

Sums of Kripke frames and locally finite modal logics

Ilya Shapirovsky

New Mexico State University

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Many important normal modal logics can be characterized as logics of *sums* of relational structures.

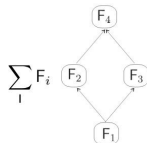
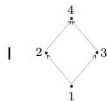
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Given a family $(F_i \mid i \text{ in } I)$ of frames indexed by elements of another frame I , the *sum of the frames F_i 's over I* is obtained from the disjoint union of F_i 's by connecting elements of i -th and j -th distinct components according to the relations in I .

Unimodal case:

frame of indices $I = (I, S)$;

frames-summands $F_i = (W_i, R_i)$, $i \text{ in } I$.



For classes \mathcal{I} , \mathcal{F} of frames, $\sum_{\mathcal{I}} \mathcal{F}$ is the class of all sums $\sum_{i \in I} F_i$ such that $I \in \mathcal{I}$ and $F_i \in \mathcal{F}$ for every $i \text{ in } I$.

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Idea: To study the modal logic of a class of sums via logics of summands/indices.

This is not a new approach:

In classical model theory, “*composition theorems*” reduce the theory (FO, MSO) of a compound structure to theories of its components ([Feferman–Vaught 1959], [Shelah 1975], [Gurevich 1979], ...)

General observation:

In many cases, the modal satisfiability problem on sums can be reduced to the modal satisfiability problem on summands. This gives transfer results for

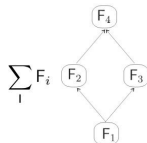
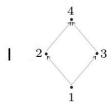
- finite model property and decidability,
- computational complexity,
- local finiteness.

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Many important normal modal logics can be characterized as logics of *sums* of relational structures.

[Beklemishev 2007] Iterated sums over Noetherian orders are models for Japaridze's polymodal provability logic *GLP*.

[Balbiani 2009; Balbiani and Mikuláš 2013; Balbiani and Fernández-Duque 2016]: Lexicographic products of modal logics

[Babenshev and Rybakov 2010] Refinement of modal logics

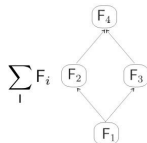
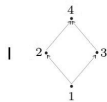
[Sh 2008; 2020] *GLP* is decidable in PSpace. In general, the sum operation over Noetherian orders preserves "good" computational properties (satisfiability is sums is polynomial space Turing reducible to summands).

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For simplicity of notation, results below are formulated for the unimodal case. They work for the polymodal case as well.

Theorem. Let \mathcal{I} , \mathcal{F} , \mathcal{G} be classes of frames.

- Corollary of [Babenyshev and Rybakov 2010]: If $\text{Log } \mathcal{I}$ and $\text{Log } \mathcal{F}$ admit filtration, then $\text{Log } \sum_{\mathcal{I}} \mathcal{F}$ admits filtration.
- [Sh 2018] Put $\mathcal{F} \equiv \mathcal{G}$ iff \mathcal{F} and \mathcal{G} have the same modal logic in the language enriched with the universal modality. We have for any \mathcal{I} :
If $\mathcal{F} \equiv \mathcal{G}$, then $\sum_{\mathcal{I}} \mathcal{F} \equiv \sum_{\mathcal{I}} \mathcal{G}$.
- [Sh 2018] If \mathcal{I} is a class of Noetherian orders that contains all finite trees, then

$$\text{Log } \sum_{\mathcal{I}} \mathcal{F} = \text{Log } \sum_{\text{finite trees}} \mathcal{F}$$

In particular, if $\text{Log } \mathcal{F}^{\forall}$ has the FMP, then so does $\text{Log } \sum_{\mathcal{I}} \mathcal{F}$: it is complete w.r.t.

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Informally, filtration is a method of collapsing an infinite model into a finite one while preserving the truth value of a given formula. It is widely used as a tool for establishing the finite model property and decidability of modal logics.

A logic L *admits filtration* iff any L -model can be “filtrated” into a finite L -model.

$$L \text{ admits filtration} \quad \begin{matrix} \Rightarrow \\ \nLeftarrow \end{matrix} \quad L \text{ has the fmp.}$$

Many standard modal logics admit filtration.

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Universal modality on a set W is interpreted by the relation $W \times W$.

Enriching modal language with universal modality does not necessarily preserve the fmp/decidability [Volter 94; Spaan 1993].

Fortunately, in many cases (for example, for logics that admit filtration or for logics of transitive relations) it does [Goranko and Passi 1991; Spaan 1996].

[Simon and Gill 1977]

Polynomial space Turing reductions:

For problems A and B , $A \leq_T^{\text{PSpace}} B$ iff there exists a polynomial space bounded oracle deterministic machine M with oracle B that recognizes A .

$$A \leq_T^{\text{PSpace}} B \in \text{PSpace} \Rightarrow A \in \text{PSpace}$$

Theorem [Sh 2020] Let \mathcal{F} be a class of frames, \mathcal{I} a class of Noetherian orders containing all finite trees. Then:

- $\text{Sat} \sum_{\mathcal{I}} \mathcal{F} \leq_T^{\text{PSpace}} \text{Sat} \mathcal{F}^\forall$.
- If also \mathcal{I} is closed under finite disjoint unions, then $\text{Sat} (\sum_{\mathcal{I}} \mathcal{F})^\forall \leq_T^{\text{PSpace}} \text{Sat} \mathcal{F}^\forall$.

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Example. The logic of preorders $S4$

[McKinsey 1941] $S4$ has the FMP, so is decidable.

[Ladner 1977] $S4 \in \text{PSpace}$.

