi: A \to B$  is closed if whenever  $a_0, a_1 \in A$  and  $b \in B$ , if  $\varphi(a_0) \leq \varphi(a_1) \lor b$  then  $\exists x \in A$  such that  $a_0 \leq a_1 \lor x$  and  $\varphi(x) \leq b$ .

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■ For any  $\ell$ -homomorphism  $f: G \to H$  between  $\ell$ -groups, the map  $\operatorname{Id}_{c}^{\ell} f: \operatorname{Id}_{c}^{\ell} G \to \operatorname{Id}_{c}^{\ell} H$ ,  $\langle a \rangle^{\ell} \mapsto \langle f(a) \rangle^{\ell}$  is closed.

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  A lattice homomorphism φ: A → B is closed if whenever a<sub>0</sub>, a<sub>1</sub> ∈ A and b ∈ B, if φ(a<sub>0</sub>) ≤ φ(a<sub>1</sub>) ∨ b then ∃x ∈ A such that a<sub>0</sub> ≤ a<sub>1</sub> ∨ x and φ(x) ≤ b.

- For any  $\ell$ -homomorphism  $f: G \to H$  between  $\ell$ -groups, the map  $\operatorname{Id}_{c}^{\ell} f: \operatorname{Id}_{c}^{\ell} G \to \operatorname{Id}_{c}^{\ell} H$ ,  $\langle a \rangle^{\ell} \mapsto \langle f(a) \rangle^{\ell}$  is closed.
- Conversely, any surjective closed lattice homomorphism  $\varphi$ :  $\operatorname{Id}_{c}^{\ell} G \twoheadrightarrow D$  induces  $\operatorname{Id}_{c}^{\ell}(G/I) \cong D$  where  $I \stackrel{\text{def}}{=} \{x \in G \mid \varphi(\langle x \rangle^{\ell}) = 0\}.$

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- Let *L* = {*a*<sub>0</sub>, *a*<sub>1</sub>, *a*<sub>2</sub>...} be a countable, completely normal bounded distributive lattice.

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- For any  $\ell$ -homomorphism  $f: G \to H$  between  $\ell$ -groups, the map  $\operatorname{Id}_{c}^{\ell} f: \operatorname{Id}_{c}^{\ell} G \to \operatorname{Id}_{c}^{\ell} H$ ,  $\langle a \rangle^{\ell} \mapsto \langle f(a) \rangle^{\ell}$  is closed.
- Conversely, any surjective closed lattice homomorphism  $\varphi \colon \operatorname{Id}_{c}^{\ell} G \twoheadrightarrow D$  induces  $\operatorname{Id}_{c}^{\ell}(G/I) \cong D$  where  $I \stackrel{\text{def}}{=} \{x \in G \mid \varphi(\langle x \rangle^{\ell}) = 0\}.$
- Let *L* = {*a*<sub>0</sub>, *a*<sub>1</sub>, *a*<sub>2</sub>...} be a countable, completely normal bounded distributive lattice.
- Let F<sub>ℓ</sub>(ω) <sup>def</sup>= free Abelian ℓ-group on ω. It suffices to construct a surjective closed lattice homomorphism
   φ: Id<sup>ℓ</sup><sub>c</sub> F<sub>ℓ</sub>(ω) → L (because then, L ≃ Id<sup>ℓ</sup><sub>c</sub> (F<sub>ℓ</sub>(ω)/I) for a suitable ℓ-ideal I).

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  Construct φ: Id<sup>ℓ</sup><sub>c</sub> F<sub>ℓ</sub>(ω) → L, by iteratively defining an ascending sequence of 0, 1-lattice homomorphisms φ<sub>n</sub>: Op F<sub>n</sub> → L for suitable finite sublattices Op F<sub>n</sub> of Id<sup>ℓ</sup><sub>c</sub> F<sub>ℓ</sub>(ω).