Complexity via sums: Clusters are frames of form

$$(C, C \times C).$$



Every preorder is a sum $\sum_{\text{partial order}}$ (clusters). Hence $S4$ is the logic of the class

$$\sum_{\text{finite posets}} \text{clusters}.$$

Thus:

$$\text{Sat}(\text{preorders}) \leq_T^{\text{PSpace}} \text{Sat}(\text{clusters})$$

The satisfiability on clusters is (trivially) in NP, so is in PSpace.

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Example. The logic of weakly transitive relations wK4

R is *weakly transitive* iff

$$xRyRz \Rightarrow xRz \vee x = z$$

[Esakia 2001]

1. wK4 is the logic of all topological spaces, where \diamond is the topological derivative.
2. wK4 has the FMP and decidable.

Corollary. $\text{wK4} \in \text{PSpace}$.

Proof. Because of the FMP, wK4 is the logic of

$$\sum_{\text{finite PO}} \mathcal{C},$$

where

(W, R) is in \mathcal{C} iff R contains the difference relation:

$$x \neq y \Rightarrow xRy.$$

A simple fact: $\text{Sat} \mathcal{C}$ is in NP. \square

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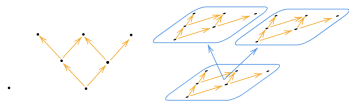
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Example. Polymodal Provability Logic GLP [Japaridze 1986].

GLP is an important system in proof theory. It axiomatizes so called *graded provability algebras* (Lindenbaum boolean algebras of formal theories like PA enriched by provability operators $[0], [1], [2]$ of different strength).

GLP is Kripke-incomplete.

[Beklemishev 2007] GLP is polynomial-time reducible to the logic of iterated sums over Noetherian orders:



Corollary. $GLP \in \text{PSpace}$.

Proof (sketch).

$$\text{Sat}(\{\text{singleton}\}) \in \text{NP}$$

□

The *algebra* $\text{Alg}(F)$ of a frame $F = (X, (R_a)_{a \in A})$ is the powerset algebra of X endowed with

$$\diamond_a : \mathcal{P}(X) \rightarrow \mathcal{P}(X),$$

where for $Y \subseteq X$, $\diamond_a(Y) = R_a^{-1}[Y]$.

$\text{Log}(F)$ is LF $\begin{matrix} \Rightarrow \\ \nLeftarrow \end{matrix}$ $\text{Alg}(F)$ is LF $\begin{matrix} \Rightarrow \\ \nLeftarrow \end{matrix}$ $\text{Log}(F)$
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Main lemma (2022) Let $A < \omega$ be the alphabet of modal operators. Let $(F_i)_{i \in I}$ be a family of A -frames, $\mathbb{I} = (I, (S_a)_A)$ be an A -frame with all S_a irreflexive.

- If the algebras $\text{Alg}(\bigsqcup_i F_i)$ and $\text{Alg}(\mathbb{I})$ are locally finite, then $\text{Alg}(\sum_i F_i)$ is locally finite.
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[Malcev, 1960s] The variety $\text{Var}(A)$ of a finite signature is LF iff $\exists f : \omega \rightarrow \omega$ s.t. the cardinality of a subalgebra of A generated by $m < \omega$ elements is $\leq f(m)$.

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Let $I = (I, S)$ be a unimodal frame, $(F_i)_{i \in I}$ a family of A -frames, $F_i = (W_i, (R_{i,a})_{a \in A})$.

The *lexicographic sum* $\sum_I^{\text{lex}} F_i$ is the $(1+A)$ -frame $(\bigsqcup_{i \in I} W_i, S^{\text{lex}}, (R_a)_{a < N})$, where

$$\begin{aligned} (i, w) S^{\text{lex}}(j, u) & \quad \text{iff} \quad i S j, \\ (i, w) R_a(j, u) & \quad \text{iff} \quad i = j \ \& \ w R_{i,a} u. \end{aligned}$$

For a class \mathcal{F} of A -frames and a class \mathcal{I} of 1-frames, $\sum_{\mathcal{I}}^{\text{lex}} \mathcal{F}$ denotes the class of all sums $\sum_I^{\text{lex}} F_i$, where $I \in \mathcal{I}$ and all F_i are in \mathcal{F} .

Theorem (2022). If $\text{Log}(\mathcal{F})$ and $\text{Log}(\mathcal{I})$ are LF, then $\text{Log}(\sum_{\mathcal{I}}^{\text{lex}} \mathcal{F})$ is LF.

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Formulas of finite height (unimodal case):

$$B_0 = \perp, \quad B_{i+1} = p_{i+1} \rightarrow \Box(\Diamond p_{i+1} \vee B_i)$$

[Segerberg 1971; Maksimova 1975]

The logic of a class of transitive frames is locally finite iff it contains one of B_i 's.

The non-transitive and polymodal cases are much less studied...

[Balbiani 2009] The following formulas are valid in every lexicographic sum:

$$\alpha = \Diamond_1 \Diamond_0 p \rightarrow \Diamond_0 p, \quad \beta = \Diamond_0 \Diamond_1 p \rightarrow \Diamond_0 p, \\ \gamma = \Diamond_0 p \rightarrow \Box_1 \Diamond_0 p.$$

Moreover, in many cases

$$\sum_{L_1}^{\text{lex}} L_2 = L_1 * L_2 + \{\alpha, \beta, \gamma\},$$

where $L_1 * L_2$ denotes the fusion.

Theorem (2022). Let L_1 and L_2 be locally finite canonical unimodal logics. If the class $\text{Frames } L_1$ is definable in first-order language without equality, then the logic

$$L_1 * L_2 + \{\alpha, \beta, \gamma\}$$

is locally finite.

Thank you!