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• For any  $\mathcal{F} \subseteq \mathbb{Z}^{(\omega)}$ ,  $\operatorname{Op} \mathcal{F}$  denotes the 0, 1-sublattice of  $\mathfrak{P}(\mathbb{Z}^{(\omega)})$  generated by all  $\llbracket f > 0 \rrbracket \stackrel{\text{def}}{=} \{ x \in \mathbb{Z}^{(\omega)} \mid \langle f \mid x \rangle > 0 \}$  where  $f \in \mathcal{F} \cup (-\mathcal{F})$ . Then set  $\operatorname{Op}^- \mathcal{F} \stackrel{\text{def}}{=} \operatorname{Op} \mathcal{F} \setminus \{ \mathbb{Z}^{(\omega)} \}$ .

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• For any  $\mathcal{F} \subseteq \mathbb{Z}^{(\omega)}$ , Op  $\mathcal{F}$  denotes the 0, 1-sublattice of  $\mathfrak{P}(\mathbb{Z}^{(\omega)})$  generated by all  $[\![f > 0]\!] \stackrel{\text{def}}{=} \{x \in \mathbb{Z}^{(\omega)} \mid \langle f \mid x \rangle > 0\}$  where  $f \in \mathcal{F} \cup (-\mathcal{F})$ .

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Then set  $\operatorname{Op}^- \mathcal{F} \stackrel{\text{def}}{=} \operatorname{Op} \mathcal{F} \setminus \{\mathbb{Z}^{(\omega)}\}.$ 

By Baker-Beynon duality,  $Id_c^{\ell} F_{\ell}(\omega) \cong Op^{-} \mathbb{Z}^{(\omega)}$ .

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  Construct φ: Id<sup>ℓ</sup><sub>c</sub> F<sub>ℓ</sub>(ω) → L, by iteratively defining an ascending sequence of 0, 1-lattice homomorphisms φ<sub>n</sub>: Op F<sub>n</sub> → L for suitable finite sublattices Op F<sub>n</sub> of Id<sup>ℓ</sup><sub>c</sub> F<sub>ℓ</sub>(ω).

• For any  $\mathcal{F} \subseteq \mathbb{Z}^{(\omega)}$ , Op  $\mathcal{F}$  denotes the 0, 1-sublattice of  $\mathfrak{P}(\mathbb{Z}^{(\omega)})$  generated by all  $\llbracket f > 0 \rrbracket \stackrel{\text{def}}{=} \{ x \in \mathbb{Z}^{(\omega)} \mid \langle f \mid x \rangle > 0 \}$  where  $f \in \mathcal{F} \cup (-\mathcal{F})$ .

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Then set  $\operatorname{Op}^{-} \mathcal{F} \stackrel{\mathsf{def}}{=} \operatorname{Op} \mathcal{F} \setminus \{\mathbb{Z}^{(\omega)}\}.$ 

• By Baker-Beynon duality,  $\operatorname{Id}_{c}^{\ell} \operatorname{F}_{\ell}(\omega) \cong \operatorname{Op}^{-} \mathbb{Z}^{(\omega)}$ .

• Let  $\mathbb{Z}^{(\omega)} = \{f_n \mid n < \omega\}.$ 

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  Construct φ: Id<sup>ℓ</sup><sub>c</sub> F<sub>ℓ</sub>(ω) → L, by iteratively defining an ascending sequence of 0, 1-lattice homomorphisms φ<sub>n</sub>: Op 𝔅<sub>n</sub> → L for suitable finite sublattices Op 𝔅<sub>n</sub> of Id<sup>ℓ</sup><sub>c</sub> F<sub>ℓ</sub>(ω).

- For any  $\mathcal{F} \subseteq \mathbb{Z}^{(\omega)}$ , Op  $\mathcal{F}$  denotes the 0, 1-sublattice of  $\mathfrak{P}(\mathbb{Z}^{(\omega)})$  generated by all  $\llbracket f > 0 \rrbracket \stackrel{\text{def}}{=} \{ x \in \mathbb{Z}^{(\omega)} \mid \langle f \mid x \rangle > 0 \}$  where  $f \in \mathcal{F} \cup (-\mathcal{F})$ . Then set Op<sup>-</sup>  $\mathcal{F} \stackrel{\text{def}}{=} \text{Op } \mathcal{F} \setminus \{\mathbb{Z}^{(\omega)}\}$ .
- By Baker-Beynon duality,  $\operatorname{Id}_{c}^{\ell} \mathsf{F}_{\ell}(\omega) \cong \operatorname{Op}^{-} \mathbb{Z}^{(\omega)}$ .
- Let  $\mathbb{Z}^{(\omega)} = \{f_n \mid n < \omega\}.$
- Given  $\varphi_n$ : Op  $\mathcal{F}_n \to L$ , we find an extension  $\varphi_{n+1}$ : Op  $\mathcal{F}_{n+1} \to L$ , with  $\mathcal{F}_n \subseteq \mathcal{F}_{n+1}$ , as follows.

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  **Domain step**: if  $n \equiv 0 \pmod{3}$ , then  $\mathcal{F}_{n+1} = \mathcal{F}_n \cup \{f_{\lfloor n/3 \rfloor}\}$  and pick any extension  $\varphi_{n+1}$ : Op  $\mathcal{F}_{n+1} \to L$  (requires a nontrivial lattice-theoretical technical lemma for existence).

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Noncontainments (ℵ1 and ℵ2)

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  **Domain step**: if  $n \equiv 0 \pmod{3}$ , then

- $\mathcal{F}_{n+1} = \mathcal{F}_n \cup \{f_{\lfloor n/3 \rfloor}\}$  and pick any extension  $\varphi_{n+1} \colon \operatorname{Op} \mathcal{F}_{n+1} \to L$  (requires a nontrivial lattice-theoretical technical lemma for existence).
- Range step: if  $n \equiv 1 \pmod{3}$ , then  $\mathcal{F}_{n+1} = \mathcal{F}_n \cup \{\delta_k\}$  for large enough k, then pick the extension  $\llbracket \delta_k > 0 \rrbracket \mapsto a_{\lfloor n/3 \rfloor}$ ,  $\llbracket \delta_k < 0 \rrbracket \mapsto 0$  (easy, because then  $\operatorname{Op} \mathcal{F}_{n+1} \cong \operatorname{Op} \mathcal{F}_n * J_2$ ).

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- Closure step: if n ≡ 2 (mod 3), then 𝔅<sub>n+1</sub> is a large enough finite subset of ℤ<sup>(ω)</sup> containing 𝔅<sub>n</sub> such that all "closure defects" φ<sub>n</sub>(X) ≤ φ<sub>n</sub>(Y) ∨ a<sub>k</sub>, where X, Y ∈ Op 𝔅<sub>n</sub> and k ≤ n, are corrected in 𝔅<sub>n+1</sub> (the hardest part of the argument).



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# Further feeding the (black) : $\mathbf{R} = \mathbf{CN}$ on countable

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  ■ Proceeds in a similar fashion as the argument for ℓ = CN on countable, with more ingredients added. We fix a countable real-closed field k.

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■ The basic features of the lattices Op 𝔅 need to be extended to the case where 𝔅 consists of affine functionals, restricted to convex subsets of any k<sup>d</sup>.

# Further feeding the (Black) : $\mathbf{R} = \mathbf{CN}$ on countable

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#### Triangulation Theorem (Bochnak, Coste, and Roy 1987?)

Given semi-algebraic sets  $S_0, \ldots, S_l \subseteq S \subseteq \Bbbk^d$  with S closed bounded, there are a simplicial complex  $\mathbb{K}$  in  $\Bbbk^d$  and a semi-algebraic homeomorphism  $\tau \colon S \to |\mathbb{K}|$  such that each  $\tau[S_i]$  is partitioned (i.e., union of open simplices) by  $\mathbb{K}$ .

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#### Normal Triangulation Theorem (Baro 2010)

Let  $\mathbb{K}$  be a simplicial complex of  $\mathbb{k}^d$  and let  $S_1, \ldots, S_l$  be semi-algebraic subsets of  $|\mathbb{K}|$ . Then there are a triangulation  $(\mathbb{L}, \psi)$  of  $(|\mathbb{K}|; S_1, \ldots, S_l)$  such that  $\mathbb{L}$  is a subdivision of  $\mathbb{K}$  and  $\psi[S] = S$  for each open simplex of  $\mathbb{K}$ .

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"Straightening up the semi-algebraic sets  $S_i$  while keeping the open simplices of  $\mathbb{K}$  intact."

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Let  $\mathbb{K}$  be a simplicial complex of  $\mathbb{k}^d$  and let  $S_1, \ldots, S_l$  be semi-algebraic subsets of  $|\mathbb{K}|$ . Then there are a triangulation  $(\mathbb{L}, \psi)$  of  $(|\mathbb{K}|; S_1, \ldots, S_l)$  such that  $\mathbb{L}$  is a subdivision of  $\mathbb{K}$  and  $\psi[S] = S$  for each open simplex of  $\mathbb{K}$ .

"Straightening up the semi-algebraic sets  $S_i$  while keeping the open simplices of  $\mathbb{K}$  intact."

Then the role of the lattices  $\operatorname{Op} \mathcal{F}$  is played by images of lattices  $\operatorname{Op}(\mathcal{F}, \Omega)$  (relativization of  $\operatorname{Op} \mathcal{F}$  to a convex subset  $\Omega$ ) under semi-algebraic homeomorphisms. Induction step taken care of *via* the Normal Triangulation Theorem.

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  Let k be a countable formally real field  $(-1 \neq \sum_i x_i^2)$ . Then every countable completely normal bounded distributive lattice is isomorphic to  $\operatorname{Id}_c^r A$  for some (commutative unital) *f*-ring and k-algebra *A*.

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# Stating $\mathbf{R} = \mathbf{CN}$ for countable (and more)

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$ 

### Let k be a countable formally real field $(-1 \neq \sum_i x_i^2)$ . Then every countable completely normal bounded distributive lattice is isomorphic to $\operatorname{Id}_c^r A$ for some (commutative unital) *f*-ring and k-algebra *A*.

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The countability of  $\Bbbk$  cannot be dispensed with (W 2021).

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### Let $\Bbbk$ be a countable formally real field $(-1 \neq \sum_i x_i^2)$ . Then every countable completely normal bounded distributive lattice is isomorphic to $\operatorname{Id}_c^r A$ for some (commutative unital) *f*-ring and $\Bbbk$ -algebra A.

The countability of  $\Bbbk$  cannot be dispensed with (W 2021).

#### Corollary

Theorem (W 2021)

Every second countable completely normal spectral space is homeomorphic to the real spectrum of some commutative unital ring.

# The remaining identification: $\mathbf{CN} = \mathbf{S}\boldsymbol{\ell}$ at $\aleph_1$

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  Theorem (Ploščica and W 2022)

Every completely normal bounded distributive lattice with  $\leq \aleph_1$  elements is a homomorphic image of  $Id_c^\ell G$  for some Abelian  $\ell$ -group G with unit.

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# The remaining identification: $CN = S\ell$ at $\aleph_1$

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Noncontainments (ℵ1 and ℵ2)

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  Theorem (Ploščica and W 2022)

Every completely normal bounded distributive lattice with  $\leq \aleph_1$  elements is a homomorphic image of  $\text{Id}_c^\ell G$  for some Abelian  $\ell$ -group G with unit.

Again, this extends to vector lattices over countable totally ordered division rings  $\Bbbk$ . The countability of  $\Bbbk$  cannot be dispensed with (W 2021).

# The remaining identification: $CN = S\ell$ at $\aleph_1$

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  Theorem (Ploščica and W 2022)

Every completely normal bounded distributive lattice with  $\leq \aleph_1$  elements is a homomorphic image of  $\text{Id}_c^\ell G$  for some Abelian  $\ell$ -group G with unit.

Again, this extends to vector lattices over countable totally ordered division rings  $\Bbbk$ . The countability of  $\Bbbk$  cannot be dispensed with (W 2021).

#### Corollary

Every completely normal spectral space, with  $\leq \aleph_1$  compact open sets, can be embedded as a spectral subspace into Spec<sub> $\ell$ </sub> G for some Abelian  $\ell$ -group G with unit.

# Idea of the proof

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  Write a completely normal bounded distributive lattice L with ℵ<sub>1</sub> elements as an ascending union
 L = ∪(L<sub>ξ</sub> | ξ < ω<sub>1</sub>) for countable completely normal bounded sublattices L<sub>ξ</sub>.

# Idea of the proof

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

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- Write a completely normal bounded distributive lattice L with ℵ<sub>1</sub> elements as an ascending union
   L = ∪(L<sub>ξ</sub> | ξ < ω<sub>1</sub>) for countable completely normal bounded sublattices L<sub>ξ</sub>.
- Iteratively represent all subdiagrams (L<sub>ξ</sub> | ξ < α), for α < ω<sub>1</sub>, as homomorphic images of k-vector lattices diagrams (k given countable totally ordered division ring).

# Idea of the proof

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$ 

- Write a completely normal bounded distributive lattice L with ℵ<sub>1</sub> elements as an ascending union
   L = ∪(L<sub>ξ</sub> | ξ < ω<sub>1</sub>) for countable completely normal bounded sublattices L<sub>ξ</sub>.
- Iteratively represent all subdiagrams (L<sub>ξ</sub> | ξ < α), for α < ω<sub>1</sub>, as homomorphic images of k-vector lattices diagrams (k given countable totally ordered division ring).
  - The next slide describes what the induction step looks like.

# Idea of the proof (cont'd)

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  • For  $I \subseteq J$  countably infinite,  $\mathcal{D} \subseteq \Bbbk^{(J)}$  finite,  $a \in \Bbbk^{(J)}$ , and a completely normal bounded distributive lattice L, we need to extend a 0,1-lattice homomorphism  $f: \operatorname{Op}(\Bbbk^{(I)} \cup \mathcal{D}, \Bbbk^{(J)}) \to L$  to a lattice homomorphism  $g: \operatorname{Op}(\Bbbk^{(I)} \cup \mathcal{D} \cup \{a\}, \Bbbk^{(J)}) \to L$ .

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# Idea of the proof (cont'd)

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Noncontainments (ℵ1 and ℵ2)

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$  • For  $I \subseteq J$  countably infinite,  $\mathcal{D} \subseteq \Bbbk^{(J)}$  finite,  $a \in \Bbbk^{(J)}$ , and a completely normal bounded distributive lattice L, we need to extend a 0,1-lattice homomorphism  $f: \operatorname{Op}(\Bbbk^{(I)} \cup \mathcal{D}, \Bbbk^{(J)}) \to L$  to a lattice homomorphism  $g: \operatorname{Op}(\Bbbk^{(I)} \cup \mathcal{D} \cup \{a\}, \Bbbk^{(J)}) \to L$ .

■ This is done as in the finite case (i.e., extend f: Op(D, k<sup>(J)</sup>) → L with D finite), via a more general lattice-theoretical extension lemma.

# Idea of the proof (cont'd)

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Noncontainments  $(\aleph_1 \text{ and } \aleph_2)$ 

Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$ 

• For  $I \subseteq J$  countably infinite,  $\mathcal{D} \subseteq \Bbbk^{(J)}$  finite,  $a \in \Bbbk^{(J)}$ , and a completely normal bounded distributive lattice L, we need to extend a 0,1-lattice homomorphism  $f: \operatorname{Op}(\Bbbk^{(I)} \cup \mathcal{D}, \Bbbk^{(J)}) \to L$  to a lattice homomorphism  $g: \operatorname{Op}(\Bbbk^{(I)} \cup \mathcal{D} \cup \{a\}, \Bbbk^{(J)}) \to L$ .

This is done as in the finite case (i.e., extend f: Op(D, k<sup>(J)</sup>) → L with D finite), via a more general lattice-theoretical extension lemma. A key point is that the Boolean algebra Bool(k<sup>(I)</sup> ∪ D, k<sup>(J)</sup>) (generated by Op(k<sup>(I)</sup> ∪ D, k<sup>(J)</sup>)) is relatively complete in Bool(k<sup>(J)</sup>, k<sup>(J)</sup>).

■ There is no longer any need to consider the closure step.

# A few references (logic, category theory)

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Remaining identifications  $(\aleph_0 \text{ and } \aleph_1)$ 

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# A few references (spectra)

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- $\begin{array}{l} \text{Remaining} \\ \text{identifications} \\ (\aleph_0 \text{ and } \aleph_1) \end{array}$

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#### Thanks for your attention!

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